

# Breathing

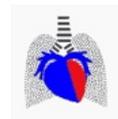
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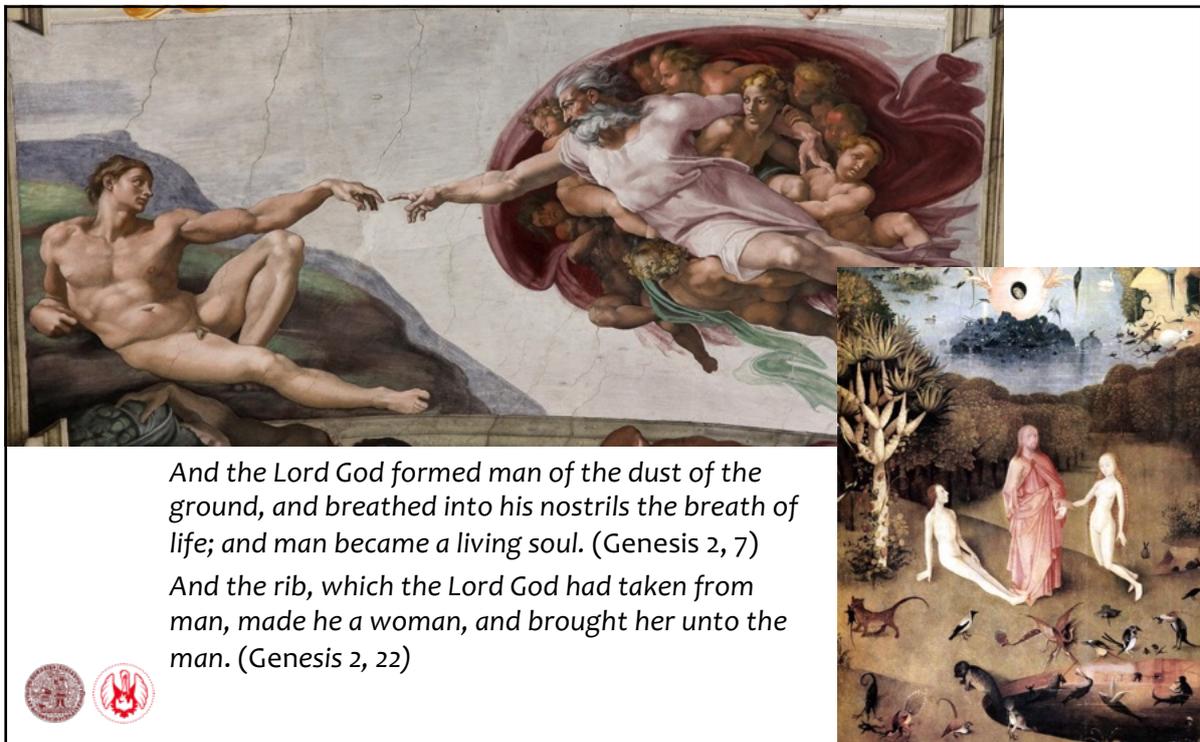
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CHARLES UNIVERSITY  
Second Faculty of Medicine



1



*And the Lord God formed man of the dust of the ground, and breathed into his nostrils the breath of life; and man became a living soul. (Genesis 2, 7)*

*And the rib, which the Lord God had taken from man, made he a woman, and brought her unto the man. (Genesis 2, 22)*



2

# Oxygen

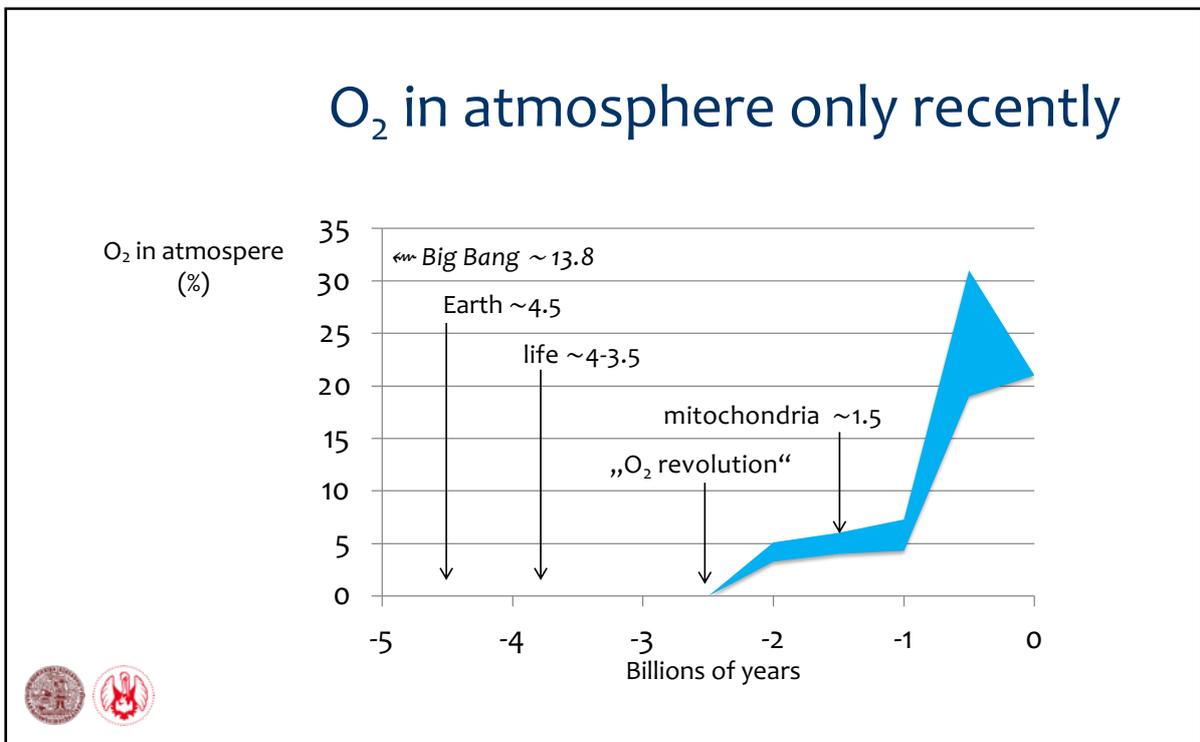
Joseph Priestley 1774

Antoine Laurent Lavoisier

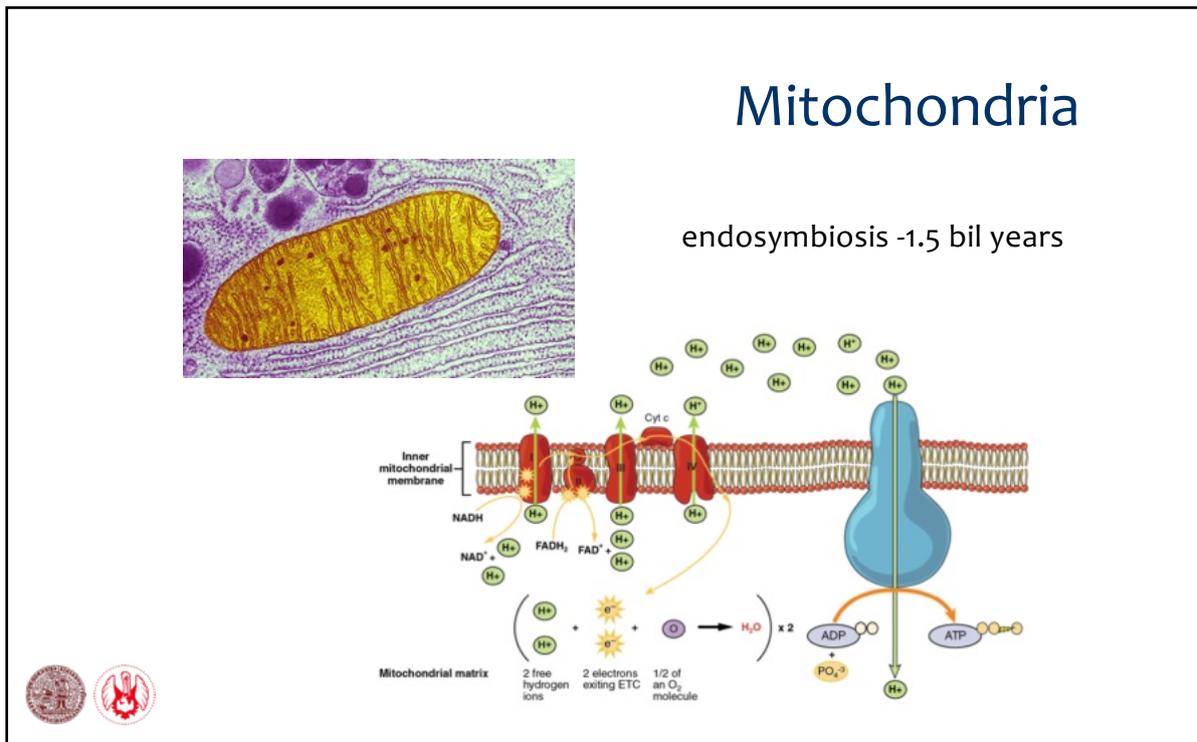




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7

## O<sub>2</sub> (& CO<sub>2</sub>) transport

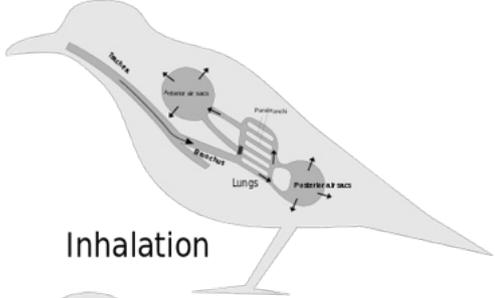
- small organisms – **diffusion**
  - short diffusion distance
  - large surface area relative to volume
- larger organisms – diffusion + conduction
- conduction in aquatic organisms – bringing water to diffusion surface (O<sub>2</sub> in water << in air)
- conduction in terrestrial vertebrates:
  - breathing
  - circulation

8

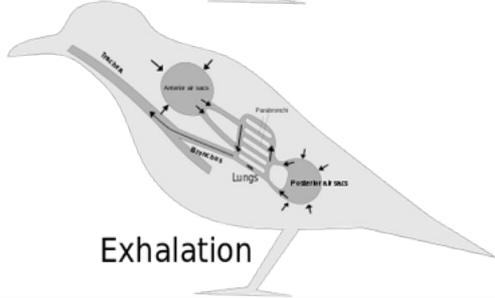
## Breathing in vertebrates




- amphibians – „swallowing“ air + skin
- reptiles
  - dinosaurs – similar to birds
  - others – similar to mammals
- birds – parabronchi + air sacs (7-9)
- mammals - alveoli



Inhalation



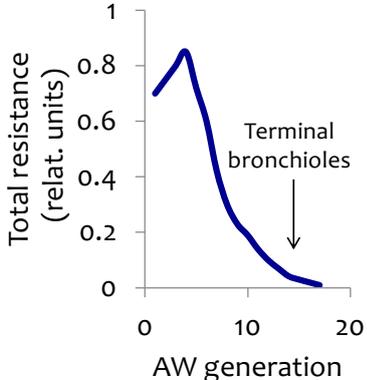
Exhalation



10

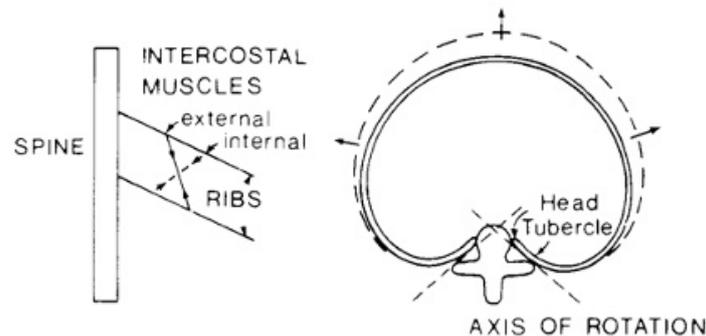
## Breathing in mammals

- branching airways (dead space; total resistance↓)
- alveoli (large surface area)
- diffusion (short diffusion distance)
- perfusion
- ventilation:
  - active inspiration (negative pressure)
    - **diaphragm**, external intercostal muscles
  - passive resting exhalation
    - chest weight, lung elasticity




11

## Intercostal muscles in breathing



12

## Determinants of lung gas transport

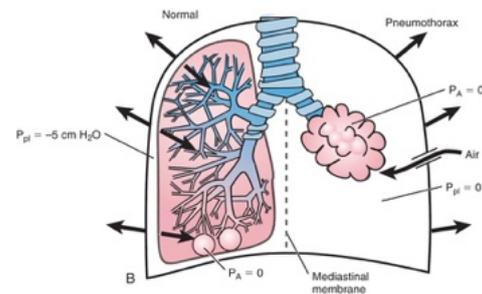
- Lung ventilation ( $\dot{V}$ )
  - how  $O_2$  and  $CO_2$  reach the alveolocapillary membrane
  - what determines the amount of gas that is exchanged between the atmosphere and the alveoli
    - dead space ( $V_D$ )
    - functional residual capacity (FRC)
- Diffusion in the lungs (D)
  - determines the passage of  $O_2$  and  $CO_2$  across the alveolocapillary membrane
- Pulmonary perfusion (Q)
  - how venous blood is led into lungs from periphery
  - how  $O_2$  - rich blood with little  $CO_2$  is led from lungs to periphery
- Pulmonary ventilation/perfusion ratio ( $\dot{V}/Q$ )



13

## Resting lung position (end-expiration)

- lung „elastic recoil“  
X
- chest tendency to expand  
↓
- slight negative pressure in intrapleural space ( $P_{IP} < P_B$ )  
( $P_{IP} \sim -5 \text{ cmH}_2\text{O}$ )



15

## Interpleural space

- very thin
- filled with fluid (~8-10 ml)
  - non-compressible – transduction of pressures
- pressure measured in esophagus
- in mammals absent only in elephants  
(pleurae connected by loose ligament)



16

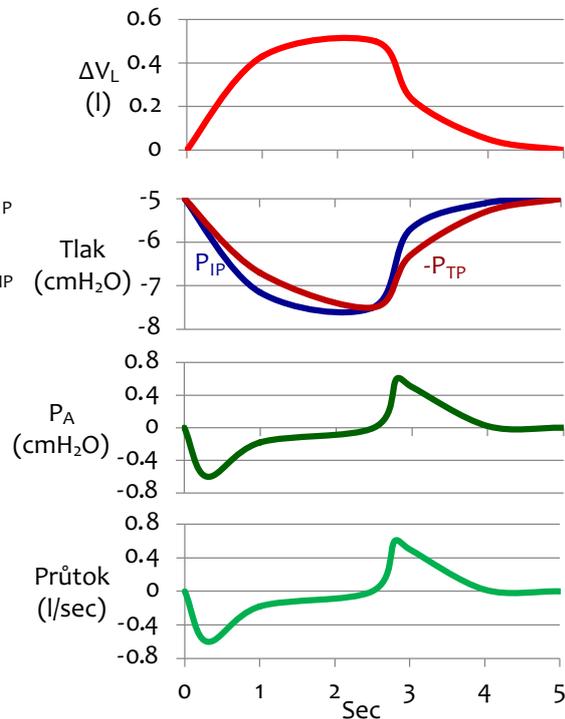
# Breath cycle

- interpleural pressure ( $P_{IP}$ ) controlled by the brain (via respiratory muscles)
- transpulmonary pressure ( $P_{TP}$ ) =  $P_A - P_{IP}$ 
  - follows  $P_{IP}$  with a short delay
  - end of inspiration & expiration:  $P_{TP} = -P_{IP}$
  - determines volume ( $V_L$ ) - together with compliance
- alveolar pressure ( $P_A$ ) =  $P_{IP} - P_{TP}$ 
  - $P_A - P_B$  determines flow - together with resistance

*At the beginning of inspiration & expiration more energy of  $\Delta P_{IP}$  for the dynamic component of work (flow), for the rest of the cycle more for the static component ( $V_L$ )*



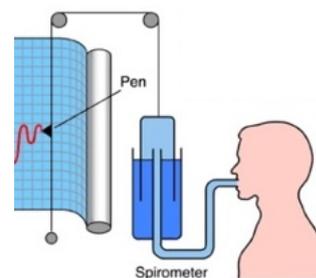
(1 cmH<sub>2</sub>O ~ 0.75 mmHg ~ 0.1 kPa)



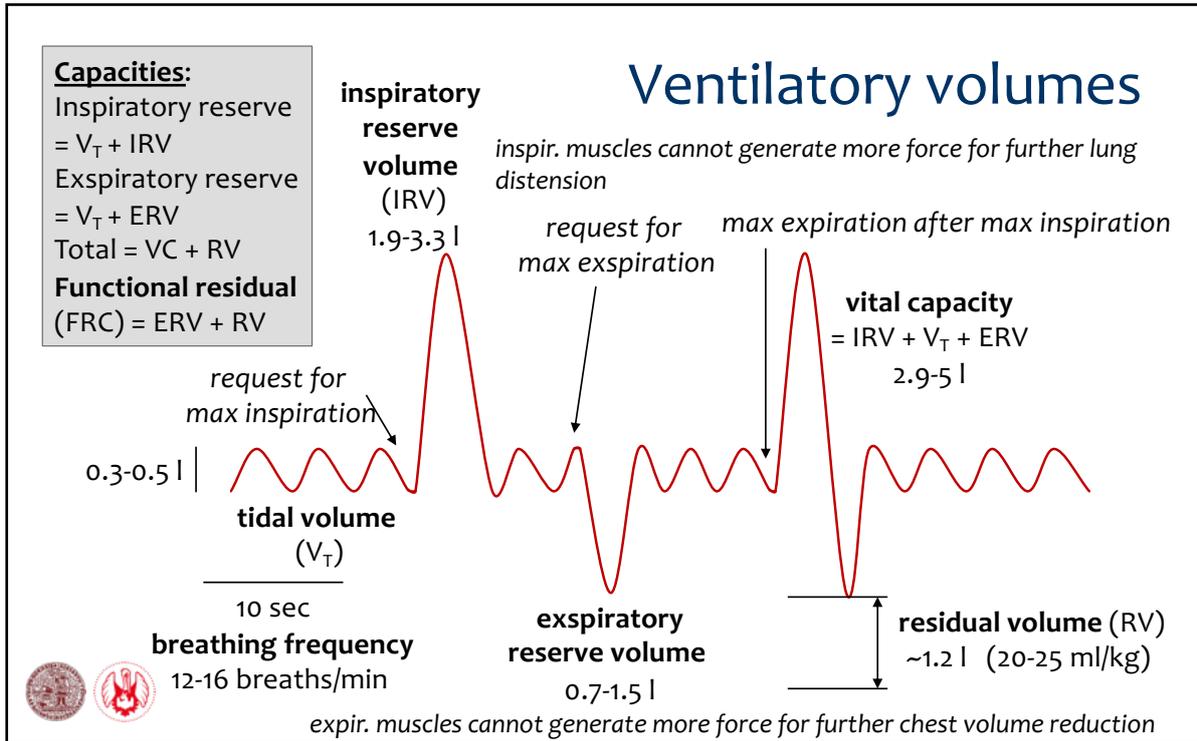
18

# Spirometry

- Ventilatory volumes & capacities (“static”)
- Breath flow velocity (pneumotachograph) („dynamic“)



19



20

## Measuring FRC - plethysmograph

$P_1 \times V_1 = P_2 \times V_2$  (Boyle's law)  
 (resp. ideal gas law:  $P \times V = nRT$ )

- breathing from outside w/ valve open
- $P_1$  &  $V_1$  at the end of resting expiration ( $V_1 = FRC$ )
- valve closed
- small attempt at inspiration ( $\rightarrow \Delta V, \Delta P$ )
- $P_2$  &  $V_2$  ( $P_1 - \Delta P; V_1 + \Delta V$ )
- $P_1 \times FRC = (P_1 - \Delta P) \times (V_1 + \Delta V)$
- $FRC = \Delta V \times [(P_1 - \Delta P) / \Delta P]$
- after max expiration: RV

**Includes air trapping**

tracheal pressure  $\Delta P$

airway closure

Body plethysmograph

pressure

valve

volume changes

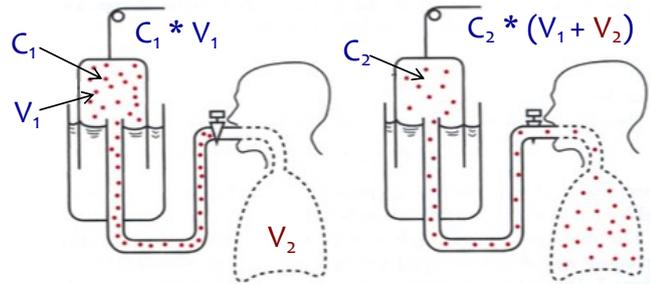
22

## Measuring FRC – dilution methods

$$c_1 \times V_1 = c_2 \times V_2 \text{ (= amount)}$$

■ He dilution  
(closed method)

- breathing from & into a bag w/ known initial [He] (usually 10% in O<sub>2</sub>)
- $C_1 \times V_1 = C_2 \times (V_1 + V_2)$



■ N<sub>2</sub> washout  
(open method)

- inhaling from an O<sub>2</sub> storage, exhaling into empty bag
- N<sub>2</sub>: amount:  $C_p \times \text{FRC} = C_s \times V_s$   
(C<sub>p</sub> = initial, C<sub>s</sub> a V<sub>s</sub> = in spirometer)

*Dose not include air trapping  
(that can be quantified from the difference against pletysmography)*

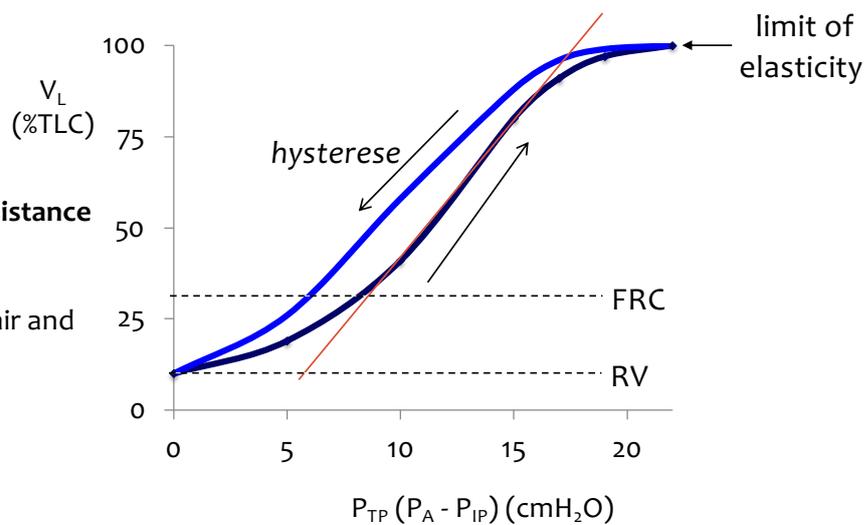


23

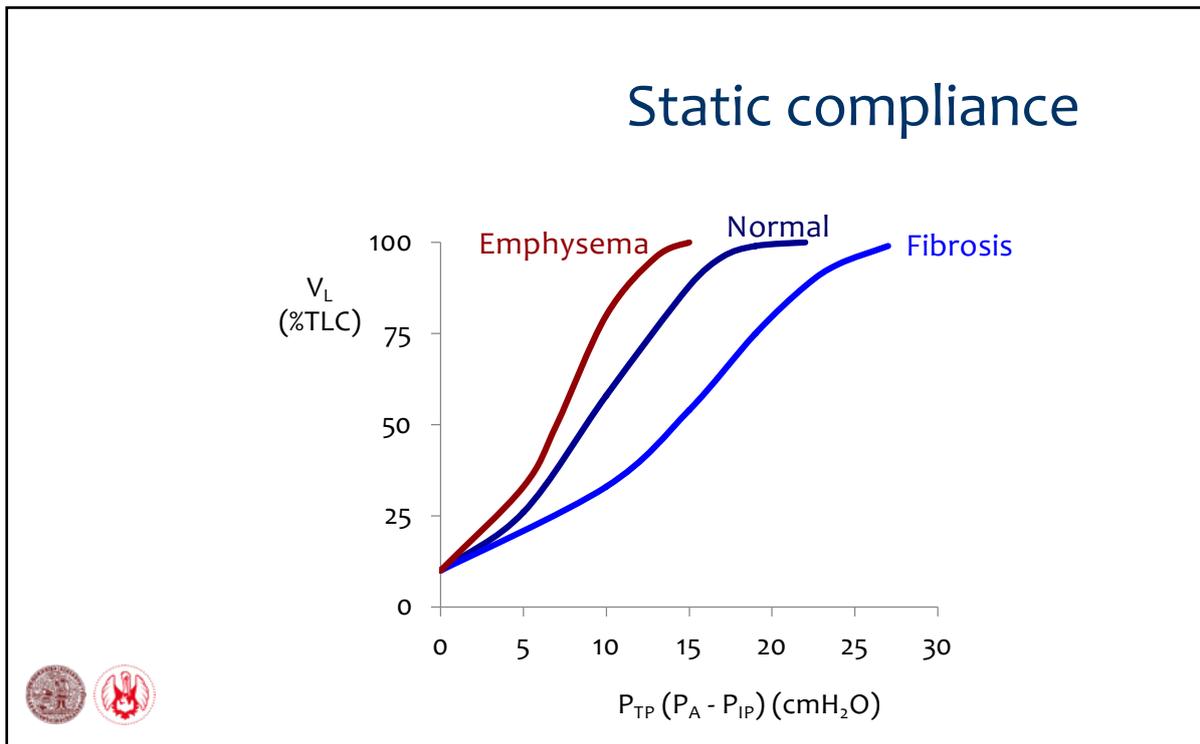
## Static compliance

not included:

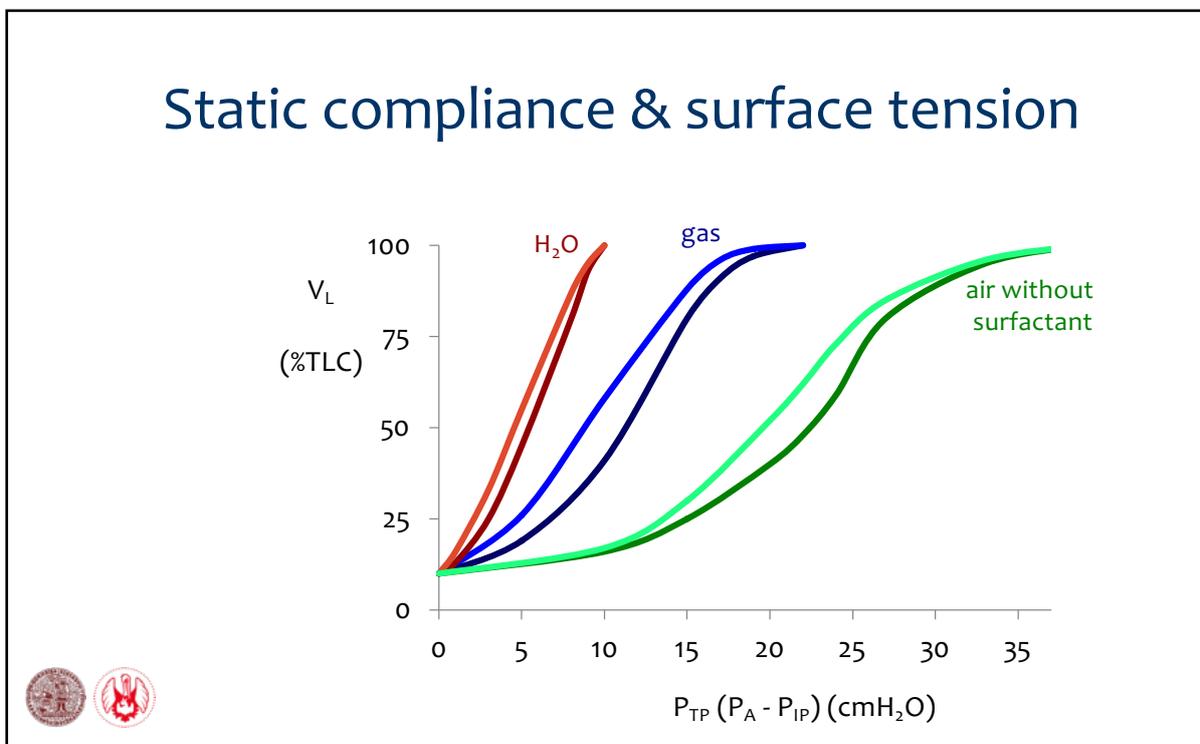
- airway resistance
- lung-chest friction
- inertia of air and tissues



24



25



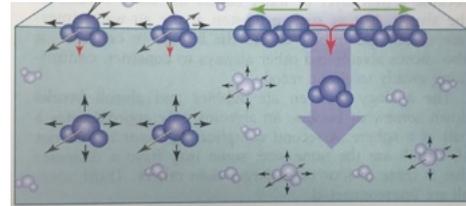
26



Pierre-Simon,  
marquis de Laplace  
(1806)

## Surface tension

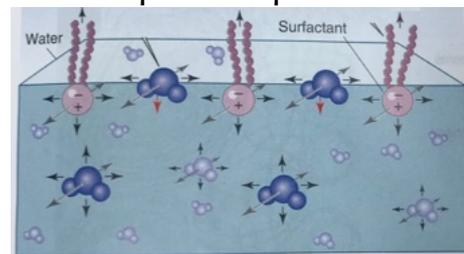
- inside water volume: molecules act on each other equally in all directions – cancels out
- on surface: only from below & laterally – pulling in → lateral pull
- on bubble surface like a strait-jacket – increases pressure inside, preventing further ↓
- LaPlace law:  $P = 2T/r$
- → smaller bubble empties into larger ( $T_1/r_1 = T_2/r_2$ )



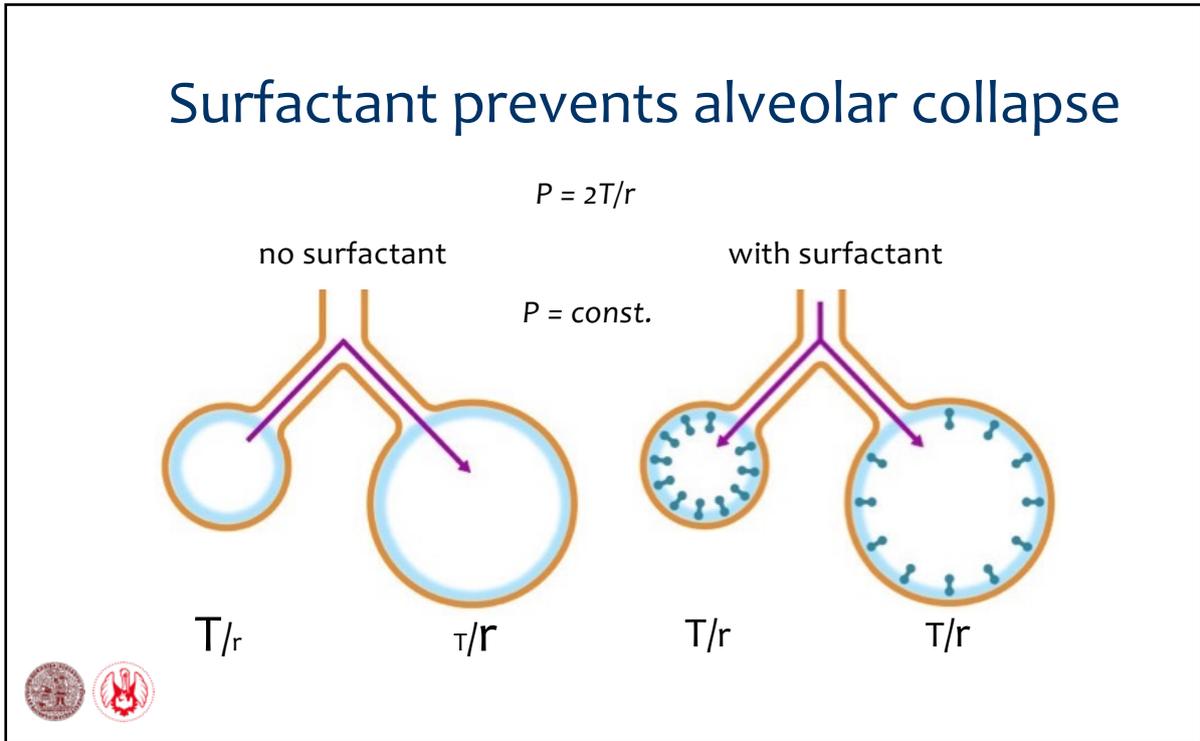
27

## Surfactant: Type II. alveolar cells

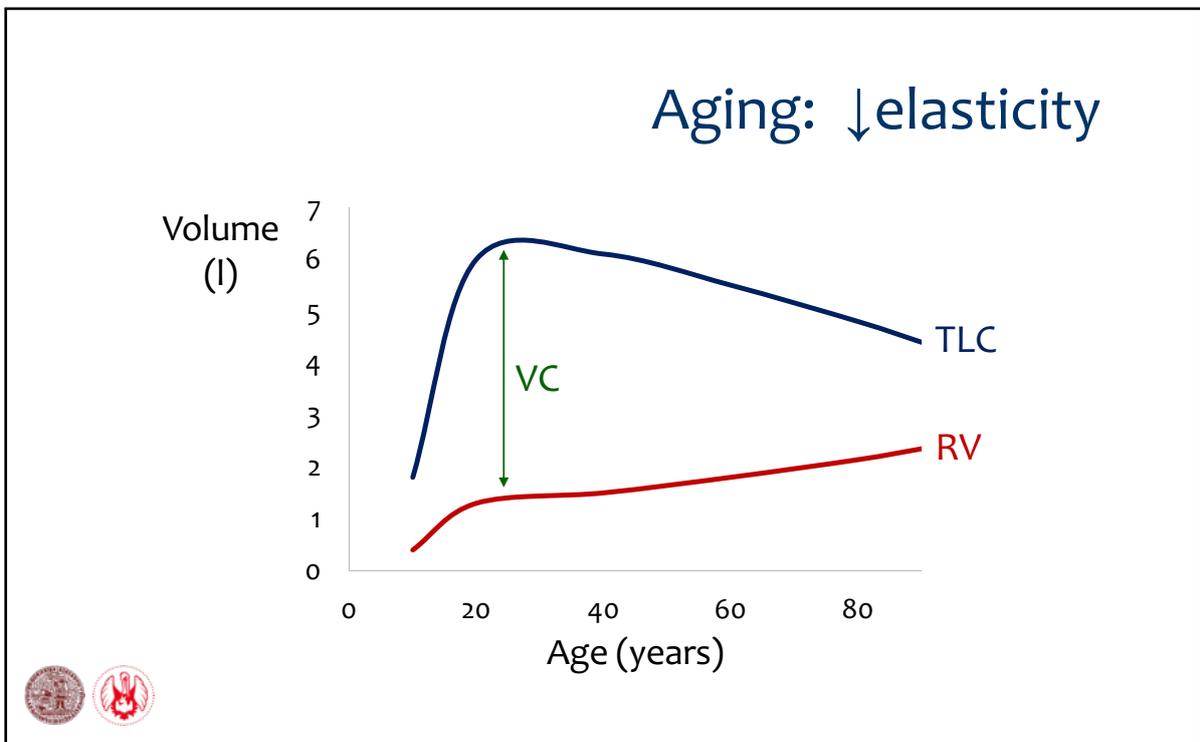
- amphiphilic - hydrophobic & hydrophilic groups
- hydrophobic group extends out of water phase – pulls molecule out
- proteins ~10%
  - plasmatic proteins (1/2)
  - apolipoproteins (surfactant proteins SP-A, SP-B, SP-C, SP-D)
- 85% of lipids are phosphatidylcholins
  - mostly dipalmitoylphosphatidylcholin (DPPC)
  - phosphatidylglycerol - 11% of lipids



28



30



32

## Airway resistance

- 1/3 = upper respiratory tract (nose, pharynx, larynx)
  - mouth less resistance than nose (exertion)
- middle airways relatively high resistance (flow high, total cross sectional area still quite low)
- peripheral airways low resistance

*pararell:*  $1/R_{tot} = 1/R_1 + 1/R_2 + 1/R_3 + \dots$   
*(vs. serial:  $R_{tot} = R_1 + R_2 + R_3 + \dots$ )*

35



## Turbulent flow

- movement of molecules in all directions → extra energy needed
- Reynolds number:  $Re = 2rvd/\eta$  ( $>2000 \rightarrow$  turbulence)
- large airways:  $Re > 2000$
- small airways:  $Re < 2000$

- laminar – silent
- turbulent - sounds



36

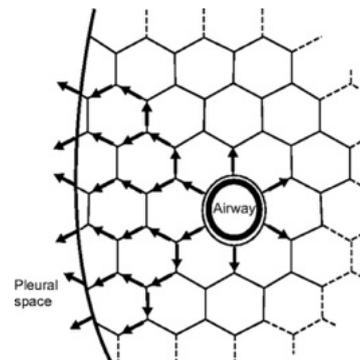
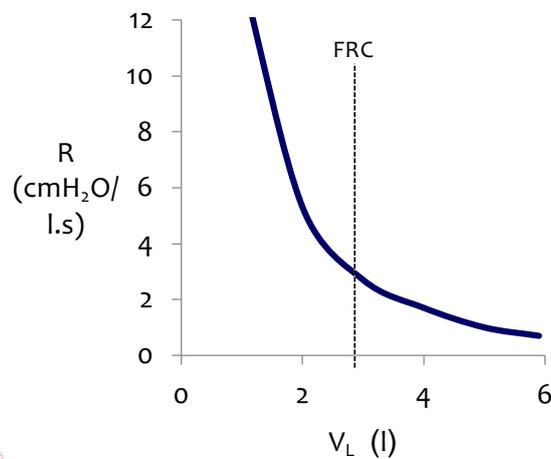
## Airway resistance

- $\dot{V} = (P_A - P_B)/R$
- $R = (8/\pi) \times (v \times l)/r^4$   
(Hagen-Poiseuille)
- $R = (P_A - P_B)/\dot{V}$   
= airway resistance  
(+20% is tissue resistance  
- friction of lungs & thoracic tissue at their  
movement against each other)



37

## Airway resistance depends on lung volume



↑ airway r at ↑  $V_L$   
(tissue tethering)

↑ R at expiration  
(bronchiolar collapse)



38

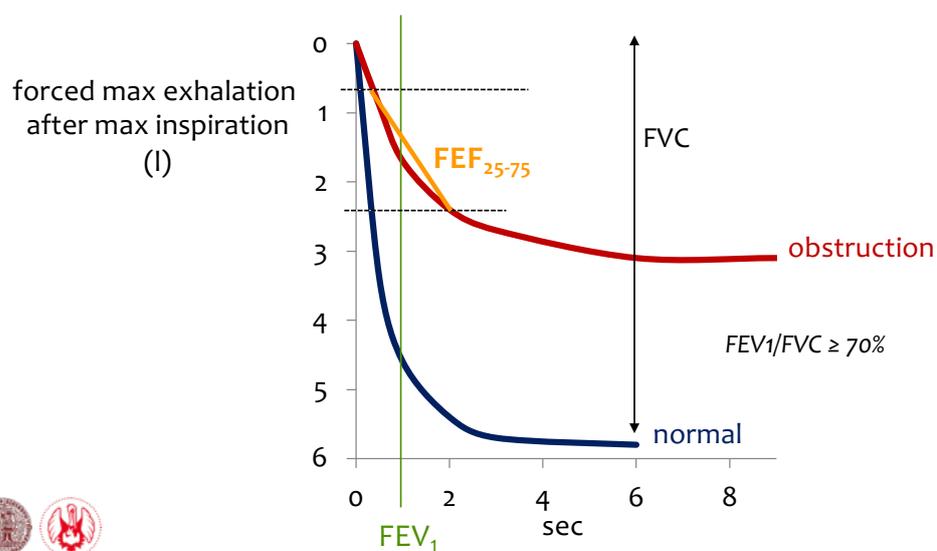
## Regulation of airway resistance

- n. vagus – bronchoconstriction
- sympathetic –  $\beta_2$  dilatation  
(NA weak agonist, adrenaline strong agonist)
- histamine,... - bronchoconstriction

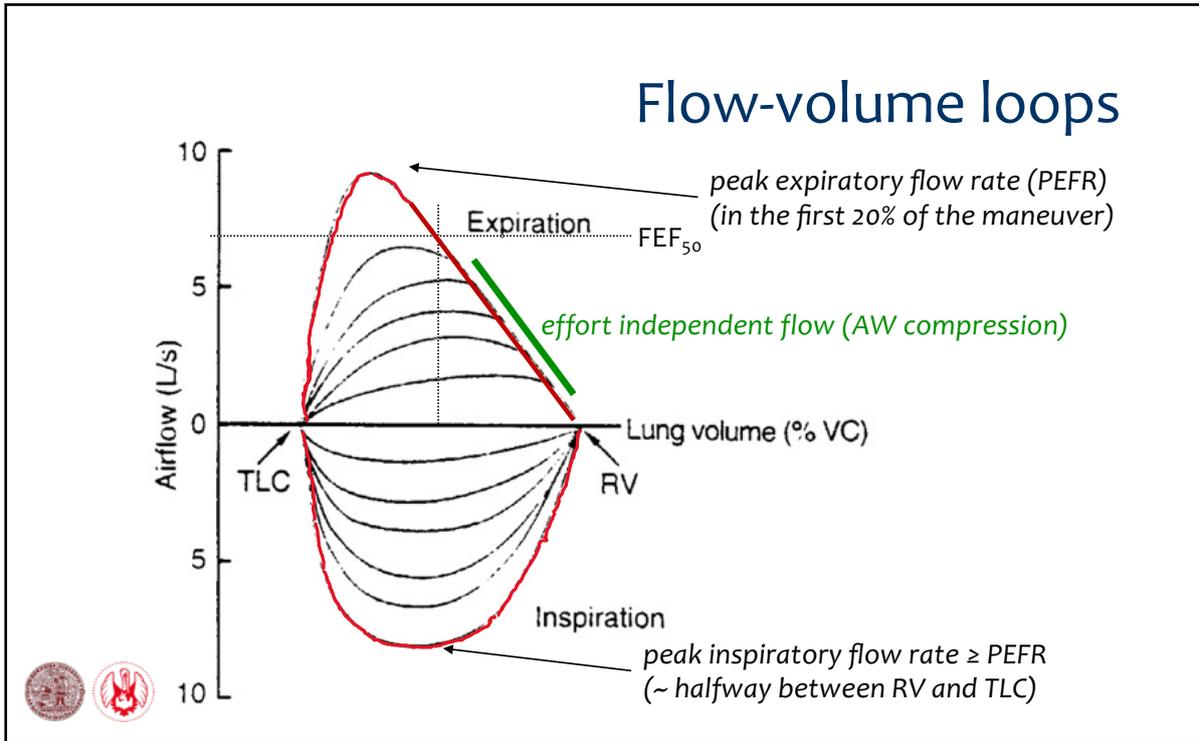


39

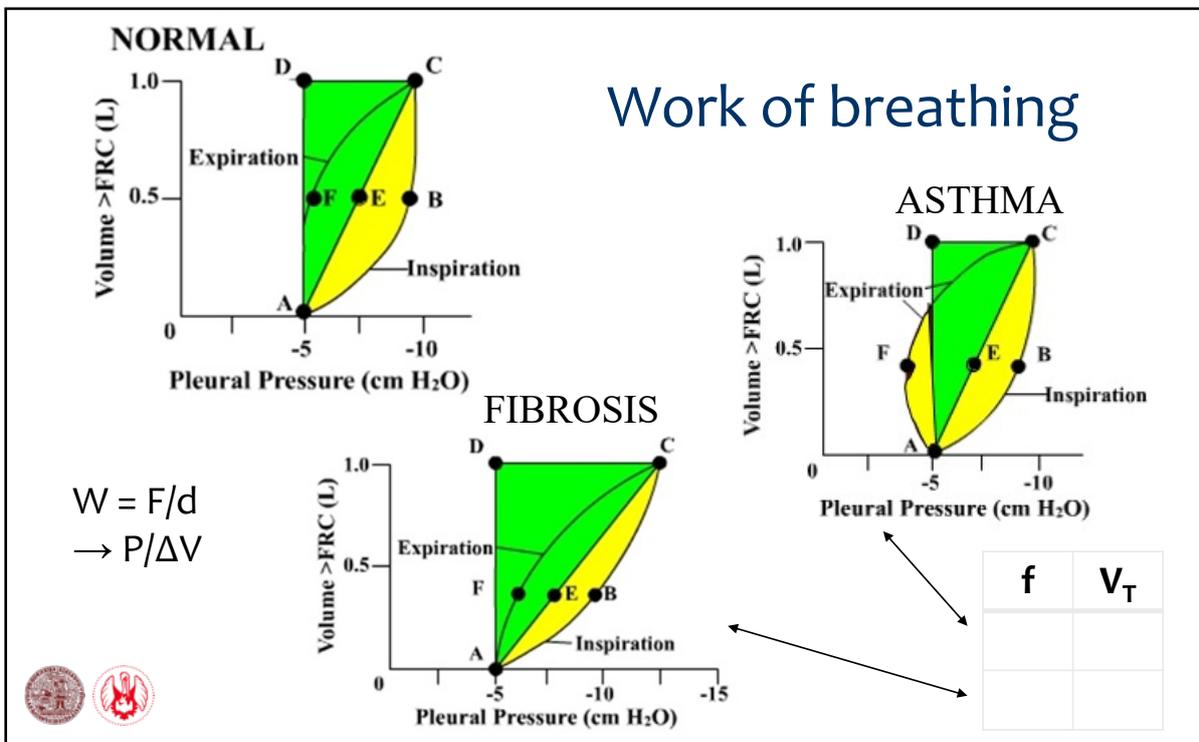
## Spirogram



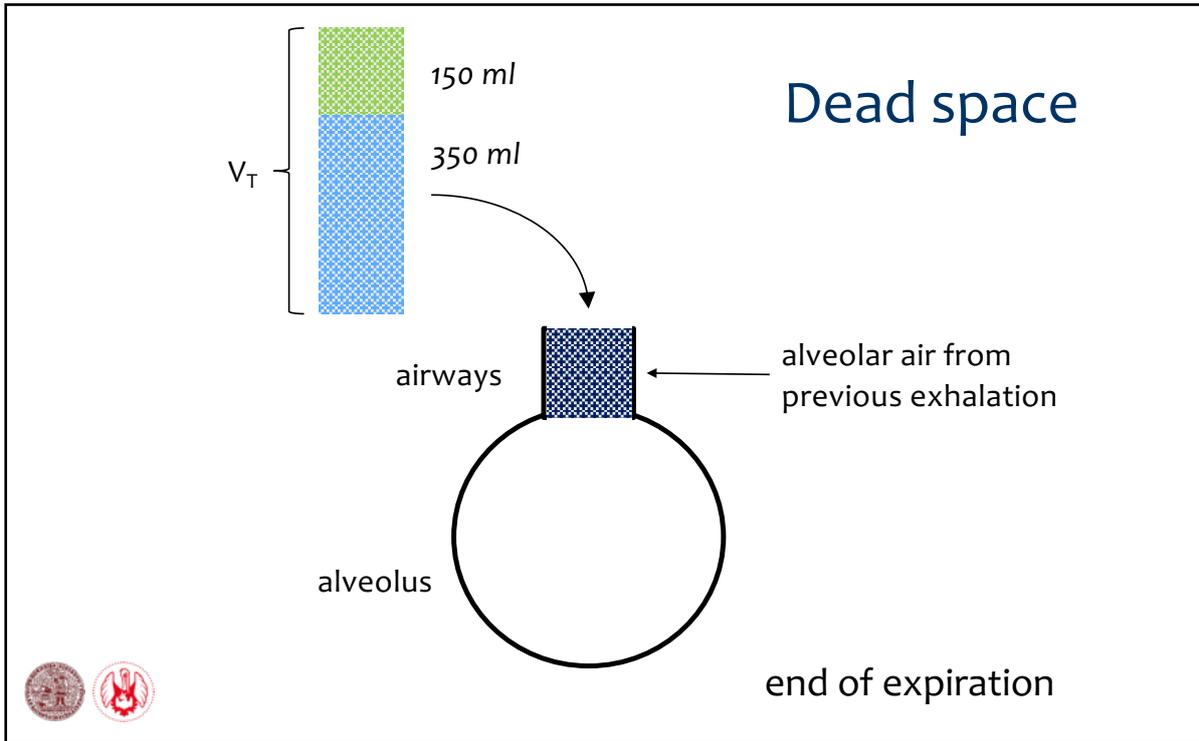
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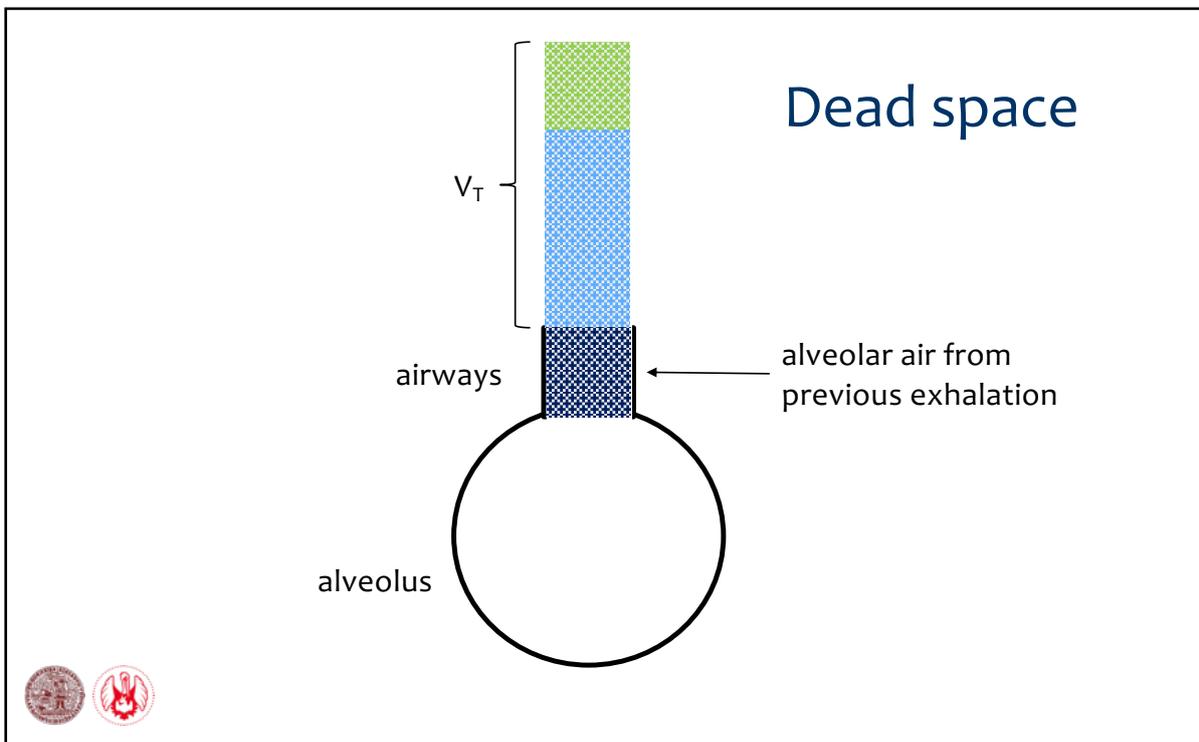
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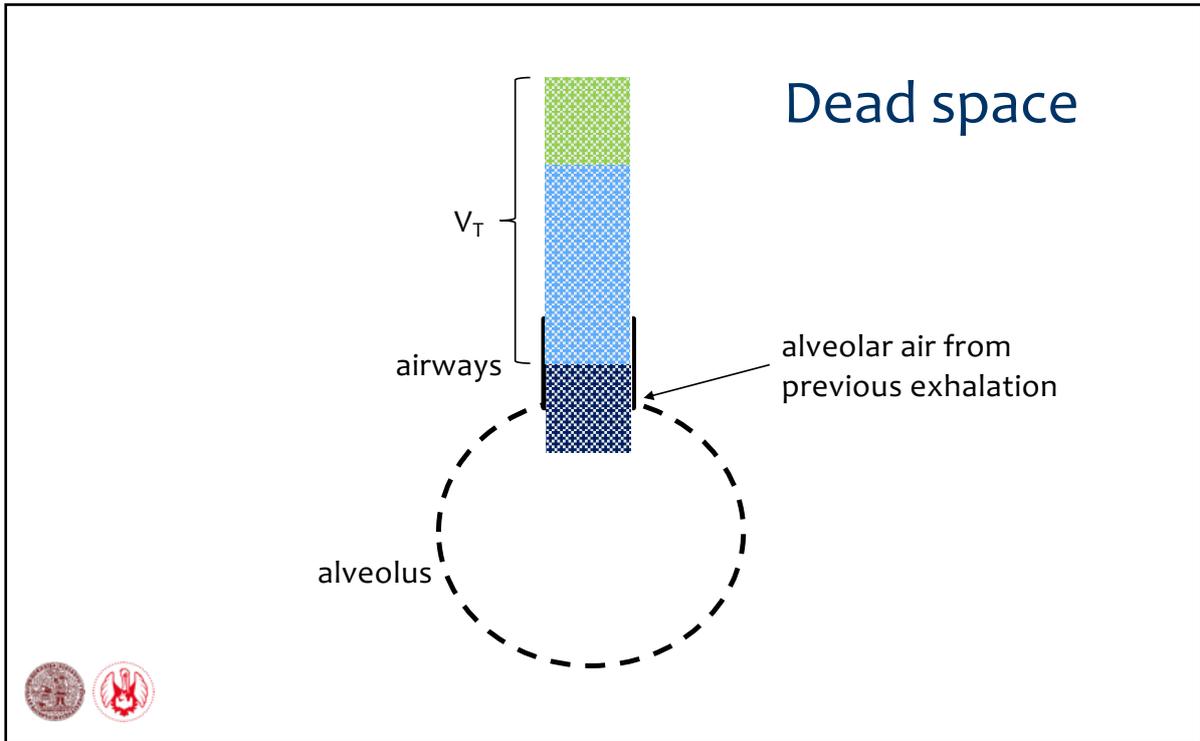
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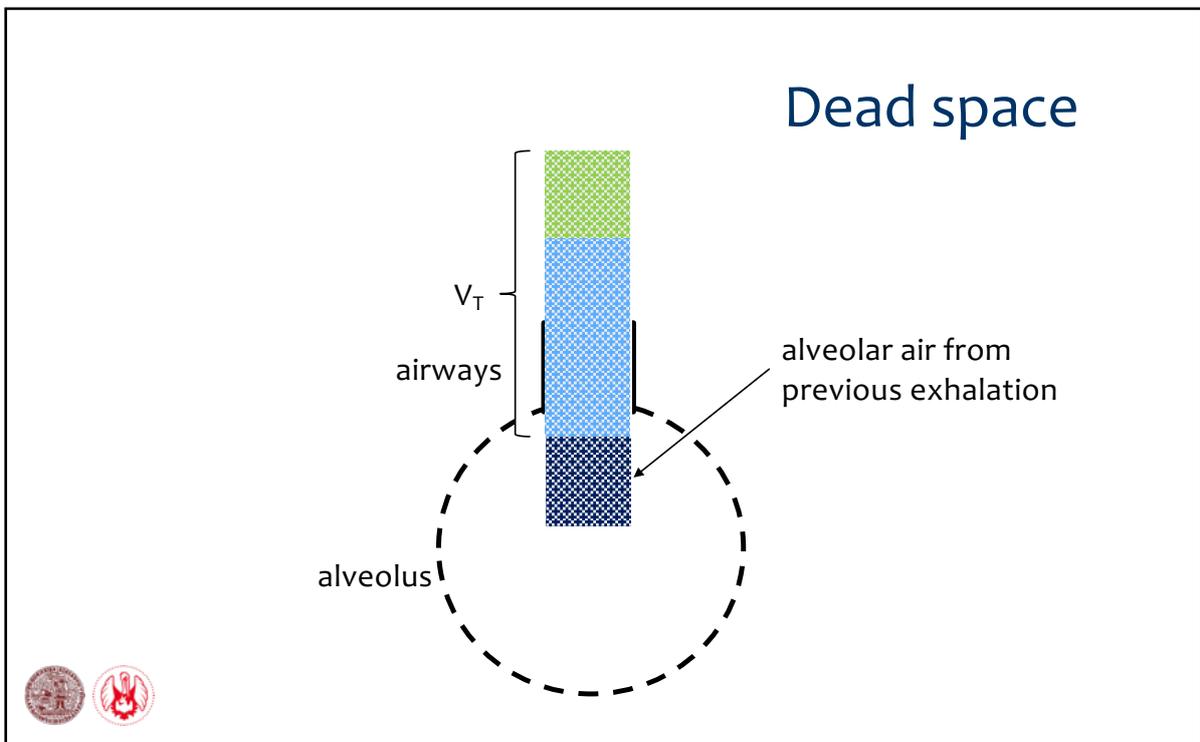
61



62



63



64

## Dead space

- $\dot{V}_A = (V_T - V_D) \cdot f$
- $\dot{V}_D \sim 30\% \dot{V}_T$

inhaled air that fills airways  
(not getting into alveoli)

alveolar air from  
previous exhalation

end of inspiration

inhaled air that  
participates in  
gas exchange

airways

alveolus

65



## Dead space ( $V_D$ )

- volume, which is
  - ventilated,
  - but does not participate in gas exchange

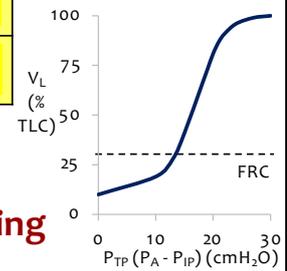
- anatomical
- functional (alveolar) } physiological



66

## Relationship between tidal volume, frequency & effective ventilation

Minute ventilation ml/min	Tidal volume ml	Frequency c/min	Alveolar ventilation ml/min	Dead space ventilation ml/min	Effective ventil. %
8000	500	16			



Why not to breathe with minimal frequency?

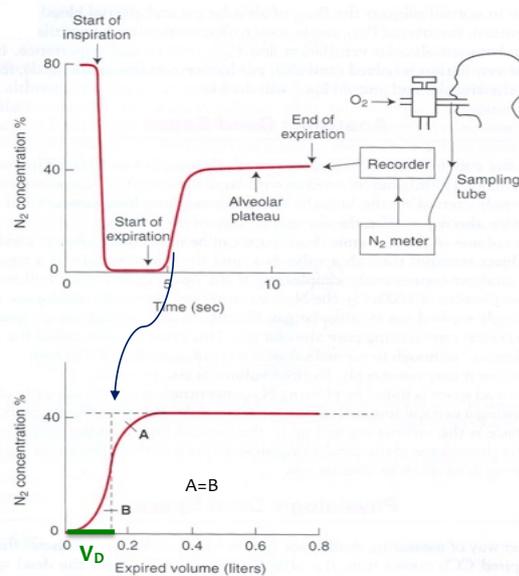
**Work of breathing**



67

## Measuring $V_D$ : Fowler

- single-breath  $N_2$  washout
  - 100%  $O_2$  (0%  $N_2$ ) inhaled
  - on exhalation, first 0%  $N_2$  from  $V_D$ , then mixture
- or exhaled [ $CO_2$ ]
  - virtually 0%  $CO_2$  at inspiration



70



Christian Bohr  
(1855–1911)

## Measuring $V_D$ : Bohr

mixed exhaled  $CO_2$  ( $P_{ECO_2}$ )  
=  $CO_2$  from  $V_D$  +  $CO_2$  from  $V_A$

→ the higher  $V_D$  the more  $CO_2$  from  $V_D$  (~0) "dilutes"  $CO_2$  from  $V_A$

$$V_D/V_T = (P_{ACO_2} - P_{ECO_2}) / P_{ACO_2} \quad (\text{Bohr equation})$$

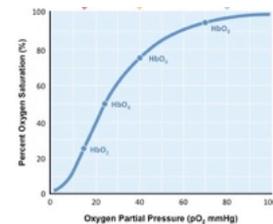
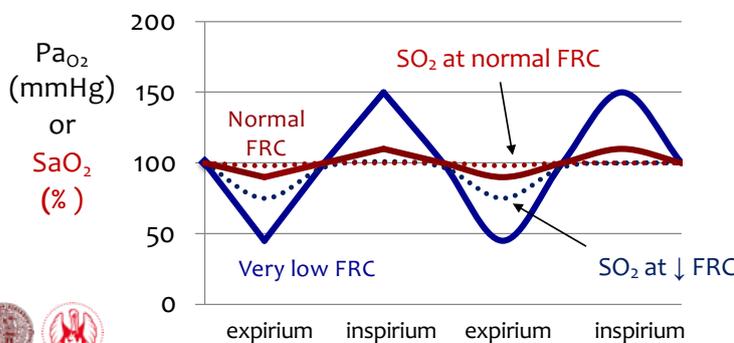
$P_{ACO_2}$  – end-expiratory (or  $P_{aCO_2}$ )



71

## Why FRC/RV?

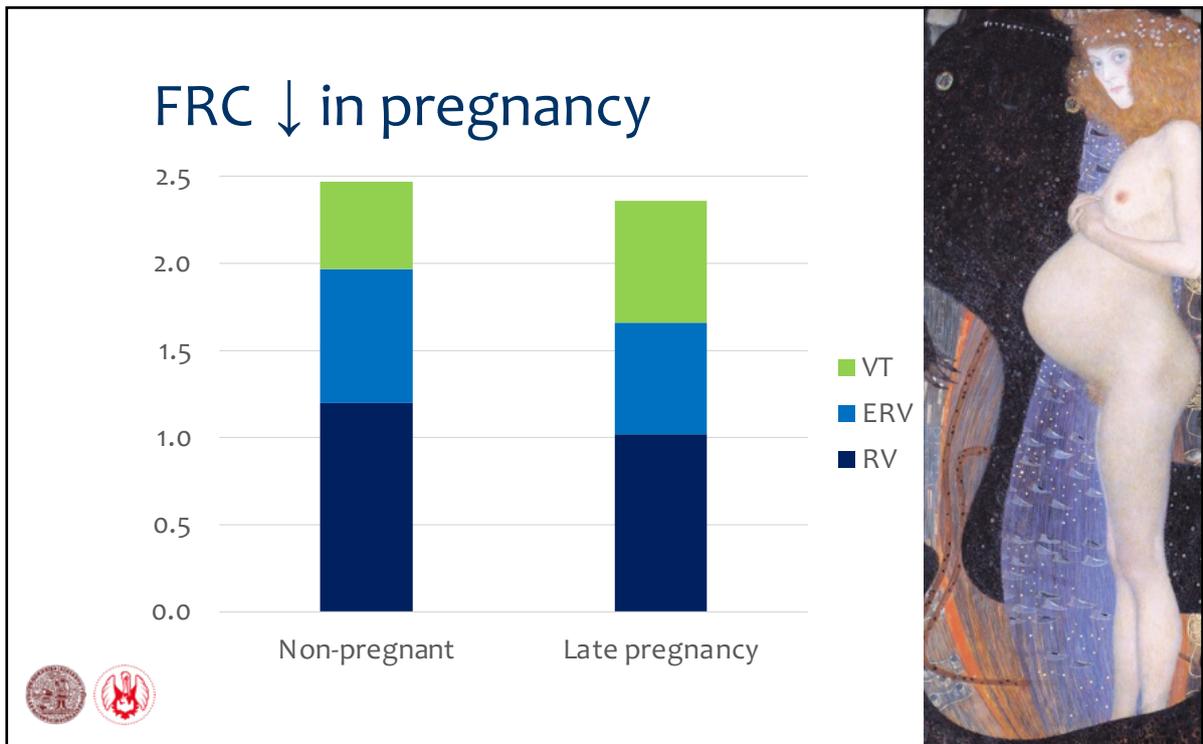
- prevents collapse of airways & alveoli (→ compliance)
- buffers  $Pa_{O_2}$  extremes during breathing cycle (perfusion is steady → relatively stable  $Pa_{O_2}$ )



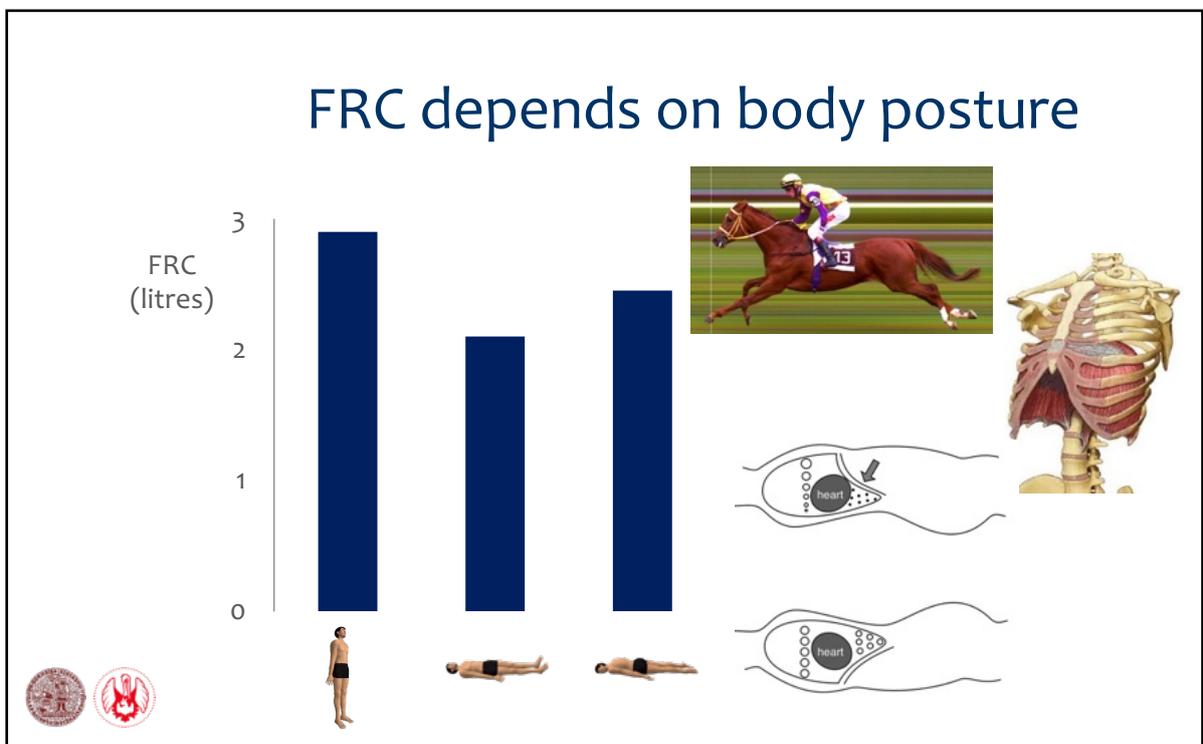
That is (also) why we prefer to hyperventilate by ↑ inspiration



73



75



76



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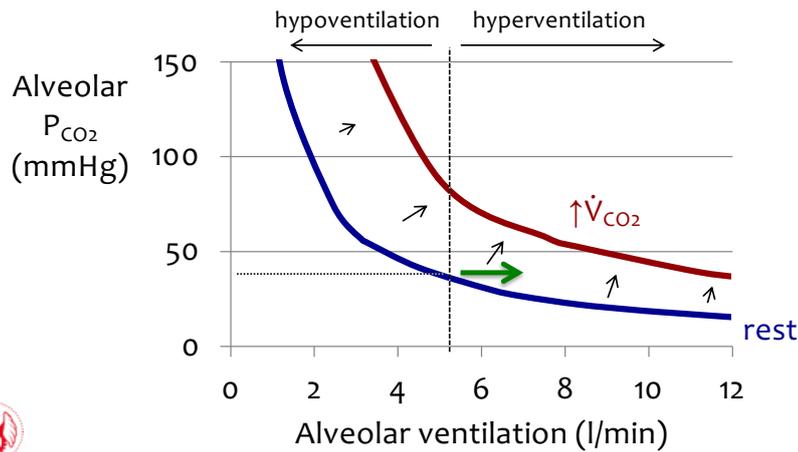
## Alveolar ventilation must get rid of all $\text{CO}_2$ produced in the body

- at rest  $\dot{V}_{\text{CO}_2} \sim 200 \text{ ml/min}$
- $\dot{V}_A \sim 5250 \text{ ml/min}$  (350 x 15)  
 $\downarrow$
- 200 ml  $\text{CO}_2$  in 5250 ml of alveolar air  $\rightarrow F_{\text{ACO}_2} \sim 3.8\%$
- $\dot{V}_{\text{CO}_2} = \dot{V}_A \times F_{\text{ACO}_2} \rightarrow$
- $\dot{V}_A = (K * \dot{V}_{\text{CO}_2}) / P_{\text{ACO}_2}$  (K = 0.863)  
 (alveolar ventilation equation)
- can be used to measure  $\dot{V}_A$  ( $P_{\text{ACO}_2} \sim P_{\text{aCO}_2}$ )



79

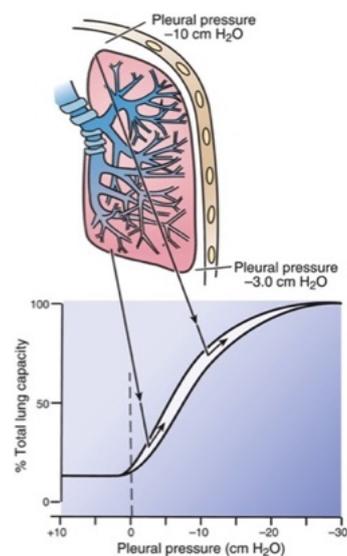
## Respiratory hyperbole: to maintain $P_{ACO_2}$ with $\uparrow \dot{V}_{CO_2} \rightarrow \dot{V}_A$ must $\uparrow$



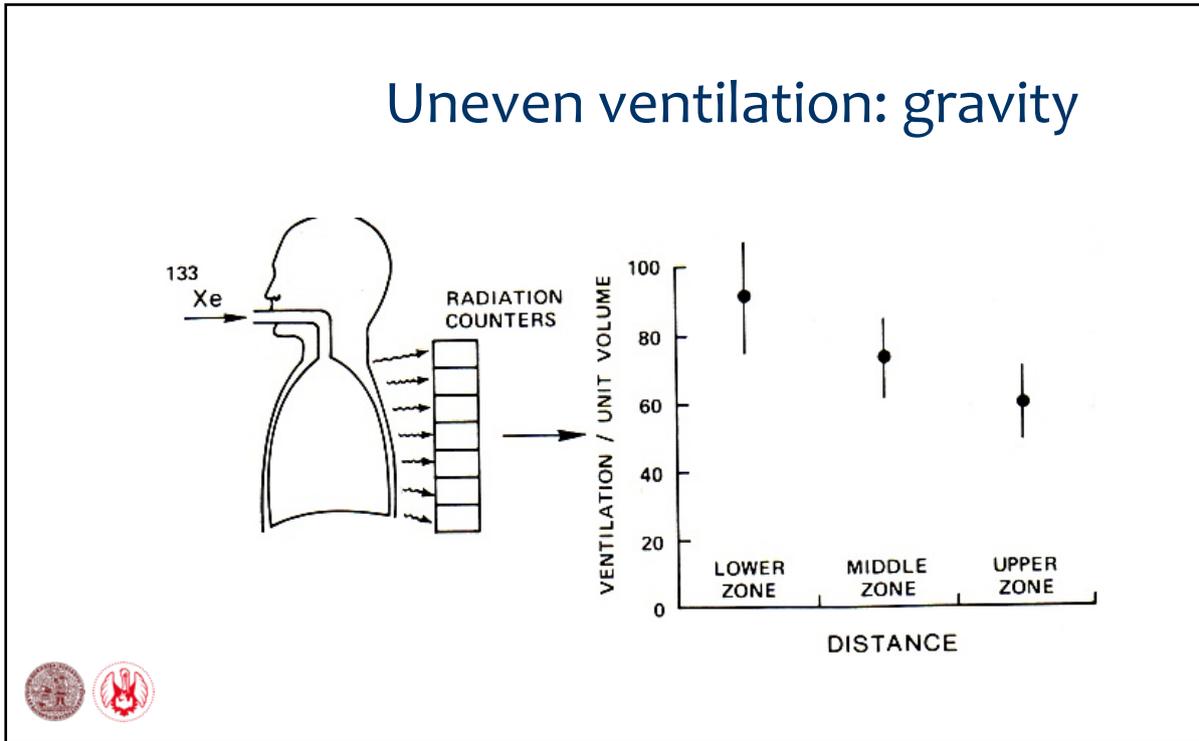
80

## Uneven ventilation: gravity

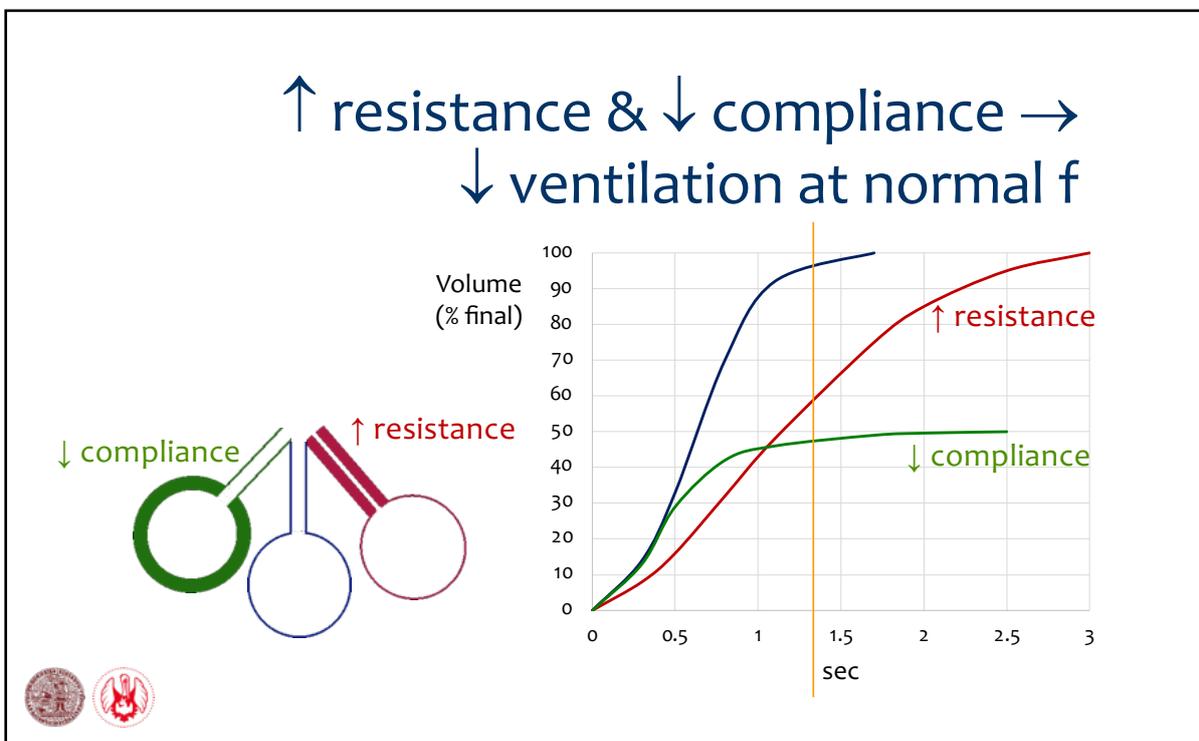
- apex relatively overinflated at FRC (higher  $P_{TP}$ )
- on a flatter part of P/V curve
- $\Delta P_{IP}$  on inspiration even in the whole chest ( $\sim 2.5$  cmH<sub>2</sub>O)
- $\rightarrow \uparrow \Delta V$  near base than in apex



86



87



88

## Lung diffusion capacity

- solubility of  $\text{CO}_2 > \text{O}_2 \rightarrow$  diffusion capacity for  $\text{CO}_2$  is not limiting  $\rightarrow$  diffusion capacity for  $\text{O}_2$  ( $D_{L\text{O}_2}$ ) used to characterize diffusion in the lungs
- $D_{L\text{O}_2} = \dot{V}_{\text{O}_2} / (P_{\text{AO}_2} - P_{\text{cO}_2})$
- $\dot{V}_{\text{O}_2}$  ( $\text{O}_2$  consumption) measured by spirometry
- $P_{\text{AO}_2}$  = end-expiratory  $P_{\text{O}_2}$
- problem:  
how to detect  $P_{\text{cO}_2}$  (in pulmonary capillaries)?!



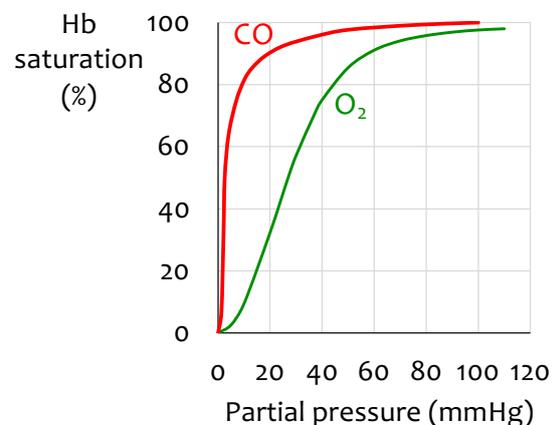
solution: use CO!



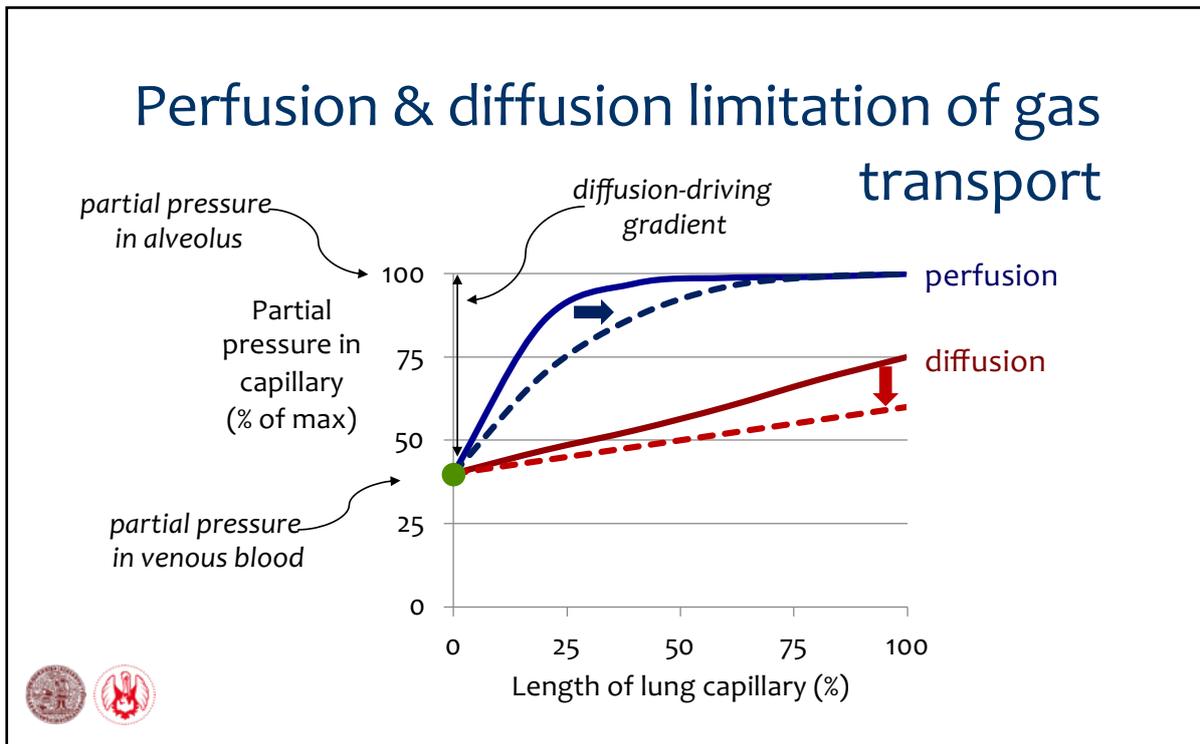
92

## Diffusion capacity for CO ( $D_{L\text{CO}}$ )

- intensely & rapidly binds to Hb
- $P_{\text{cCO}} \sim 0$
- $D_{L\text{CO}} = \dot{V}_{\text{CO}} / (P_{\text{ACO}} - P_{\text{vCO}} [=0])$   
 $\rightarrow$  CO disappearance from alveolar gas during breath holding
- conversion factor:  
 $D_{L\text{O}_2} = 1.23 \times D_{L\text{CO}}$



93



94