

Soviet security apprehensions and American strategic imperatives deserve more careful scrutiny than they receive here. By 1946 American military officials already were assigning a major role to air power in any future conflict with the Soviet Union. And they were considering the utility of bases in Egypt and Turkey, as well as in Great Britain, as particularly desirable. One study by the Joint Chiefs of Staff (JCS) in August 1946 emphasized that from Turkey, Allied air power could operate more effectively against the vital areas of the Soviet Union; Moscow and the areas south of Moscow would fall within range of Allied fighters; and 70 percent of Soviet oil resources would become vulnerable to Allied air raids. American military officials realized that from the Soviet strategic perspective Turkey was of vital importance "because she offers a possible base for Allied air, and eventually for Allied land, sea, and air operations against Russia. . . ."

American military planners could easily understand the strategic imperatives that prompted the Soviets' concern for bases on their southern flank. After all, one major lesson of the war for American strategists had been the importance of defense in depth. Hence, during 1945 and 1946 American strategists and statesmen were together seeking a series of bases that would guarantee American control of the entire Atlantic and Pacific oceans, project American power into North Africa and the Mediterranean, and enlarge the nation's strategic frontier in order to facilitate the application of armed force at a distance from the American homeland and in closer proximity to a prospective enemy. Interestingly, at the same time that the Soviets were violating their agreement to withdraw troops from northern Iran, the JCS called upon the American government to disregard agreements that stipulated the withdrawal of United States troops from foreign bases at an agreed timetable following the conclusion of hostilities. Such a recommendation was not related to events in Iran but to overall United States security considerations.

The above helps to illustrate that Great Power expansionism was endemic in the international environment that existed after World War II. Vacuums of power coupled with almost universal political and social turmoil bred economic uncertainties and reinforced strategic anxieties, already heightened by new technological developments. Soviet actions were a contributing element but were not largely responsible for the postwar crises in the Near East. For the most part Soviet initiatives along the Northern Tier were tentative and circumspect (and perhaps even prompted by many of the same fears and lessons that motivated

United States policy). American officials dwelt upon Soviet actions not because they were so forboding in themselves but because these actions helped to shape a comprehensible, if not always accurate, framework for interpreting an ominous international environment. With this understanding, it was then possible to develop a rationale for reestablishing economic and political stability along lines favorable to the United States and for creating a strategic environment conducive to the protection of American security interests. A major weakness of this volume, then, is that it attributes too much importance to Soviet initiatives, accepts the judgments of State Department officials at face value, and omits any serious discussion of national diplomacy, except for that of the United States. . . .

An analysis of the American impact on the region's economic, political, and social structures might have helped the reader to come to grips with the paradox of American policies, characterized by Kuniholm as pragmatic, realistic, and successful, helping to produce the present incendiary situation in the region. Over the long run American policies of diplomatic support, military assistance, and political alliance did not bring economic vitality, political stability, or strategic security to the region. Will we again consider the Soviets alone to blame for these failures? Or will we realize . . . that Soviet behavior is only one element in a complex environment where all the Great Powers have legitimate strategic and economic interests and where indigenous social and political structures are fragile and volatile, thereby heightening the anxieties of the Great Powers themselves.

Thomas G. Paterson

EXAGGERATIONS OF THE SOVIET THREAT

In an essay from his book *Meeting the Communist Threat: Truman to Reagan* (1988), Thomas G. Paterson calls attention to the Truman administration's persistent inability or unwillingness to gauge accurately either Soviet power or Soviet intentions. Instead, American policymakers consistently

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exaggerated the danger posed by the Kremlin to American national security—in part because of faulty intelligence, in part because of President Truman's style, in part because of a fixation on communist ideology, and in part because the presence of a formidable adversary helped forge the public, congressional, and bureaucratic support necessary for an expansive foreign policy. Paterson points to a series of unfortunate results that flowed from these exaggerations, many of them counterproductive to American policy objectives. He holds Truman responsible for creating the image of a relentless and powerful Soviet state, driven by ideology—a regime with which negotiations were fruitless.

President Harry S Truman and his Secretary of State Dean Acheson, Henry A. Kissinger once remarked, “ushered in the most creative period in the history of American foreign policy.” Presidents from Eisenhower to Reagan have exalted Truman for his decisiveness and success in launching the Truman Doctrine, the Marshall Plan, and NATO, and for staring the Soviets down in Berlin during those hair-trigger days of the blockade and airlift. John F. Kennedy and Lyndon B. Johnson invoked memories of Truman and the containment doctrine again and again to explain American intervention in Vietnam. Jimmy Carter has written in his memoirs that Truman had served as his model—that he studied Truman's career more than that of any other president and came to admire greatly his courage, honesty, and willingness “to be unpopular if he believed his actions were the best for the country.” Some historians have gone so far as to claim that Truman saved humankind from World War III. On the other hand, he has drawn a diverse set of critics. The diplomat and analyst George F. Kennan, the journalist Walter Lippmann, the political scientist Hans Morgenthau, politicians of the left and right, like Henry A. Wallace and Robert A. Taft, and many historians have questioned Truman's penchant for his quick, simple answer, blunt, careless rhetoric, and facile analogies, his moralism that obscured the complexity of causation, his militarization of American foreign policy, his impatience with diplomacy itself, and his exaggeration of the Soviet threat. . . .

. . . Why did President Truman think it necessary to project American power abroad, to pursue an activist, global foreign policy unprecedented in United States history? The answer has several parts. First, Americans drew lessons from their experience in the 1930s. While indulging in their so-called “isolationism,” they had watched economic depression spawn political extremism, which in turn, produced aggression and war. Never again, they vowed. No more appeasement

with totalitarians, no more Munichs. “Red Fascism” became a popular phrase to express this American idea. The message seemed evident: To prevent a reincarnation of the 1930s, the United States would have to use its vast power to fight economic instability abroad. Americans felt compelled to project their power, second, because they feared, in the peace-and-prosperity thinking of the time, economic doom stemming from an economic sickness abroad that might spread to the United States, and from American dependency on overseas supplies of raw materials. To aid Europeans and other peoples would not only help them, but also sustain a high American standard of living and gain political friends, as in the case of Italy, where American foreign aid and advice influenced national elections and brought defeat to the left. The American fear of postwar shortages of petroleum also encouraged the Truman Administration to penetrate Middle Eastern oil in a major way. In Saudi Arabia, for example, Americans built and operated the strategically important Dhahran Airport and dominated that nation's oil resources.

Another reason why Truman projected American power so boldly derived from new strategic thinking. Because of the advent of the air age, travel across the world was shortened in time. Strategists spoke of the shrinkage of the globe. Places once deemed beyond American curiosity or interest now loomed important. Airplanes could travel great distances to deliver bombs. Powerful as it was, then, the United States also appeared vulnerable, especially to air attack. As General Carl A. Spaatz emphasized: “As top dog, America becomes target No. 1.” He went on to argue that fast aircraft left no warning time for the United States. “The Pearl Harbor of a future war might well be Chicago, or Detroit, or even Washington.” To prevent such an occurrence, American leaders worked to acquire overseas bases in both the Pacific and Atlantic, thereby denying a potential enemy an attack route to the Western Hemisphere. Forward bases would also permit the United States to conduct offensive operations more effectively. The American strategic frontier had to be pushed outward. Thus the United States took the former Japanese-controlled Pacific islands of the Carolines, Marshalls, and Marianas, maintained garrisons in Germany and Japan, and sent military missions to Iran, Turkey, Greece, Saudi Arabia, China, and to fourteen Latin American states. The Joint Chiefs of Staff and Department of State lists of desired foreign bases, and of sites where air transit rights were sought, included such far-flung spots as Algeria, India, French Indochina, New Zealand, Iceland, and the Azores. When

asked where the American navy would float, Navy Secretary James Forrestal replied: "Wherever there is a sea." Today we may take the presumption of a global American presence for granted, but in Truman's day it was new, even radical thinking, especially after the "isolationist" 1930s.

These several explanations for American globalism suggest that the United States would have been an expansionist power whether or not the obstructionist Soviets were lurking about. That is, America's own needs—ideological, political, economic, strategic—encouraged such a projection of power. As the influential National Security Council Paper No. 68(NSC-68) noted in April 1950, the "overall policy" of the United States was "designed to foster a world environment in which the American system can survive and flourish." This policy "we would probably pursue even if there was no Soviet threat."

Americans, of course, did perceive a Soviet threat. Thus we turn to yet another explanation for the United States' dramatic extension of power early in the Cold War: to contain the Soviets. The Soviets unsettled Americans in so many ways. Their harsh Communist dogma and propagandistic slogans were not only monotonous; they also seemed threatening because of their call for world revolution and for the demise of capitalism. In the United Nations the Soviets cast vetoes and even on occasion walked out of the organization. At international conferences their "nyets" stung American ears. When they negotiated, the Soviets annoyed their interlocutors by repeating the same point over and over again, delaying meetings, or abruptly shifting positions. Truman labeled them "pigheaded," and Dean Acheson thought them so coarse and insulting that he once allowed that they were not "housebroken."

The Soviet Union, moreover, had territorial ambitions, grabbing parts of Poland, Rumania, and Finland, and demanding parts of Turkey. In Eastern Europe, with their Red Army positioned to intimidate, the Soviets quickly manhandled the Poles and Rumanians. Communists in 1947 and 1948 seized power in Hungary and Czechoslovakia. Some Americans predicted that the Soviet military would roll across Western Europe. In general, Truman officials pictured the Soviet Union as an implacable foe to an open world, an opportunistic nation that would probe for weak spots, exploit economic misery, snuff out individual freedom, and thwart self-determination. Americans thought the worst, some claiming that a Soviet-inspired international conspiracy insured perennial hostility and a creeping aggression aimed at American inter-

ests. To Truman and his advisers, the Soviets stood as the world's bully, and the very existence of this menacing bear necessitated an activist American foreign policy and an exertion of American power as a "counterforce."

But Truman officials exaggerated the Soviet threat, imagining an adversary that never measured up to the galloping monster so often depicted by alarmist Americans. Even if the Soviets intended to dominate the world, or just Western Europe, they lacked the capabilities to do so. The Soviets had no foreign aid to dispense; outside Russia Communist parties were minorities; the Soviet economy was seriously crippled by the war; and the Soviet military suffered significant weaknesses. The Soviets lacked a modern navy, a strategic air force, the atomic bomb, and air defenses. Their wrecked economy could not support or supply an army in the field for very long, and their technology was antiquated. Their ground forces lacked motorized transportation, adequate equipment, and troop morale. A Soviet *blitzkrieg* invasion of Western Europe had little chance of success and would have proven suicidal for the Soviets, for even if they managed to gain temporary control of Western Europe by a military thrust, they could not strike the United States. So they would have to assume defensive positions and await crushing American attacks, probably including atomic bombings of Soviet Russia itself—plans for which existed.

Other evidence also suggests that a Soviet military threat to Western Europe was more myth than reality. The Soviet Union demobilized its forces after the war, dropping to about 2.9 million personnel in 1948. Many of its 175 divisions were under-strength, and large numbers of them were engaged in occupation duties, resisting challenges to Soviet authority in Eastern Europe. American intelligence sources reported as well that the Soviets could not count on troops of the occupied countries, which were quite unreliable, if not rebellious. At most, the Soviets had 700,000 to 800,000 troops available for an attack against the West. To resist such an attack, the West had about 800,000 troops, or approximate parity. For these reasons, top American leaders did not expect a Soviet onslaught against Western Europe. They and their intelligence sources emphasized Soviet military and economic weaknesses, not strengths, Soviet hesitancy, not boldness.

Why then did Americans so fear the Soviets? Why did the Central Intelligence Agency, the Joint Chiefs of Staff, and the President exaggerate the Soviet threat? The first explanation is that their intelligence estimates were just that—estimates. The American intelligence

community was still in a state of infancy, hardly the well-developed system it would become in the 1950s and 1960s. So Americans lacked complete assurance that their figures on Soviet force deployment or armaments were accurate or close to the mark. When leaders do not know, they tend to assume the worst of an adversary's intentions and capabilities, or to think that the Soviets might miscalculate, sparking a war they did not want. In a chaotic world, the conception of a single, inexorably aggressive adversary also brought a comforting sense of knowing and consistency.

Truman officials also exaggerated the Soviet threat in order "to extricate the United States from commitments and restraints that were no longer considered desirable" [argues Melvyn P. Leffler]. For example, they loudly chastised the Soviets for violating the Yalta agreements; yet Truman and his advisers knew the Yalta provisions were at best vague and open to differing interpretations. But, more, they purposefully misrepresented the Yalta agreement on the vital question of the composition of the Polish government. In so doing, they hoped to decrease the high degree of Communist participation that the Yalta conferees had insured when they stated that the new Polish regime would be formed by reorganizing the provisional Lublin (Communist) government. Through charges of Soviet malfeasance Washington sought to justify its own retreat from Yalta, such as its abandonment of the \$20 billion reparations figure for Germany (half of which was supposed to go to the Soviet Union).

Another reason for the exaggeration: Truman liked things in black and white, as his aide Clark Clifford noted. Nuances, ambiguities, and counterevidence were often discounted to satisfy the President's preference for the simpler answer or his pre-conceived notions of Soviet aggressiveness. In mid-1946, for example, the Joint Chiefs of Staff deleted from a report to Truman a section that stressed Soviet weaknesses. American leaders also exaggerated the Soviet threat because it was useful in galvanizing and unifying American public opinion for an abandonment of recent and still lingering "isolationism" and support for an expansive foreign policy. Kennan quoted a colleague as saying that "if it [Soviet threat] had never existed, we would have had to invent it, to create a sense of urgency we need to bring us to the point of decisive action." The military particularly overplayed the Soviet threat in order to persuade Congress to endorse larger defense budgets. This happened in 1948-49 with the creation of the North Atlantic Treaty Organization. NATO was established not to halt a Soviet military attack,

because none was anticipated, but to give Europeans a psychological boost—a "will to resist." American officials believed that the European Recovery Program would falter unless there was a "sense of security" to buttress it. They nurtured apprehension, too, that some European nations might lean toward neutralism unless they were brought together under a security umbrella. NATO also seemed essential to help members resist internal subversion. The exaggerated, popular view that NATO was formed to deter a Soviet invasion of Western Europe by conventional forces stems, in part, from Truman's faulty recollection in his published memoirs.

Still another explanation for why Americans exaggerated the Soviet threat is found in their attention since the Bolshevik Revolution of 1917 to the utopian Communist goal of world revolution, confusing goals with actual behavior. Thus Americans believed that the sinister Soviets and their Communist allies would exploit postwar economic, social, and political disorder, not through a direct military thrust, but rather through covert subversion. The recovery of Germany and Japan became necessary, then, to deny the Communists political opportunities to thwart American plans for the integration of these former enemies into an American system of trade and defense. And because economic instability troubled so much of Eurasia, Communist gains through subversion might deny the United States strategic raw materials.

Why dwell on this question of the American exaggeration of the Soviet threat? Because it over-simplified international realities by underestimating local conditions that might thwart Soviet/Communist successes and by over-estimating the Soviet ability to act. Because it encouraged the Soviets to fear encirclement and to enlarge their military establishment, thereby contributing to a dangerous weapons race. Because it led to indiscriminate globalism. Because it put a damper on diplomacy; American officials were hesitant to negotiate with an opponent variously described as malevolent, deceitful, and inhuman. They especially did not warm to negotiations when some critics were ready to cry that diplomacy, which could produce compromises, was evidence in itself of softness toward Communism.

Exaggeration of the threat also led Americans to misinterpret events and in so doing to prompt the Soviets to make decisions contrary to American wishes. For example, the Soviet presence in Eastern Europe, once considered a simple question of the Soviets' building an iron curtain or bloc after the war, is now seen by historians in more complex terms. The Soviets did not seem to have a master plan for the

region and followed different policies in different countries. Poland and Rumania were subjugated right away; Yugoslavia, on the other hand, was an independent Communist state led by Josip Tito, who broke dramatically with Stalin in 1948; Hungary conducted elections in the fall of 1945 (the Communists got only 17 percent of the vote) and did not suffer a Communist coup until 1947; in Czechoslovakia, free elections in May 1946 produced a non-Communist government that functioned until 1948; Finland, although under Soviet scrutiny, affirmed its independence. The Soviets did not have a firm grip on Eastern Europe before 1948—a prime reason why many American leaders believed the Soviets harbored weaknesses.

American policies were designed to roll the Soviets back. The United States reconstruction loan policy, encouragement of dissident groups, and appeal for free elections alarmed Moscow, contributing to a Soviet push to secure the area. The issue of free elections illustrates the point. Such a call was consistent with cherished American principle. But in the context of Eastern Europe and the Cold War, problems arose. First, Americans conspicuously followed a double standard which foreigners noted time and again; that is, if the principle of free elections really mattered, why not hold such elections in the United States' sphere of influence in Latin America, where an unsavory lot of dictators ruled? Second, free elections would have produced victories for anti-Soviet groups. Such results could only unsettle the Soviets and invite them to intervene to protect their interests in neighboring states—just as the United States had intervened in Cuba and Mexico in the twentieth century when hostile groups assumed power. In Hungary, for example, it was the non-Communist leader Ferenc Nagy who delayed elections in late 1946 because he knew the Communist Party would lose badly, thereby possibly triggering a repressive Soviet response. And, third, the United States had so little influence in Eastern Europe that it had no way of insuring free elections—no way of backing up its demands with power.

Walter Lippmann, among others, thought that the United States should tame its meddling in the region and make the best out of a bad arrangement of power. "I do believe," he said in 1947, "we shall have to recognize the principle of boundaries of spheres of influence which either side will not cross and have to proceed on the old principle that a good fence makes good neighbors." Kennan shared this view, as did one State Department official who argued that the United States was incapable of becoming a successful watchdog in Eastern Europe.

American "barkings, growlings, snappings, and occasional bitings," Cloyce K. Huston prophesied, would only irritate the Soviets without reducing their power. Better still, argued some analysts, if the United States tempered its ventures into European affairs, then the Soviets, surely less alarmed, might tolerate more openness. But the United States did not stay out. Americans tried to project their power into a region where they had little chance of succeeding, but had substantial opportunity to irritate and alarm the always suspicious Soviets. In this way, it has been suggested, the United States itself helped pull down the iron curtain. . . .

Truman's alarmist language [in the Truman Doctrine] further fixed the mistaken idea in the American mind that the Soviets were unrelenting aggressors intent upon undermining peace, and that the United States, almost alone, had to meet them everywhere. Truman's exaggerations and his commitment to the containment doctrine did not go unchallenged. Secretary [of State George C.] Marshall himself was startled by the President's muscular anti-Communist rhetoric, and he questioned the wisdom of overstating the case. The Soviet specialist Llewellyn Thompson urged "caution" in swinging too far toward "outright opposition to Russia. . . ." Walter Lippmann, in reacting to both Truman's speech and George F. Kennan's now famous "Mr. 'X'" article in the July 1947 issue of the journal *Foreign Affairs*, labeled containment a "strategic monstrosity," because it made no distinctions between important or vital and not-so-important or peripheral areas. Because American power was not omnipresent, Lippmann further argued, the "policy can be implemented only by recruiting, subsidizing and supporting a heterogeneous array of satellites, clients, dependents and puppets." He also criticized the containment doctrine for placing more emphasis on confrontation than on diplomacy. . . .

Jiang's collapse [in China] joined the Soviet explosion of an atomic bomb, the formation of the German Democratic Republic (East Germany), and the Sino-Soviet Friendship Treaty to arouse American feeling in late 1949 and early 1950 that the Soviet threat had dramatically escalated. Although Kennan told his State Department colleagues that such feeling was "largely of our own making" rather than an accurate accounting of Soviet actions, the composers of NSC-68 preferred to dwell on a more dangerous Soviet menace in extreme rhetoric not usually found in a secret report. But because the April 1950 document was aimed at President Truman, we can certainly understand why its language was hyperbolic. The fanatical and militant Soviets, concluded

NSC-68, were seeking to impose “absolute authority over the rest of the world.” America had to frustrate the global “design” of the “evil men” of the Kremlin, who were unrelentingly bent on “piecemeal aggression” against the “free world” through military force, infiltration, and intimidation. The report called for a huge American and allied military build-up and nuclear arms development.

NSC-68, most scholars agree, was a flawed, even amateurish document. It assumed a Communist monolith that did not exist, drew alarmist conclusions based upon vague and inaccurate information about Soviet capabilities, made grand, unsubstantiated claims about Soviet intentions, glossed over the presence of many non-democratic countries in the “free world,” and recommended against negotiations with Moscow at the very time the Soviets were advancing toward a policy of “peaceful co-existence.” One State Department expert on the Soviet Union, Charles E. Bohlen, although generally happy with the report’s conclusions, faulted NSC-68 for assuming a Soviet plot for world conquest—for “oversimplifying the problem.” No, he advised, the Soviets sought foremostly to maintain their regime and to extend it abroad “to the degree that is possible without serious risk to the internal regime.” In short, there were limits to Soviet behavior. But few were listening to such cautionary voices. NSC-68 became American dogma, especially when the outbreak of the Korean War in June of 1950 sanctified it as a prophetic “we told you so.”

The story of Truman’s foreign policy is basically an accounting of how the United States, because of its own expansionism and exaggeration of the Soviet threat, became a global power. Truman projected American power after the Second World War to rehabilitate Western Europe, secure new allies, guarantee strategic and economic links, and block Communist or Soviet influence. He firmly implanted the image of the Soviets as relentless, worldwide transgressors with whom it is futile to negotiate. Through his exaggeration of the Soviet threat, Truman made it very likely that the United States would continue to practice global interventionism years after he left the White House.

Michael J. Hogan

THE MARSHALL PLAN AND THE SEARCH FOR ECONOMIC ORDER

Michael J. Hogan disagrees with traditional scholars of the Marshall Plan who view America’s commitment to European economic recovery after World War II as a revolutionary break from past policies. Instead, Hogan suggests that the Marshall Plan was yet another step in America’s twentieth-century search for a new economic order at home and abroad; he finds sources for the plan especially in the New Era of the 1920s and the New Deal of the 1930s. Throughout these different periods, public and private officials in the United States sought to restructure the world economy along American lines—what Hogan calls corporatism or neocapitalism. Although the urgency that the Truman administration attached to European economic recovery was intensified by the perceived Soviet threat, he argues that the influence of that threat on American Marshall Planners should not obscure continuities with earlier United States efforts to reconstruct Europe. In contrast to some revisionist historians, Hogan offers a largely positive evaluation of the European Recovery Program, calling it a reasonable defense of important economic and strategic interests that was applied in effective collaboration with reliable local elites. In short, the Marshall Plan served American and European interests and stands as one of the most successful diplomatic initiatives launched by the United States in this century.

Author of the prize-winning book *The Marshall Plan: America, Britain, and the Reconstruction of Western Europe, 1947–1952* (1987), from which this selection is drawn, Michael J. Hogan has also written *Informal Entente: The Private Structure of Cooperation in Anglo-American Economic Diplomacy, 1918–1928* (1977). He teaches at Ohio State University and serves as editor of the journal *Diplomatic History*.

Original accounts of the Marshall Plan, or the European Recovery Program as it was known officially, hailed this celebrated enterprise as evidence of America’s assumption of world leadership after the Second World War. Together with the North Atlantic Treaty and other instruments of Cold War diplomacy, the Marshall Plan supposedly

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