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LSE Centennial Lecture: The Resurgence of Nationalism? Myth and Memory in the **Renewal of Nations** 

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# LSE Centennial Lecture\* The resurgence of nationalism? Myth and memory in the renewal of nations

## ABSTRACT

Contrary to liberal evolutionary expectations, the world has witnessed a resurgence of ethnic conflicts and nationalist movements since the end of the Cold War. Though it calls into question theories of the demise of nations and nationalism, this revival should not be interpreted as a throwback to earlier nationalisms or a passing phenomenon. Rather, it is one of many resurgences since the French Revolution and it demonstrates once again the power of the resources and trends which reproduce a world of nations and nationalism. These resources include: the uneven distribution of ethno-history and memories of golden ages; the politicization of myths of ethnic election and covenant which inspire peoples with a sense of renewal and glorious destiny; and the power of territorial attachments to ancestral homelands and sacred sites. Even ethnic categories and communities that lack some of these 'deep resources' are stimulated to rediscover or acquire them by the example of influential neighbours. Though the timing of the current ethnic revival is a function of social and geopolitical changes, their contents and intensities are largely determined by pre-existing ethno-symbolic resources.

The world of the 1990s is a bewildering place. Gone are the old certainties. The division of the world into competing blocs, the familiar ideologies and propaganda, the games of political chess played by the superpowers in Africa, Asia and Latin America, the Cold War patterns of trade, aid and defence, all of these guaranteed a predictable, if tense, global order in which the arts of espionage and political double dealing served to reinforce the moral verities on both sides.

Today these simple verities have been replaced by the complex moral and political judgments of a multi-polar world. This is a world in which superpowers have shrunk or disintegrated, economic giants have so far failed to acquire political power or military muscle, the state itself has been outflanked by the new international division of labour and mass communications, and the old ethical norms have been replaced by moral ambivalence and political ambiguity.

In this turmoil of power and ideas, one familiar face has reappeared: that of nationalism. For many it is as unwelcome as it is unbidden and unexpected. For others its reappearance is regrettable but comes as no surprise.

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For still others, it represents the only sure way forward after the sudden ruptures created by totalitarianism in the developmental paths of so many societies. For all, nationalism represents a stage in the evolution of humanity to 'higher forms' of society, one that must be endured or embraced, but is surely destined to pass after a few turbulent decades (Smith 1990; Gellner 1994).

None of these scenarios seems to accord with the historical facts or sociological realities of ethnicity and nationalism. Instead of treating ethnicity and nationalism as phenomena in their own right, they insist on evaluating them by the yardstick of a liberal evolutionary scheme, explicit or tacit, one that is inherently problematic and demonstrably irrelevant to the dynamics of nations, nationalism and ethnic conflict. For liberals and socialists, committed to the view that humanity progresses in stages to greater units of inclusiveness and higher values, the nation and nationalism can only represent a halfway house to the goal of a cosmopolitan culture and a global polity. On the one hand, the nation can be commended for superseding all those local, ascriptive ties and communities that have restricted innovation and opportunity and enchained the human spirit. Its wider horizons have brought together all kinds of peoples with varying origins, religions, occupations and class backgrounds and turned them into citizens of the territorial, civic nation. On the other hand, the nation today has become a barrier to progress, seeking vainly to control the flow of information and the channels of mass communication, and to impede and regulate the great economic institutions - transnational companies, world banks and trade organizations – and the global financial and commodities markets. But the great forces of globalization, economic, political and cultural, have already undermined the power of the nation-state and are fast making all national boundaries and sentiments obsolete (Smelser 1968; Arnason 1990; Hobsbawm 1990: ch. 6).

Unfortunately, contemporary facts as well as theoretical weaknesses belie these confident predictions. As Walker Connor pointed out over twenty years ago, the ethnic revival in the West, not to mention continuing ethnic struggles in Africa and Asia, highlighted grave problems for Western states as well as Western scholars of nationalism. These problems have only been aggravated by the demise of the Soviet Union and events in the former Yugoslavia. They suggest that, far from withering away with the advance of modernity and mass communications, ethnic and national conflicts have been exacerbated by just those forces that were supposed to dissolve the ties that engendered these conflicts. In other words, contrary to the expectations of liberals and socialists, a progressive modernity and all its works have actually increased ethnic conflict and nationalism; and as modernization bites deeper, we can only expect an intensification of such conflicts (Connor 1973; Smith 1995).

The inevitable result of this signal failure to grasp the nature and consequences of ethnicity and nationalisms, is widespread disappointment and exasperation at their apparent resilience and explosive unpredictability.

#### The resurgence of nationalism?

In fact, there is little that is new about the recent wave of nationalism. It represents one more wave in a series of upsurges of ethnic conflict and nationalism in various parts of the world since the French Revolution. Perhaps its most salient effect is to redraw the inter-state map of different regions, but the more profound consequences of nationalism permeate the organization of modern societies and the nature of modern cultures. For, underlying the global system of states is a cultural division of the world into nations based on distinctive ethnic histories, ethnic myths and territorial associations stemming in many cases from deep-rooted pre-modern cultural and ethnic ties.

## A RESURGENCE OF NATIONALISM?

Let me begin by considering in more detail the flaws in the conventional views of contemporary nationalism. The most common view is that nationalism is once again rampant, and that we are witnessing its sudden and dramatic resurgence now that the Cold War is over and the last empires have disintegrated. As a result, some fifteen new states have been created on the ruins of the Soviet and Ethiopian empires, and four (perhaps five) more have arisen out of the failures of two federal states, Yugoslavia and Czechoslovakia. This is surely dramatic evidence of the power of nationalism at the end of the second millennium.

The assumption behind this view is that nationalism had been suppressed by the former empires and can now resume its interrupted course. This may be true in some cases such as the Baltic states or Georgia, but it would be hard to find a pre-imperial Eritrean, Kazakh or Kirghiz nationalism – unless of course we were to regard nations as primordial and nationalism as natural to the human condition, albeit often in latent form.<sup>1</sup>

There is a more important objection to this resurgence view. Can we legitimately generalize from the contingencies of the end of the Cold War? Since the fall of the Soviet and Ethiopian empires is a once-for-all event, it would be foolhardly to make deductions about the future impact of nationalism on their basis alone. The other two instances of ethnic secession from modern federal states – the Slovak, and the Croat and Slovene – are certainly more ominous for state stability, but they too constitute too small a population to allow us to predict, with any degree of confidence, a wider resurgence of nationalism.

On the other hand, there are a number of potential separatisms such as Quebec, Kurdistan and Tamilnad, which suggest a wider movement of nationalism in the last decades of the second millennium, one that may yet break the bounds of the inter-state system. It could, of course, be objected that these nationalisms have been struggling for several decades for independence, without much success, and that the national state system is therefore quite capable of containing the centrifugal forces of ethnic nationalism which inter-state competition and state expansion have unleashed. However, in a multipolar, and therefore less predictable, global environment, where the international community is somewhat more favourably inclined to the partial redrawing of the inter-state map, the chances of ethnic separatisms gaining international or at least regional support may have been enhanced and the probability of consensual separations increased (Mayall 1990: ch. 4; Heraclides 1991).

But these arguments tell us only part of the story. They relate largely to the immediate post-Cold War era, and they fail to locate the contemporary manifestations of ethnic conflict and nationalism in a broader historical and sociological context. Let me briefly recall some salient aspects of that broader context.

As I indicated, 'nationalism', defined here as an ideological movement for attaining and maintaining autonomy, unity and identity for a human population deemed by some of its members to constitute an actual or potential 'nation', has been with us for two centuries, since the French Revolution at least, and in that period has spread from western Europe and the Americas to every continent.<sup>2</sup>

Second, the pattern of appearance of nationalisms has been serial and wave-like. Groups of nationalisms have emerged at different times and places, after periods of warfare or the demise of *ancien régimes*, only to subside and give way to other waves of nationalisms elsewhere, which have harked back to the earlier examples for some of their inspiration. As Anderson and Orridge have demonstrated, the types of nationalisms varied according to the features of each culture area and period, but they drew on the same underlying ideals and motifs (Orridge 1980; Anderson 1983).

Third, the state has become a 'national' state. Though often ethnically heterogenous, it has sought to become unitary by adopting the ideological postulates of nationalism as its legitimation and attempting to mould, homogenize and create 'the nation' out of the various ethnic communities and ethnic categories that had been incorporated, usually accidentally, by and into the domains of the state – often at the expense of minority ethnic communities (Connor 1972).

Fourth, as a result, 'national identity' has become a primary criterion of social solidarity and individual affiliation. The concept of national identity has come to represent the ideal of collective cultural distinctiveness and social cohesion to which citizens and governments aspire or at least pay lipservice. A state that cannot boast some kind of national identity for its citizens is deemed to have failed in one of its primary functions, the creation of a distinctive collective loyalty based on consent (see Grodzins 1956; Doob 1964).

Finally, the global system of states is today justified primarily in terms of the postulates of nationalism and national self-determination. The political pluralism of its inter-state systems is underpinned by a radical cultural pluralism, which combines a respect for cultural diversity and individuality with a commitment to popular participation. (Smith 1995: chs. 4, 6).

It follows from these considerations that there is nothing remarkable

about the present wave of nationalisms in certain parts of the world, and that, on past experience, we can expect the present upsurge to recede after the period of post-Cold War turmoil has subsided, to be followed in the near future by other waves of ethnic nationalisms elsewhere – of which there is never a shortage of aspirants. In other words, while the timing of the appearance of nationalisms depends on a variety of contingent forces – including the reactions of dominant state elites, the balance of local and regional forces, and the general climate of international opinion – nationalism itself as ideology, symbolism and movement has become endemic. The potential for nationalist movements is always with us.

This is not to claim that nationalism is the only, or even necessarily the most powerful, force in the modern world. What we can say is that nationalism has become the most ubiquitous and enduring phenomenon in the modern world, the ideological movement and symbolic structure with the greatest staying power, one that always appears, as it were, to be waiting in the wings for its opportunity to emerge, in the chaos of conflict and disintegration that attends the fall of states and empires.

#### THE DECLINE OF NATIONALISM?

So far I have concentrated on arguments that emphasize and generalize from the present upsurge of nationalism. These fears stand in sharp contrast to all those prophecies of the imminent demise of the nation-state and the decline of nationalism, which have become the standard coin of international statesmen and 'post-modernist' scholarship alike. For these theorists the current proliferation of contemporary mini-nationalisms is merely the product of a passing phase of turmoil in the aftermath of the Cold War. Broadly speaking, three kinds of argument are usually advanced to back this contention: arguments about economic globalization, social hybridization and cultural standardization.

1. The first set of arguments is usually addressed to the state, or the socalled 'nation-state'.<sup>3</sup> The new international division of labour of advanced capitalism knows no frontiers and its operations take no account of the national interest or national state policies. The operations of the transnational companies, of the great financial institutions, of the major money and commodities markets, as well as the flows of trade and production, have simply by-passed the restrictive confines of the 'nation-state', with the result that in these and other areas, the national state has lost its former independence. We live in a global economy and an increasingly global polity.

Few would wish to quarrel with the positive assertions of this argument, but questions can be raised about its deductions for the present position of the so-called 'nation-state'. One might start by asking whether the comparison with former times was historically accurate, whether there was ever a time when 'the state' or 'the nation-state' was economically and financially independent. Great empires have always depended for their continued prosperity on the goods and labour of their colonies and smaller states operated under the economic hegemony of larger ones. It was really only under the influence of mercantilism and later of the economic nationalist theories of List that the ideal of a self-sufficient national state became more prevalent (see Johnson 1968; Tivey 1980).

One might wish to question the zero-sum assumption of the globalization argument. If the greater part of trade flows, markets, investment, financial speculations and production are directed by institutions and groups outside the domain of the nation-state, it does not follow that the state has become obsolete and increasingly irrelevant, even assuming it did once possess economic and financial independence. For many people and in many areas of the world, the national state remains the main regulator of economic practices and formulator of economic policies, even if its room for manoeuvre is more restricted. Through its control over legislation, administration and adjudication, state elites retain considerable powers in the economic sphere. In the social domain, these powers have, if anything, been vastly increased both by the state's capacity to penetrate society and by the welfare planning that resulted from the two World Wars. In terms of popular perceptions, too, the national state, not the global economy, remains the chief source of benefits and sanctions for the individual citizen (Mann 1984).

Besides, national states have always had other primary tasks and governments are periodically judged by the way in which they fulfil these tasks. Foremost among these, of course, has been the protection of the citizen, both internally in the form of maintaining 'law and order' and externally through defence of the national territory. But, in addition, the state has been increasingly charged with the protection and enhancement of the health, education and culture of the nation and its citizens. This has come to include attempts to safeguard through control of the media and a national curriculum the special character of the national community from being undermined by what have been perceived as culturally alien concerns or debased standards or subversive movements (see Tilly 1975; Tomlinson 1990: ch. 3).

2. If the state is still resilient and functional even in a globalizing economy, what of the nation? The second, or 'social hybridization' argument, suggests that the concepts of the nation and national identity need to be redefined in a 'post-modern' world. The recent massive influx of immigrants, ex-colonials, asylum-seekers and guest workers has eroded the old traditions and beliefs in an homogenous national identity and turned the unified nation into a series of culturally discrete segments. The old pedagogical and homogenizing narratives of the people and the nation have given way to split and doubled perceptions of identity, history and community, where the self is defined by its relationship to the other. We live today in fragmented and precarious nations housed in anxious states (Anderson 1983; Bhabha 1990: ch. 16).

If we define the 'nation' as a named human population with shared myths and memories occupying an historic territory or homeland, and possessing a common public culture, a single unified economy and common legal rights and duties, we can see that the concept of the nation presupposes shared experiences, cultural unity and a degree of commonality among its citizens. On the other hand, however much the ideologues of a romantic ethnic nationalism may have desired a seamless national unity and genuine homogeneity, most actual national states were culturally and ethnically plural and even the unitary nation represented only an ideal to which the nationalists aspired. Here again, we may ask: was there ever a unified national identity, except in the dreams of ethnic nationalists and the official propaganda of nationalist state regimes? Have not, and do not, most nations possess dominant and minority traditions of ethnic identification and incorporation? And is not even the official version of national identity subject to modification and change through debate and reinterpretation (McNeill 1986: chs. 2–3)?<sup>4</sup>

On the other hand, there are definite limits to these processes of reinterpretation. Modern states require a principle of political solidarity. This is often furnished by some form of civic, territorial nationalism which legitimates the common, public culture and the equal legal rights and duties of its citizens. This is what the *patriots* preached during the French Revolution, and the vision was put into practice by the leaders of the Third Republic in France. Civic nationalism operates on a territorial principle, by which all residents are citizens. But civic nationalism may not suffice. In a world of massive population movements, it may give way to, or be combined with, a more ethnically based principle of national solidarity, in which only those born of grandparents of the dominant ethnic group are members and hence citizens of the nation. In the many plural (polyethnic) states around the world this can prove both unifying and divisive. Genealogical citizenship may help to unite co-nationals and give them a sense of intimacy and solidarity, but it is apt to be much more exclusive and restrictive, expressive of a drive to purify the community of alien elements which are seen to be corroding the moral fabric and cultural individuality of the nation. Such a criterion has surfaced periodically in France, notably at the time of the Dreyfus Affair and during the Vichy years, but its role in Germany and Eastern Europe has been to this day much more fundamental.<sup>5</sup>

3. National identity therefore remains a most important, if contested, criterion and ideal of political solidarity. Its importance is, if anything, enhanced by the third set of debates around the issues of cultural standardization. The claim here is that globalization is producing a standardized consumerist culture everywhere as a result of mass communications based on electronic media and information technology. This is not the place to rehearse these arguments in detail. Suffice it to say that in so far as it is something new and other than old-style cultural imperialism or simply the cross-fertilization of cultures, both of which have been with us for centuries, a 'global culture' represents a contradiction in terms. Being by definition timeless, placeless, neutral and technical, a global culture would be essentially memoryless and presentist, or simply a *mélange* and pastiche of other rooted and specific cultures. But no culture that we have ever encountered can flourish without collective memories and traditions, neither can cultural identities and communities exist without their distinctive symbolic codes. As for a pastiche culture, how long could it withstand its own disintegrative tendencies?<sup>6</sup>

In fact, specific cultures, as distinctive symbolic codes, can only be seen as the historical product of the collective experiences, memories and traditions of different categories and groupings of human beings – be they ethnic, regional, class or religious – whose sense of identity and community they tend to express and symbolize. Hence cultures are essentially reflective and distinctive. They require human beings to draw on, and interpret, each other's experiences over generations and they express the separate patterns of those experiences in artefacts, rituals, behaviour and symbols that are peculiar to that community – an observation that suggests a very different perspective on the bases of ethnic conflict and nationalism today than those we have been considering. It is a perspective that requires us to place the present upsurge of ethnic nationalisms in a different and broader context, and to replace the conventional emphasis on the timing of these movements, with more fundamental questions about the nature, character and intensity of these ethnic nationalisms.

## ETHNO-HISTORY AND THE 'GOLDEN AGE'

The real question raised by the present spate of ethnic nationalisms is not, why they have re-emerged now, or why they proliferate in an era of globalization, but how we can explain both the continuing power and the diversity of expression of ethnic nationalisms.

The usual account of the power and variety of nationalism is some version of modernization theory. Its basic proposition is that modernity in one of its many guises requires the formation of nations. Some regard nationalism as a response to incipient industrialization and the nation as a necessary and functional element of industrial modernity (Gellner 1983). Others seek to derive the nation and nationalism from the modern rational state and its self-reflexive capacities, or from the interests of sub-elites who use national arguments to wrest control of the state (Breuilly 1982; Giddens 1985). Still others regard nationalism, and nations, as ideological constructs of intellectuals and professionals seeking to undermine *ancien régimes* and establish modernized states in societies committed to the ideal of progress, or to control the mass mobilization of a democratic era (Kedourie 1960 and 1971; Kautsky 1962; cf. also Hobsbawm 1990).

Now, in general terms it may be true that the processes of modernization, variously defined, create the conditions for the formation of national states and the spread of 'nationalism-in-general'; and in this respect each of the

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above modernization perspectives undoubtedly captures an important aspect of the phenomenon of nations and nationalism. At the same time, they are incapable of explaining the paradox of variety and persistence in nationalism, of why nations and nationalisms have such staying power in the modern epoch, yet manifest such vast differences in their content and style of expression.

This is because they fail to take seriously three sets of components, or resources, that underlie all nationalisms: the uneven distribution of ethnohistory, the varying impact of religious ideals, and the differential nature and location of the 'homeland' or ancestral territory. By exploring the nature and influence of these sets of ethno-symbolic resources, we are able, I believe, to give a more convincing account of the power and variety of modern nationalisms.

Let me start with uneven ethno-history and a general proposition. As I intimated, any identity is based on memory conceived of as an active principle of recall of earlier states of activity and experience of that person. By analogy, collective cultural identities are based on the shared memories of experiences and activities of successive generations of a group distinguished by one or more shared cultural elements. Ethnic identity in turn may be seen as the product of shared memories of collective experiences and activities of successive generations of a group claiming a common origin and ancestry. Ethnicity in turn may be defined as *the sense of collective belonging to a named community of common myths of origin and shared memories, associated with an historic homeland.*<sup>7</sup>

Ernest Renan had long ago, of course, recognized the significance of shared memories of great sacrifices and battle experiences for the formation of nations. But collective memories range more widely. They include recollections not only of wars and their heroes, but of religious movements and their leaders, migrations, discoveries and colonizations, foundations of cities and states, dynasties and their kings, lawcodes and their legislators, great buildings and their architects, painters, sculptors, poets, musicians and their immortal works. Above all, the idealized memories of a 'golden age', or golden ages, of virtue, heroism, beauty, learning, holiness, power and wealth, an era distinguished for its collective dignity and external prestige (Renan 1882; cf. Armstrong 1982, ch. 2).

It is notoriously difficult to disentangle the elements of genuine shared memory from those of exaggeration, idealization and heroization which we associate with myth and legend, since there is usually more than a kernel of truth in the latter. But we can say that the more faithfully recorded, better documented and more comprehensive a golden age, the more impact it can exert over later generations and epochs of that community (or in some cases other communities). In this respect, Periclean Athens can have a greater and more varied impact for modern Greeks and others than, say, Kievan Rus can have for modern Ukrainians.

Now there is nothing fixed or immutable about a golden age or the principle of its selection. Successive generations of the community may differ as to which epoch is to be regarded as a golden age, depending on the criteria in fashion at the time. For some it will be a golden age because it boasted religious virtuosi, saints and sages, for others because great art, drama, music and philosophy flourished, for still others because the community enjoyed its greatest territorial extent and military power, or pioneered great moral and legal codes and institutions. Thus the ethno-history of a community may boast more than one golden age from which to choose, and different sections of the latter-day community may look back to quite different golden ages, as with modern Jews who look back nostalgically to the Davidic and Solomonic kingdom, or revere the era of the Talmudic sages or dream of the Golden Age of Spain with its many poets and philosophers (Eisenstein-Barzilay 1959; Seltzer 1980).

The ideal of a golden age is not simply a form of escapism or consolation for present tribulations. For later generations, the standards of golden ages come to define the normative character of the evolving community. They define what is and what is not to be admired and emulated. They define what is, and what is not, distinctive about that community. They define an ideal, which is not so much to be resurrected (few nationalists want actually to return to the past, even a golden past) as to be recreated in modern terms. Even the Jacobin leaders who dreamt of emulating Brutus the Consul, Cincinnatus and Leonidas had no intention of founding an agrarian cityrepublic in France, only of transposing the ethos and heroism of republican Rome and Sparta to French soil (see Rosenblum 1967, ch. 2; Herbert 1972).

A second function of the ideal of a golden age is the sense of regeneration which it stimulates. Just as 'our ancestors' created a great culture or civilisation, so surely can 'we', runs the leitmotif. This is important, exactly because most nationalisms, viewed from inside, start out from a sense of decline, alienation and inner exile, and go on to promise renewal, reintegration and restoration to a former glorious state. The nationalist mythology into which the memory of the golden age is inserted is one of humble, if special, origins, miraculous liberation, glorious efflorescence, divisive conflict, inner decay, even exile – and then national rebirth (see Smith 1984).

A third function of the golden age is its suggestion of potential through filiation. The emphasis is always on the descendants of heroes, sages, saints and poets having within themselves, in virtue of their blood relationship, the inner resources to become like their glorious forefathers and foremothers; and hence the inherent capacity of grandsons and granddaughters and their descendants to give birth to a civilization and culture worthy of the golden age. So the community will be purified of alien accretions, and by returning to its former faith and purity will be renewed and restored 'as in the days of old'. In this respect, the golden age reveals to the latterday community its 'authentic' (usually pre-industrial and rural) self and bids it rediscover and realize that self under quite different conditions (see Thaden 1964; Mosse 1944).

Finally, the memory of a golden age is closely linked to a sense of collective destiny. The road that the community expects to take in each generation is inspired and shaped by its memories of former heroic ages. Their values and symbols form the basis and spur to heroic feats of communal selfsacrifice in the future, a future that can become as glorious and fulfilling as the days of old. Memories of Irish golden ages, pagan and Christian, endowed Irish men and women with a vision of a resurrected Ireland and inspired Irish nationalists to heroic self-sacrifice on its behalf. In early twentieth-century Egypt, two visions of a resurgent Egypt, the one strictly Egyptian and territorial, the other Arab and ethnic, competed for the loyalty of Egyptians; the secular, territorial vision drew on the memories of Pharaonic grandeur to underpin a separate Egyptian destiny, whereas the more religious, ethnic vision harked back to Islam and the Fatimids for the Arab destiny which it sought. In other words, the ideal of self-renewal and the vision of collective destiny are built into the collective memory of a golden age and justify all the sacrifices that citizens may be asked to make (Lyons 1979; Hutchinson 1987; Gershoni and Jankowski 1987).

So much for the concept and general functions of the golden age. In concrete historical instances, golden ages, like the ethno-histories of which they form the high points, are unevenly distributed across the globe. Just as some communities can boast full, rich and well-documented ethno-histories with more than one golden age, others must be content with only shadowy memories of a collective past and its heroes. Slovaks, for example, had great difficulty disentangling their ancient past as 'greater Moravia' with ninth-century heroes like Svatopluk from the better-known and fuller records of the Bohemian kingdom of the Czechs. To this day, Ukrainians seek to disentangle their closely related culture yet separate past with its golden ages in Kievan Rus and the Cossack hetmanates from the much more all-embracing culture and better-documented Muscovite and 'Great Russian' golden ages. One must add that it is not only large and powerful nations with long-independent states like Russia, China, Japan, France and Spain that can boast rich. well-documented ethno-histories with more than one golden age to emulate. Smaller, but ancient communities like the Irish, Armenians and Jews can also point to several golden ages in their long and well-recorded ethno-histories (Brock 1976; Portal 1969; Armstrong 1982: ch. 7).

On the whole, those communities with rich ethno-histories possess 'deep resources' on which to draw, and so can sustain themselves over long periods and maintain an extended struggle for recognition or parity. Even where they lack political and military security, their successive layers of cultural resources underpin their political claims as well as their sense of common ethnicity. This is not to say that ethnic nationalisms will only emerge in communities able to boast rich ethno-histories, but simply that such communities are unlikely to disappear or be submerged and, once aroused, can continue their struggle for long periods under adverse circumstances. Communities that lack these well-documented ethno-historical resources may well rise up in protest, as have the Moro and Eritreans. Some of them may even succeed in gaining independence after a long struggle, but whether, in the absence of such cultural resources, they will be able to sustain their new-found sense of community forged in battle, remains an open question. If they cannot create out of their prolonged struggle an ethno-history and even a golden age of heroic resistance to be recalled and emulated in times of crisis, they will not have those 'deep' cultural resources to fall back upon when internal conflicts and dissensions break out. In these as in other cases, history must be turned into ethnic myths and shared memories must become the basis of an ethno-heritage.

On the other hand, their very lack of rich ethno-histories relative to other better-endowed neighbours stimulates these culturally peripheral and politically disprivileged communities to remedy this deficiency in a world where power stems from culture, in the same way as relative economic deprivation often spurs resentment and political emulation. Thus analysts would do well to focus on the comparative politics of uneven ethno-history, and more especially of golden ages, if they wish to understand both the power and variety of ethnic nationalisms.

### ETHNIC ELECTION

The second major set of 'deep resources' on which different nationalisms can draw relates to religious belief, and more particularly to myths of ethnic election.

The general proposition here concerns the relationship between popular mobilization and sanctification. In order to mobilize large numbers of people, leaders and movements need to appeal to either material and status interests or promise individual salvation, or both. For many, status interests at least are served by a promise of individual and collective salvation. Now salvation in turn requires men and women to sanctify their lives and situations through correct belief and practice on the part of each member of a community of believers, and through the periodic ritual and moral renewal of that community.

Where a population is defined through processes of sanctification as a community of shared faith or belief, such a community tends to underpin and redefine populations united by shared memories and myths of origin, and thereby impede their politicization. To memory and myth are added collective beliefs and rituals; yet these selfsame beliefs and rituals may prevent the population in question from conceiving itself in any other way than as a 'faith community' or acting outside the limits of traditional orthopraxy, as occurred for some time with both Arabs and Jews. (See Kalusner 1960; Smith 1973)

One of the most important and influential of these collective beliefs is the *myth of ethnic election*. This singles out the community as a 'chosen people' entrusted with a sacred mission to proselytize or crusade or act as standard-bearer of the true faith. The mission sanctifies the community and the world and its fulfilment brings closer the salvation of the community and the world of which it forms the epicentre. This is the general form of election myths that we encounter among so many peoples and communities in history from the Neo-Sumerian revival under the Third Dynasty of Ur and the ancient Egyptians of the new Kingdom to medieval Catholic France and early modern Protestant America and modern Afrikanerdom. In all these cases, election myths attach redemption through sanctification to a community of shared memories and myths of origin, turning it into a chosen people entrusted with a sacred task in the world's moral economy and thereby helping to purify and set that community apart from outsiders. Here we have a potent source of the moral exclusiveness of so many *ethnies*, their belief that by being entrusted with a sacred mission they stand in a position of superiority at the moral centre of the universe (Smith 1992).

Among some communities, a stronger form of election myth has emerged. This is the idea of 'the covenant', the belief in a once-for-all contract between the community and its god, which requires the members of the community to fulfil certain ritual and moral obligations which define their sacred mission in return for which the deity will accord the community a special status, protection and privileges. The covenantal scheme was pioneered in ancient Israel, but it has been adopted elsewhere by such communities as the Armenians, Ulster Irish and Afrikaners. The ideal of a covenant as the source of their ethnic election has given these communities of shared memory and origin myths a durability and self-renewing capacity which forms one of the bedrocks of their contemporary political struggles (Akenson 1992).

Covenanted peoples manifest a particular intensity and persistence in their sense of ethnic election which validates their orthodoxy and sustains their communal practice through continual acts of sanctification. These in turn strengthen their belief in collective salvation through the periodic mobilization of a sacred community. In this way, the community's shared memories and origin myths are drawn into the covenantal scheme and are reinterpreted as sacred events in the formation and mission of a holy people.

There are a number of important consequences of such myths. Ethnic election myths, and particularly covenantal schemes, confer on the communities that evolve such beliefs an extraordinary sense of rectitude and *moral superiority*. This contrasts starkly, and indeed often compensates for, the many hardships and tribulations endured by the ethnic elect. These myths endow the persecuted, exiled or subject community with a determination and moral fibre which enables the community (if not all its members) to withstand a harsh fate, thereby providing the people with what Herder would have regarded as a deep well-spring of energy (*Kraft*) (see Berlin 1976).

Second, myths of ethnic election offer the members of a community a chronological scheme of *status reversal*. The elect may be persecuted now and subjects today; but in time their sufferings will be recognized and their virtue rewarded. They will, in the end, triumph over their enemies and attain the goal of their journey in history. This is particularly vividly expressed in covenantal schemes of which the Israelite Exodus from Egypt stands as the prototype, but it also applies to peoples without a clear covenantal scheme like the Catholic Irish or the Welsh who nevertheless regard themselves as an elect community. Conversely, the triumphant elect, those communities that are regnal and dominant like the Castilian, French or Amhara, credit their high status and privilege to the fulfilment of their sacred mission and the virtue of their members (see Armstrong 1982; Smith 1986: ch. 3 and 1992; Ullendorff 1973).

Linked to the ideas of mission and status reversal is the broader ideal of collective destiny which draws on the concept of chosenness to chart a unique path for the elect community. Reinforced in its mission by a sense of election, the nation can look forward confidently to a unique and glorious future commensurate with its true status. This sense of a distinctive and peculiar destiny has become, in secular form, part of the rhetoric of party politicians and statesmen; but it also has important morale-boosting and unifying functions in times of crisis and danger, as in Churchill's or de Gaulle's speeches to the British and French during the Second World War (Marwick 1974; Smith 1981c).

Fourth, ethnic election myths and especially covenantal schemes draw a strict *boundary* between the members of the elect and outsiders who cannot be redeemed. It is because they have accepted the obligations of their sacred mission that they have in turn been sanctified and chosen as a community. Conversely, it is because the outsiders have rejected those obligations and that mission that they have become profane and excluded, even damned. Such a sharp boundary demarcation appears to justify the ethnic elect in programmes of self-purification through exclusion and segregation of outsiders.

Finally, ethnic election myths are demotic. The energy they tap is that of the people, the whole community and not a particular segment; similarly, it is only through the mobilization of the people, the whole community, that the sacred mission can be achieved. The mission in turn requires every member to fulfil their sacred duties and regards every member as being equally eligible to enjoy the privileges of ethnic election – something that is particularly clear in covenantal schemes which are always contracts between whole peoples and their gods (see Zeitlin 1984).

#### SACRED TERRITORIES

The third set of 'deep resources' relates to historic territories and more specifically an '*ancestral homeland*'.

In general, a specific geographical area or space becomes associated with a particular collectivity, in the eyes of its members and of those around, in so far as it provides the location and arena, and is felt to contribute uniquely to, key moments or turning points in the past experiences of the collectivity. The mountains, rivers, lakes and forests of a particular geographical space have afforded a special place and provided the scene for historic events – battles, treaties, revelations, oaths, shrines, migrations and so on – associated with a given community, and in subsequent lore have become an indispensable part of the shared memories and mythology of that comunity.

For *ethnies* a particular geographical area has become associated with a given community either as the traditional place of origin (in the origin myth) or as the locus of its liberation, settlement and golden ages. The association is threefold: first, as the unique and indispensable setting of events and experiences that moulded the community; second, in so far as the ethnic landscape is felt to have influenced and contributed to the course of events and the efflorescence of the community; and third, and perhaps most important, as the final resting-place of our forefathers and foremothers. These shrines underline the way in which a special space has come to belong to a particular community and, reciprocally, the community has become part of a specific land and particular ethnic landscapes. So the land becomes 'our' territory and the 'eternal home' of our ancestors, an *ancestral homeland*, a motif that figures prominently, along with the paeons to ethnic landscapes, in the folklore and cultural heritage of ethnic communities (see Mosse 1994: ch. 2).

This relationship between people and land is the product over the *longue* durée of continual myth-making and the recitation of shared memories. Through the elaboration of folktales and legends and the performance of rituals and ceremonies, successive generations are reminded of various periods of their ethno-histories, and above all, of their golden ages.

In this way, a particular territory and specific landscapes are historicized. They become essential elements of the community's history, and the land becomes an historic homeland (Smith 1981b and 1986: ch. 8.).

The association is even stronger where the *ethnie* is also a community of believers, animated by a unifying faith and cult. In these circumstances, the ancestral and historic homeland becomes also a '*sacred territory*'. A holy people must be located in an equally sanctified land, a land conferred by the deity on a sanctified people as a reward for correct belief and conduct in the execution of their sacred mission. The terms of the covenant between the community and its god requires a sacred arena set aside for the fulfilment of the spiritual mission, a 'promised land'. When the community is sundered from it, it is said to be in a state of spiritual exile; spiritual redemption therefore requires its restoration to the 'promised land'.

Interestingly enough, the sanctification of the land came later, as a result of the community's sense of election, of being set apart from its neighbours in the pursuit of the sacred mission with which God had entrusted the people. Thus the land of Canaan, though it figured prominently in the early formulations of the Covenant between God and Abraham as a reward for its fulfilment, did not become sacred in the eyes of the ancient Israelites and Jews till the late eighth century B.C., although it was long revered as the site of burial of the patriarchs and other holy figures (Zeitlin 1984; Grosby 1991). There is an alternative scenario. Here the elect must search for, and discover, a promised land, a territory that a community of believers will sanctify through the performance of moral and ritual actions in building an ideal ethnic and civic community. It is the believer-pioneers themselves who, in creating their New Jerusalem whether on the African veldt or the American prairie, will realize the promise of a land whose features are integral to the utopia which they hope to build in fulfilment of their sacred mission (Tuveson 1968; Akenson 1992: ch. 3).

In both cases, the historic homeland becomes sacred partly through the same processes of myth-making and shared remembering as occurs in all ethnic communities, but also through the special heroic acts of moral and ritual conduct of a community of believers and its religious heroes. It is the memory of their example in moments of revelation and crisis that creates a special bond of holiness between the community and its homeland, as well as the piety and awe which surrounds the tombs of prophets, poets and holy men and the sepulchres of righteous kings and warriors, laid to rest in the land of their people.

The ancestral land also links memory to destiny. For it is in the reborn land, the homeland which is renewed, that national regeneration takes place. The sacred land of our ancestors is also the promised land of our descendants and posterity. It is only on our 'native soil' that we can realize ourselves, that the nation can become truly free and authentic again. Hence the liberation of the land from oppressors is not simply a political or economic necessity; it is demanded by a unique history that requires fulfilment in a glorious destiny through the rebirth of a community on its own terrain. (See Hertzberg 1960; Mosse 1964)

The 'deep resource' which an ancestral, even a sacred, homeland offers, is not isolated from the other deep resources. Usually the three sets of resources are combined. Shared memories of golden ages are always associated with attachments to ancestral homelands, even where these are not sacred territories; and myths of ethnic election require both ancestral homelands for their execution, and usually a standard or model of inspiration for future generations, the memory of a golden age in which the sacred mission was heroically fulfilled. Hence the tendency for the three sets of deep resources – ancestral homelands, golden ages and myths of ethnic election – to combine and recombine in varying forms and degrees, thereby endowing many *ethnies* with great resilience and staying power down the ages.

#### VARIETY AND SELF-RENEWAL OF NATIONALISMS

Here, then, we have the main components in our analysis of the durability of modern nationalisms. Shared memories of a rich ethno-history, and especially of golden ages; religious beliefs in ethnic election, and especially sacred covenants; and sentiments of belonging to ancestral homelands, especially sacred territories; these provide the sure foundations for nationalist movements aiming to regenerate the community or cultural category and form it into a modern nation in its ancestral homeland, preferably with its own protective state in a comity of national states. The memories, myths, symbols and values of which these 'deep' ethno-symbolic resources are composed furnish a distinctive and varied repertoire from which different elites can select those elements which can mobilize and motivate large numbers of their designated population, providing that they adhere to the cultural patterns and remain within the cultural parameters laid down by successive generations of a particular ethno-history. It is not difficult to see how the elements of these 'deep resources' can be drawn upon to renew the community, form it into a modern territorial 'nation' and point the way to a glorious destiny for the chosen people.

Similarly, the sheer diversity and variety of these resources, and of the particular ethno-symbolic elements of which they are composed, goes a long way to explaining the variety and diversity of nationalist expression and the individuality of each nation. Much of the answer to questions such as 'who is the nation?' and 'what is the character of this nationalism?', lies in the distinctive patterning of elements drawn from each of these ethno-symbolic resource repertoires – the memories of golden ages, the beliefs in ethnic election and the attachments to ancestral homelands. Hence the uniqueness of nations is not absolute. It is a consequence of the many permutations of myths, symbols, memories and values that compose the three sets of ethno-symbolic resources, as well as of any special features that mark out that community, such as its geopolitical and ecological position, its class composition and its ethnic and religious complexity.

Moreover, the uneven distribution of ethno-histories, ethno-religious beliefs and territorial attachments goes some way towards explaining another important aspect of nationalism: its variable intensity, not only between different communities but at different times within the same community. One might, for example, seek to explain the durability and intensity of Serb and Croat nationalisms in terms of their collective memories of former golden ages, vivid beliefs in ethnic election and powerful attachments to ancestral homelands. Conversely, the persistent but less intense nationalism of the Slovenes is explained, not only by their different political demography, but also by their relative lack, compared to their neighbours, of such vivid ethno-religious beliefs. Similarly, Basques and Catalans share equally vivid memories of former golden ages; but the greater intensity and exclusiveness of Basque nationalism is also related to their strong attachment to a relatively isolated homeland and their belief in ethnic election through nobility of blood (Schöpflin 1980; Greenwood 1977).

There is, however, a further complication. Cultural categories that are in the process of acquiring ethnic myths of origin and descent and attachments to ancestral homelands, may well feel at a cultural and historical disadvantage in relation to neighbours that have richer and better documented memories of golden ages and stronger beliefs in ethnic election. In other words, if they lack one or more of the ethno-symbolic 'deep resources' found among successful nations and more powerful nationalisms, they may seek to compensate for these deficiencies by a more violent display of territorial attachments and the rediscovery, even invention, of a suitable ethno-history. This may even be supplemented by a zealous return to a religious tradition that exalts their community above the belief-systems of their neighbours, as has to some extent occurred among Bosnian Muslims who till recently had been defined only in terms of *having once been Muslim*, a badge without content, but who are now engaged in a Muslim revivalism (Gellner 1983).

This goes some way to explaining why we witness so many intense and violent nationalisms among poorer, less educated peoples in fairly backward regions. It is not simply that they and their habitats are economically and educationally less advanced, in their own eyes no less than those of others; they are also less well-endowed with the deep resources of ethnohistory, ethnic election and ancestral homeland than some of their neighbours. Both Eritreans and Slovaks fit into this category. Slovaks in the early twentieth century and Eritreans after the 1960s felt at a disadvantage in terms of cultural distinctiveness and cohesion in relation to their neighbours, and compensated for this by either forging a community through conflict, or by stressing attachments to a homeland and its separate history and, in the Slovak case, the differential nature of its shared Catholic beliefs (see Horowitz 1985: ch. 6; Cliffe 1989; Pynsent 1994).

Analysis of the ethno-symbolic components of the three sets of 'deep resources' can help us, then, to assess the likelihood of persistence and selfrenewal of nationalism, and the durability and variety of nations. For example, the more an *ethnie* is also a faith-community harking back to a religious golden age, and the stronger its links with a particular, especially a sanctified, territory, the more intense and persistent is likely to be its nationalism and the ensuing nation. This is clearly the case with such diaspora communities as the Armenians, Greeks and Jews. In each of these ancient communities the ideal of collective restoration in their ancestral homelands emerged from a sense of exile of an ethnic elect whose members looked back nostalgically to the glorious epochs of its ethno-history (Klausner 1960; Campbell and Sherrard 1968: ch. 1; Atiyah 1968; Vital 1975; Armstrong 1982: ch. 7; Almog 1987: ch. 1).

In the case of the Afrikaners, a heightened form of ethnic election myth, of the strict covenantal variety, coupled with shared memories of the golden age of the Great Trek to a 'promised land' outside British domination, has produced a singularly intense and racially exclusive nationalism. The long series of conflicts of the ethnic elect with white oppressors and native unbelievers, notably in the era of Kruger, further consolidated the exclusive sense of Afrikanerdom and underpinned an extraordinarily resilient and durable Afrikaner ethnic nationalism (Thompson 1985; Akenson 1992).

Similar deep resources are drawn upon by an Ulster Scots nationalism which since the seventeenth century has grown more intense and pervasive in north-eastern Ireland. Here vivid shared memories of the vicissitudes of

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the first settlements, and memories of earlier golden ages after the battle of the Boyne and the siege of Londonderry, are coupled with a deep-rooted belief in ethnic election as God's covenanted people to produce a powerful attachment to Ulster as the ancestral and promised homeland of the Protestant settlers (see Lyons 1979; Akenson 1992: ch. 4).

## SELF-RENEWAL IN THE 1990S

Of course none of these components can tell us *when* nationalisms are likely to emerge. The *timing* of nationalist resistance depends largely on material and geo-political trends, including:

- the rise of an intelligentsia, able to translate ethno-historical traditions, ethnic beliefs and territorial attachments into the language of modern nationalism, the language and symbolism that have become common currency in the contemporary world;
- 2) the socioeconomic development and cultural infrastructure (schools, universities, books, newspapers, modernized language, film and TV, the arts) of the community designated by the intelligentsia and other elites as the nation-to-be, and hence its ability to form a durable nationalist movement and wage an often protracted struggle against alien oppressors;
- the reactions of state elites of the polity in which the community is incorporated and the nationalism located, whether such elites be indigenous or foreign;
- 4) the general geo-political situation, including changing international attitudes to ethnic separatism and irredentism and the regional location of the mooted nation.

On the geo-political level, indeed, the 1990s has witnessed a cautious relaxation of the rigid and hitherto almost frozen inter-state system. The possibility of some limited redrawing of territorial boundaries has been admitted, albeit only in a few select cases. The greater fluidity of a multipolar era after the end of the Cold War has encouraged new attitudes in this respect, but the emphasis throughout has been on orderly and limited change. While Eritrea and the ex-Soviet republics could be seen as the inevitable consequences of dismantling the last vestiges of imperialism and colonialism (in which case the UN Charter sanctions separatism), the ethnic secessions of Slovakia, Croatia, Bosnia and Slovenia have opened up vistas of more radical ethnic territorial changes which the West has not welcomed, nor the UN Charter allowed (see Wiberg 1983; Mayall 1990: ch. 4).

State elites too have been cautious. Most have remained firmly committed to the political status quo, often violently so, as in Turkey, the Caucasus and Sri Lanka; and the superpowers, themselves composed of a variety of ethnic communities, have been loth to consider, let alone encourage, separatism. Nevertheless a few state elites have been reluctantly prepared to concede peaceful secessions, as in Czechoslovakia and possibly in Quebec at some future date.

At the same time, many of the nationalisms of the 1990s operate on behalf of ethnic communities and cultural categories that possess considerable socio-cultural resources and have produced intelligentsias who can mobilize their ethnic kinsmen. Many of these movements, too, are heirs to other waves of ethnic nationalisms which appeared in earlier decades or centuries. Some of these earlier movements were arrested or emasculated, and their intelligentsias silenced or deported. This is what happened under Stalinism in the former Soviet Union from the 1920s and in Eastern Europe from the 1940s; some of the current movements have openly taken up their cause where it was so rudely interrupted after the Bolshevik Revolution. In other cases, in Africa and Asia, ethnic nationalisms have been smouldering since the 1950s and 1960s - in Eritrea, among the Shan and Karen in Burma, the Moro in the Philippines, the southern Sudanese, the Palestinians, Tamils, Sikhs and Nagas, reappearing with renewed vigour in successive situations. In yet other instances, the origins of the ethno-nationalist movements can be traced back to the early twentieth century - among Kurds and Bretons, Arabs and Turks, Indonesians and Burmese - or even into the nineteenth century - among Basques and Catalans, Scots, Welsh and Irish, Finns, Latvians and Lithuanians, Czechs and Slovaks, Serbs and Croats, and many others. In the later twentieth century, many of these nationalisms have changed direction, acquired a new social and economic programme and appealed to new strata of the population. But they remained firmly within the parameters of their ethno-historical traditions, drawing on the same shared memories, ethnic myths and territorial attachments, even if the language had become secular and political, and the symbolism reflected the needs of modern communities rather than those of their premodern ethno-religious forbears.<sup>8</sup>

Though these nationalisms were modern and the nations they helped to create relatively new, their cultural and social bases, the ethno-symbolic elements that made each nation and nationalism individual and distinctive and gave to each its persistent character, derived from specific repertoires and resources of ethno-history, religious myth and ancestral territory. This is true of nationalisms in every continent and all economic backgrounds. Thus in the advanced industrial capitalism of North America we have witnessed a relatively recent nationalist upsurge among the Québecois, which started in the 1950s with the silent revolution of the Francophone intelligentsia. But the deeper well-springs of that movement derive from the peculiarities of the Québecois ethno-history of subordination to British domination, from earlier defensive Catholic beliefs in ethnic superiority and from powerful territorial attachments to the province, all of which sustained the community in its long period of relative agrarian isolation and which now undergird its modern secular expressions (Pinard and Hamilton 1984).

#### CONCLUSION

In the history of ethnicity and nationalism, then, there is nothing peculiar about the upsurge of ethnic nationalism in the 1990s in the aftermath of the Cold War, beyond a very partial and perhaps temporary relaxation of habitual inter-state norms and attitudes to ethnic claims and national aspirations. As I said at the outset, ethnic nationalisms surface in all kinds of crisis situation and every type of social and political change. The real questions concern not the timing of the surges and resurgences, but the character and intensity of the ethnic nationalisms, and the durability and variety of the nations they aim, and sometimes succeed, in creating. These are 'who' and 'what', rather than 'when' and 'where', questions. We need to know why some nations arise rather than others, why these nations and nationalisms possess a particular character, and why some nationalisms are more intense and exclusive than others.

My suggestion is that, to answer these questions, we should look not only at the specific economic and political circumstances in which given nationalisms emerge, but also at the 'deep' ethno-symbolic resources that they command. In particular, the durability and character of a given nationalism can be in large part explained by analysing the ethno-historical, religious and territorial heritages that its proponents can draw upon. These ethno-heritages set the limits and provide the patterns within which modern elites, religious or secular, must operate if they are to be successful in mobilizing large numbers of their designated co-nationals. They also furnish the models and inspiration for the regenerative and purificatory drives of modern nationalism. Above all, the light of shared memories, religious convictions and ancestral attachments sustains ethnic communities and nationalist movements in their long hours of darkness through an historically-based faith in a glorious collective destiny.

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#### NOTES

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1. There is a long debate among scholars about the origins and dating of

nationalist ideology. For most, the late eighteenth century marks the moment of origin, the period of the Partitions of Poland and the American and French Revolutions. See *inter alia* Kohn (1967), Cobban (1969) and Mosse (1994). For the problems of definition, see Smith (1983: ch. 7) and, for the present definition (1991, ch. 4).

2. There are several types of 'primordialism'. In addition to the naturalist variant of many nationalists, a sociobiological version is represented by Van den Berghe (1979). A more modest 'participants' primordialism' can be found in Geertz (1963) and Shils (1995). See the rejoinder by Grosby (1994) to the critique by Eller and Coughlan (1993).

3. The misleading nature of this term, in the light of the ethnic pluralism of the majority of the world's states, is underlined by Connor (1972). Thus Tilly's term, the 'national state' (Tilly 1975) seems preferable; this is a state that seeks broader national unity on the basis of nationalist ideals.

4. For the problems of defining the concept of 'the nation', see Deutsch (1966: ch. 1) and Connor (1978). For the definition adopted here, see Smith (1991: ch. 1).

5. There is a keen debate on the merits and demerits of ethnic and civic nationalisms. See especially Plamenatz (1976), Breton (1988), Ignatieff (1993) and Smith (1994b); for French and German criteria of citizenship, and their different forms of nationalism, see Brubaker (1992) and Llobera (1994).

6. For a much fuller exposition of these arguments, see Smith (1995: ch. 1). For various assessments of global culture and nationalism, see Featherstone (1990).

7. There is a vast literature on ethnicity and identity. For attempts to relate them, see Barth (1969); Epstein (1978); Armstrong (1982); and Eriksen (1993). For a discussion of ethnic and national identity in pre-modern epochs, see Smith (1994a).

8. On the 'ethnic revival' in the West, see Esman (1977) and Smith (1981a). For a more general analysis of ethnic separatism in Africa and Asia, see Horowitz (1985). For the background to national separatism in the former Soviet Union, see G. Smith (1990).

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