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2.9.38. PARTICLE-SIZE DISTRIBUTION ESTIMATION BY ANALYTICAL SIEVING⁽²⁵⁾

Sieving is one of the oldest methods of classifying powders and granules by particle-size distribution. When using a woven sieve cloth, the sieving will essentially sort the particles by their intermediate size dimension (i.e. breadth or width). Mechanical sieving is most suitable where the majority of the particles are larger than about 75 µm. For smaller particles, their light weight provides insufficient force during sieving to overcome the surface forces of cohesion and adhesion that cause the particles to stick to each other and to the sieve, and thus cause particles that would be expected to pass through the sieve to be retained. For such materials other means of agitation such as air-jet sieving or sonic-sifter sieving may be more appropriate. Nevertheless, sieving can sometimes be used for some powders or granules having median particle sizes smaller than 75 µm where the method can be validated. In pharmaceutical terms, sieving is usually the method of choice for classification of the coarser grades of single powders or granules. It is a particularly attractive method in that powders and granules are classified only on the basis of particle size, and in most cases the analysis can be carried out in the dry state.

Among the limitations of the sieving method are the need for an appreciable amount of sample (normally at least 25 g, depending on the density of the powder or granule, and the diameter of the test sieves) and the difficulty in sieving oily or other cohesive powders or granules that tend to clog the sieve openings. The method is essentially a two-dimensional estimate of size because passage through the sieve aperture is frequently more dependent on maximum width and thickness than on length.

This method is intended for estimation of the total particle-size distribution of a single material. It is not intended for determination of the proportion of particles passing or retained on 1 or 2 sieves.

Estimate the particle-size distribution as described under Dry sieving method, unless otherwise specified in the individual monograph. Where difficulty is experienced in reaching the endpoint (i.e. material does not readily pass through the sieves) or when it is necessary to use the finer end of the sieving range (below 75 µm), serious consideration must be given to the use of an alternative particle-sizing method.

Sieving is carried out under conditions that do not cause the test sample to gain or lose moisture. The relative humidity of the environment in which the sieving is carried out must be controlled to prevent moisture uptake or loss by the sample. In the absence of evidence to the contrary, analytical test sieving is normally carried out at ambient humidity. Any special conditions that apply to a particular material must be detailed in the individual monograph.

Principles of analytical sieving. Analytical test sieves are constructed from a woven-wire mesh, which is of simple weave that is assumed to give nearly square apertures and is joined to the base of an open cylindrical container. The basic analytical method involves stacking the sieves on top of one another in ascending degrees of coarseness, and then placing the test

powder on the top sieve. The nest of sieves is subjected to a standardised period of agitation, and then the mass of material retained on each sieve is accurately determined. The test gives the mass percentage of powder in each sieve size range.

This sieving process for estimating the particle-size distribution of a single pharmaceutical powder is generally intended for use where at least 80 per cent of the particles are larger than 75 µm. The size parameter involved in determining particle-size distribution by analytical sieving is the length of the side of the minimum square aperture through which the particle will pass.

TEST SIEVES

Test sieves suitable for pharmacopoeial tests conform to the current edition of *ISO 3310-1: Test sieves – Technical requirements and testing - Part 1: Test sieves of metal wire cloth* (see Table 2.9.38.-1). Unless otherwise specified in the monograph, use those ISO sieves listed as principal sizes in Table 2.9.38.-1 that are recommended in the particular region.

Table 2.9.38.-1.

ISO Nominal Aperture			US Sieve No.	Recommended USP Sieves (µm)	European Sieve No.	Japanese Sieve No.
Principal sizes	Supplementary sizes					
R 20/3	R 20	R 40/3				
11.20 mm	11.20 mm	11.20 mm			11 200	
	10.00 mm					
		9.50 mm				
	9.00 mm					
8.00 mm	8.00 mm	8.00 mm				
	7.10 mm					
		6.70 mm				
	6.30 mm					
5.60 mm	5.60 mm	5.60 mm			5600	3.5
	5.00 mm					
		4.75 mm				4
	4.50 mm					
4.00 mm	4.00 mm	4.00 mm	5	4000	4000	4.7
	3.55 mm					
		3.35 mm	6			5.5
	3.15 mm					
2.80 mm	2.80 mm	2.80 mm	7	2800	2800	6.5
	2.50 mm					
		2.36 mm	8			7.5
	2.24 mm					
2.00 mm	2.00 mm	2.00 mm	10	2000	2000	8.6
	1.80 mm					
		1.70 mm	12			10
	1.60 mm					
1.40 mm	1.40 mm	1.40 mm	14	1400	1400	12
	1.25 mm					
		1.18 mm	16			14

(25) This chapter has undergone pharmacopoeial harmonisation. See chapter 5.8. *Pharmacopoeial harmonisation*.

ISO Nominal Aperture			US Sieve No.	Recommended USP Sieves (µm)	European Sieve No.	Japanese Sieve No.
Principal sizes	Supplementary sizes					
R 20/3	R 20	R 40/3				
	1.12 mm					
1.00 mm	1.00 mm	1.00 mm	18	1000	1000	16
	900 µm					
		850 µm	20			18
	800 µm					
710 µm	710 µm	710 µm	25	710	710	22
	630 µm					
		600 µm	30			26
	560 µm					
500 µm	500 µm	500 µm	35	500	500	30
	450 µm					
		425 µm	40			36
	400 µm					
355 µm	355 µm	355 µm	45	355	355	42
	315 µm					
		300 µm	50			50
	280 µm					
250 µm	250 µm	250 µm	60	250	250	60
	224 µm					
		212 µm	70			70
	200 µm					
180 µm	180 µm	180 µm	80	180	180	83
	160 µm					
		150 µm	100			100
	140 µm					
125 µm	125 µm	125 µm	120	125	125	119
	112 µm					
		106 µm	140			140
	100 µm					
90 µm	90 µm	90 µm	170	90	90	166
	80 µm					
		75 µm	200			200
	71 µm					
63 µm	63 µm	63 µm	230	63	63	235
	56 µm					
		53 µm	270			282
	50 µm					
45 µm	45 µm	45 µm	325	45	45	330
	40 µm					
		38 µm			38	391

Sieves are selected to cover the entire range of particle sizes present in the test sample. A nest of sieves having a $\sqrt{2}$ progression of the area of the sieve openings is recommended. The nest of sieves is assembled with the coarsest screen at the top and the finest at the bottom. Use micrometres or millimetres in denoting test sieve openings.

Test sieves are made from stainless steel or, less preferably, from brass or another suitable non-reactive wire.

Calibration and recalibration of test sieves is in accordance with the current edition of ISO 3310-1. Sieves are carefully examined for gross distortions and fractures, especially at their screen frame joints, before use. Sieves may be calibrated optically to estimate the average opening size, and opening variability, of the sieve mesh. Alternatively, for the evaluation of the effective opening of test sieves in the size range of 212-850 µm, standard glass spheres are available. Unless otherwise specified in the individual monograph, perform the sieve analysis at controlled room temperature and at ambient relative humidity.

Cleaning test sieves. Ideally, test sieves are cleaned using only a low-pressure air jet or a liquid stream. If some apertures remain blocked by test particles, careful gentle brushing may be used as a last resort.

Test sample. If the test sample mass is not given in the monograph for a particular material, use a test sample having a mass of 25-100 g, depending on the bulk density of the material, for test sieves having a 200 mm diameter. For 76 mm sieves, the amount of material that can be accommodated is approximately 1/7 that which can be accommodated by a 200 mm sieve. Determine the most appropriate mass for a given material by test sieving accurately weighed samples of different masses, such as 25 g, 50 g and 100 g, for the same time period on a mechanical shaker (note: if the test results are similar for the 25 g and 50 g samples, but the 100 g sample shows a lower percentage through the finest sieve, the 100 g sample size is too large). Where only a sample of 10-25 g is available, smaller diameter test sieves conforming to the same mesh specifications may be substituted, but the endpoint must be redetermined. The use of test samples having a smaller mass (e.g. down to 5 g) may be needed. For materials with low apparent particle density, or for materials mainly comprising particles with a highly iso-diametrical shape, sample masses below 5 g for a 200 mm screen may be necessary to avoid excessive blocking of the sieve. During validation of a particular sieve-analysis method, it is expected that the problem of sieve blocking will have been addressed. If the test material is prone to absorbing or losing significant amounts of water with varying humidity, the test must be carried out in an appropriately controlled environment. Similarly, if the test material is known to develop an electrostatic charge, careful observation must be made to ensure that such charging does not influence the analysis. An antistatic agent, such as colloidal silicon dioxide and/or aluminum oxide, may be added at a 0.5 per cent (m/m) level to minimise this effect. If both of the above effects cannot be eliminated, an alternative particle-sizing technique must be selected.

Agitation methods. Several different sieve and powder-agitation devices are commercially available, all of which may be used to perform sieve analyses. However, the different methods of agitation may give different results for sieve analyses and endpoint determinations because of the different types and magnitudes of the forces acting on the individual particles under test. Methods using mechanical agitation or electromagnetic agitation, and that can induce either a vertical oscillation or a horizontal circular motion, or tapping or a combination of both tapping and horizontal circular motion are available. Entrainment of the particles in an air stream may also be used. The results must indicate which agitation method was used and the agitation parameters used (if they can be varied), since changes in the agitation conditions will give different results for the sieve analysis and endpoint determination, and may be sufficiently different to give a failing result under some circumstances.

Endpoint determination. The test sieving analysis is complete when the mass on any of the test sieves does not change by more than 5 per cent or 0.1 g (10 per cent in the case of 76 mm

sieves) of the previous mass on that sieve. If less than 5 per cent of the total sample mass is present on a given sieve, the endpoint for that sieve is increased to a mass change of not more than 20 per cent of the previous mass on that sieve.

If more than 50 per cent of the total sample mass is found on any one sieve, unless this is indicated in the monograph, the test is repeated, but with the addition to the sieve nest of a more coarse sieve intermediate between that carrying the excessive mass and the next coarsest sieve in the original nest, i.e. addition of the ISO series sieve omitted from the nest of sieves.

SIEVING METHODS

Mechanical agitation (Dry sieving method). Tare each test sieve to the nearest 0.1 g. Place an accurately weighed quantity of test sample on the top (coarsest) sieve, and replace the lid. Agitate the nest of sieves for 5 min, then carefully remove each sieve from the nest without loss of material. Reweigh each sieve, and determine the mass of material on each one. Determine the mass of material in the collecting pan in a similar manner. Re-assemble the nest of sieves, and agitate for 5 min. Remove and weigh each sieve as previously described. Repeat these steps until the endpoint criteria are met (see Endpoint determination under Test sieves). Upon completion of the analysis, reconcile the masses of material. Total loss must not exceed 5 per cent of the mass of the original test sample.

Repeat the analysis with a fresh sample, but using a single sieving time equal to that of the combined times used above. Confirm that this sieving time conforms to the requirements for endpoint determination. When this endpoint has been validated for a specific material, then a single fixed time of sieving may be used for future analyses, providing the particle-size distribution falls within normal variation.

If there is evidence that the particles retained on any sieve are aggregates rather than single particles, the use of mechanical dry sieving is unlikely to give good reproducibility, and a different particle-size analysis method must be used.

Air-entrainment methods (Air-jet and sonic-sifter sieving). Different types of commercial equipment that use a moving air current are available for sieving. A system that uses a single sieve at a time is referred to as air-jet sieving. It uses the same general sieving methodology as that described under Dry sieving method, but with a standardised air jet replacing the normal agitation mechanism. It requires sequential analyses on individual sieves starting with the finest sieve to obtain a particle-size distribution. Air-jet sieving often includes the use of finer test sieves than used in ordinary dry sieving. This technique is more suitable where only oversize or undersize fractions are needed.

In the sonic-sifter method, a nest of sieves is used, and the test sample is carried in a vertically oscillating column of air that lifts the sample and then carries it back against the mesh openings at a given number of pulses per minute. It may be necessary to lower the sample amount to 5 g when sonic sifting is employed.

The air-jet sieving and sonic-sifter sieving methods may be useful for powders or granules when the mechanical sieving techniques are incapable of giving a meaningful analysis.

These methods are highly dependent upon proper dispersion of the powder in the air current. This requirement may be hard to achieve if the method is used at the lower end of the sieving range (i.e. below 75 µm), when the particles tend to be more cohesive, and especially if there is any tendency for the material to develop an electrostatic charge. For the above reasons endpoint determination is particularly critical, and it is very important to confirm that the oversize material comprises single particles and is not composed of aggregates.

INTERPRETATION

The raw data must include the mass of the test sample, the total sieving time, the precise sieving methodology, and the set values for any variable parameters, in addition to the masses retained on the individual sieves and in the pan.

It may be convenient to convert the raw data into a cumulative mass distribution, and if it is desired to express the distribution in terms of a cumulative mass undersize, the range of sieves used must include a sieve through which all the material passes. If there is evidence on any of the test sieves that the material remaining on it is composed of aggregates formed during the sieving process, the analysis is invalid.

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2.9.39. WATER-SOLID INTERACTIONS: DETERMINATION OF SORPTION-DESORPTION ISOTHERMS AND OF WATER ACTIVITY⁽²⁶⁾

INTRODUCTION

Pharmaceutical solids as raw materials or as constituents of dosage forms most often come in contact with water during processing and storage. This may occur (a) during crystallisation, lyophilisation, wet granulation, or spray drying; and (b) because of exposure upon handling and storage to an atmosphere containing water vapour or exposure to other materials in a dosage form that contain water capable of distributing it to other ingredients. Some properties known to be altered by the association of solids with water include rates of chemical degradation in the "solid-state", crystal growth and dissolution, dispersibility and wetting, powder flow, lubricity, powder compactibility, compact hardness and microbial contamination.

Although precautions can be taken when water is perceived to be a problem, i.e. eliminating all moisture, reducing contact with the atmosphere, or controlling the relative humidity of the atmosphere, such precautions generally add expense to the process with no guarantee that during the life of the product further problems associated with moisture will be avoided. It is also important to recognise that there are many situations where a certain level of water in a solid is required for proper performance, e.g. powder compaction. It is essential for both reasons, therefore, that as much as possible is known about the effects of moisture on solids before strategies are developed for their handling, storage and use.

Some of the more critical pieces of required information concerning water-solid interactions are:

- total amount of water present;
- the extent to which adsorption and absorption occur;
- whether or not hydrates form;
- specific surface area of the solid, as well as such properties as degree of crystallinity, degree of porosity, and glass transition and melting temperature;
- site of water interaction, the extent of binding, and the degree of molecular mobility;
- effects of temperature and relative humidity;
- essentially irreversible hydration;
- kinetics of moisture uptake;
- various factors that might influence the rate at which water vapour can be taken up by a solid;
- for water-soluble solids capable of being dissolved by the sorbed water, under which conditions dissolution will take place.

(26) This chapter has undergone pharmacopoeial harmonisation. See chapter 5.8. *Pharmacopoeial harmonisation*.