

ICOMOS

New Upstream Process for Formative Stage

9 March 2016

Charenton-le-Pont, France



The Operational Guidelines (2015, note 5, page 23)

Upstream Processes:

*In relation to the nomination of sites for inscription on the World Heritage List, "Upstream processes" include advice, consultation and analysis that occur prior to the Submission of a nomination and are aimed at reducing the number of nominations that experience significant problems during the evaluation process. The basic principle of the upstream processes is to enable the Advisory Bodies and the Secretariat to provide support directly to States Parties, throughout the whole process leading up to a possible World Heritage nomination. **For the upstream support to be effective, it should ideally be undertaken from the earliest stage in the nomination process**, at the moment of the preparation or revision of the States Parties' Tentative Lists ."*



Upstream practice so far:

Contractual basis

Sending a mission expert

Peer review of a mission report

→ **“Upstream process for early stage”**



“Upstream for formative stage”

a part of the new dialogue scheme
prior to nomination

for projects whose nomination is expected in 3- 5
years (not necessarily on the Tentative List)


Structured procedure to provide more foresight and
clarity

Schedule

- (1) Submission of request (April of Year 1)
filling in a form: background information on the concerned property and the current situation of the project
- (2) Contractual arrangement
- (3) Compose an Upstream Team for each request
(June of Year 1)
- (4) Approval of Upstream reports (March of Year 2)
- (5) Delivery of Upstream report to SP (April of Year 2)

Upstream report

- Assess what is currently being proposed for nomination and the strength of the case for its potential Outstanding Universal Value
- Consider the potential attributes of OUV
- Consider the logic of the boundaries, the authenticity and the integrity
- Consider the extent of the survey, research and documentation (especially in relation to the comparative study)
- Consider management issues

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- This Upstream process will start in 2017
max. 10 requests per year until appropriate funding
for development of this activity can be secured
 - After 3 years, based on consultation with SPs,
revision of the system
 - In 2016, a few requests could be accepted as a
trial




Ethical aspect (avoiding conflicts of interest)

Those who worked in an Upstream Team shall not participate in evaluation process of the property when nominated

How to make Upstream advice and Evaluation consistent?

Upstream report should be referred to by SP
Response by the Panel



Should you have questions, or for additional information,
please contact:

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