

Analytic combinatorics  
Lecture 12

June 2, 2021

**Recall:** If  $A(x) \in \mathbb{C}[[x]]$  is a power series with  $[x^0]A(x) = 0$  and  $[x^1]A(x) \neq 0$ , then there is a (unique) composition inverse  $B(x) = \underbrace{A^{(-1)}(x)}$  satisfying  $A(B(x)) = B(A(x)) = x$ .

## Series composition inverse

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**Goal:** Compute the coefficients of  $B(x)$  from the coefficients of  $A(x)$ .

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**Rephrasing the goal:** We know that  $[x^0]A(x) = 0$ , hence  $A(x) = xC(x)$  for a series  $C(x)$ . Moreover,  $[x^0]C(x) = [x^1]A(x) \neq 0$ , hence  $C(x)$  has a multiplicative inverse  $F(x) = \frac{1}{C(x)}$ . Hence:

$$\begin{aligned} & \iff \begin{aligned} A(B(x)) &= x \\ B(x)C(B(x)) &= x \end{aligned} \end{aligned}$$

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**New goal:** For a power series  $F(x) \in \mathbb{C}[[x]]$  with  $[x^0]F(x) \neq 0$ , find the (unique) power series  $B(x)$  satisfying  $B(x) = xF(B(x))$ .

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**Remark:** If  $[x^0]F(x) = 0$  then the equation  $B(x) = xF(B(x))$  has the (trivial) unique solution  $B(x) = 0$ .

## Theorem (Lagrange inversion formula)

Suppose  $F(x)$  is a power series with  $[x^0]F(x) \neq 0$ . Let  $B(x) \in \mathbb{C}[[x]]$  be the solution of the functional equation  $B(x) = xF(B(x))$ . Then the following holds:

- ① For any  $n \in \mathbb{N}$ ,

$$[x^n]B(x) = \frac{1}{n}[x^{n-1}]F(x)^n.$$

- ② For any  $k, n \in \mathbb{N}$ ,

$$[x^n]B(x)^k = \frac{k}{n}[x^{n-k}]F(x)^n.$$

- ③ For any  $G(x) \in \mathbb{C}[[x]]$  and  $n \in \mathbb{N}$ ,

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**Note:** 2  $\Rightarrow$  1 by taking  $k = 1$ , and 3  $\Rightarrow$  2 by taking  $G(x) = x^k$ .

$$\frac{d}{dx} x^k = kx^{k-1}$$

$$[x^{n-1}] F(x)^n \cdot kx^{k-1} = k [x^{n-k}] F(x)^n$$

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- 3 For any  $G(x) \in \mathbb{C}[[x]]$  and  $n \in \mathbb{N}$ ,

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**Note:** 2  $\Rightarrow$  1 by taking  $k = 1$ , and 3  $\Rightarrow$  2 by taking  $G(x) = x^k$ .

**Note:** 2  $\Rightarrow$  3 by linearity: for  $G(x) = \sum_{k=0}^{\infty} g_k x^k$ , we get

$$\begin{aligned} [x^n]G(B(x)) &= [x^n] \sum_{k=0}^{\infty} g_k B(x)^k = [x^n] \sum_{k=1}^{\infty} g_k B(x)^k = \sum_{k=1}^{\infty} g_k \frac{k}{n} [x^{n-k}]F(x)^n = \\ &= \sum_{k=1}^{\infty} g_k \frac{k}{n} [x^{n-1}] x^{k-1} F(x)^n = \frac{1}{n} [x^{n-1}] F(x)^n \sum_{k=1}^{\infty} k g_k x^{k-1} = \frac{1}{n} [x^{n-1}] \left( F(x)^n \frac{d}{dx} G(x) \right). \end{aligned}$$

# Computations with residues

**Recall:** If  $f$  is a complex function meromorphic in  $0$ , then there is a  $d \in \mathbb{Z}$  such that on a punctured neighborhood of  $0$ ,  $f$  is equal to a Laurent series  $f(z) = \sum_{n \geq d} f_n z^n$ . The coefficient  $f_{-1}$  in this series is the **residue** of  $f$  in  $0$ , denoted  $\text{Res}_0(f)$ .

$$d = -3 \quad f(z) = \frac{f_{-3}}{z^3} + \frac{f_{-2}}{z^2} + \frac{f_{-1}}{z} + f_0 + f_1 z + \dots$$

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**Lemma (Derivatives have no residues)**

*With  $f$  as above, if  $f$  has a primitive function on a punctured neighborhood of  $0$ , then  $\text{Res}_0(f) = 0$ .*

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## Lemma (Derivatives have no residues)

With  $f$  as above, if  $f$  has a primitive function on a punctured neighborhood of  $0$ , then  $\text{Res}_0(f) = 0$ .

## Proof.

For a circle  $\gamma$  around  $0$  of small enough radius, we have

$$\text{Res}_0(f) = \frac{1}{2\pi i} \int_{\gamma} f = 0,$$

where the integral is  $0$ , because  $f$  has a primitive function. □



A handwritten diagram shows a circle  $\gamma$  centered at  $0$  with a counter-clockwise arrow. A vertical line separates this from the rest of the equation.

$$\left( \sum_{n=d}^{\infty} f_n z^n \right)' = \sum_{n=d}^{\infty} n f_n z^{n-1}$$

The term  $n f_n z^{n-1}$  is underlined. An arrow points from the underlined term to the text  $\text{Res} = 0$  below it.

## Lemma (Substitution rule for residues)

With  $f$  as above, if  $g(z)$  is analytic on  $D$  with  $g(0) = 0$  and  $g'(0) \neq 0$ , then  $\text{Res}_0(f(z)) = \text{Res}_0(f(g(z))g'(z))$ .

$$\begin{array}{ccc} \text{" } z \rightarrow g(z) & & \text{" } \\ \frac{1}{2\pi i} \int_{\gamma} f & = & \frac{1}{2\pi i} \int_{\gamma(g)} f(g(z)) \cdot g'(z) \end{array}$$



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## Back to Lagrange inversion formula

**Recall:** LIF says that  $[x^n]B(x)^k = \frac{k}{n}[x^{n-k}]F(x)^n$ , where  $B(x)$  is the solution of  $B(x) = xF(B(x))$  and  $F(x)$  is a given series with  $[x^0]F(x) \neq 0$ .

$$n, k \in \mathbb{N}$$



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**Note:** Both  $[x^n]B(x)^k$  and  $[x^{n-k}]F(x)^n$  only depend on the coefficients of  $F$  of degree at most  $n$ . Hence we may assume that  $F$  is a polynomial, and in particular an analytic function.



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$$\frac{k}{n}[x^{n-k}]F(x)^n = \frac{k}{n} \operatorname{Res}_0 \frac{F(x)^n}{x^{n-k+1}} = \frac{1}{n} \operatorname{Res}_0 \left( kx^{k-1} \frac{F(x)^n}{x^n} \right)$$

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**Note:** Both  $[x^n]B(x)^k$  and  $[x^{n-k}]F(x)^n$  only depend on the coefficients of  $F$  of degree at most  $n$ . Hence we may assume that  $F$  is a polynomial, and in particular an analytic function.

Since  $F(0) \neq 0$ ,  $\frac{x}{F(x)}$  is analytic in 0.

Since  $B(x)$  is a composition inverse of  $\frac{x}{F(x)}$ , it is analytic in 0 as well.

## Proof of LIF.

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 &= \frac{1}{n} [x^{n-1}] kB(x)^{k-1} B'(x) = \frac{1}{n} [x^{n-1}] (B(x)^k)'
 \end{aligned}$$

# Back to Lagrange inversion formula

**Recall:** LIF says that  $[x^n]B(x)^k = \frac{k}{n}[x^{n-k}]F(x)^n$ , where  $B(x)$  is the solution of  $B(x) = xF(B(x))$  and  $F(x)$  is a given series with  $[x^0]F(x) \neq 0$ .

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Recall from Lecture 10: A **binary tree** is either a single leaf node, or an internal root node together with an ordered pair of subtrees, which are both binary trees. Let  $t_n$  be the number of binary trees with  $n$  internal nodes. Let us deduce a formula for  $t_n$  using LIF.



# Catalan trees revisited

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The OGF  $T(z) = \sum_{n=0}^{\infty} t_n z^n$  satisfies  $T(z) = 1 + zT^2(z)$ .

$$T(z) = \frac{1 - \sqrt{1 - 4z}}{2z}$$
$$\{\text{trees}\} = \{\bullet\} \dot{\cup} \left\{ \begin{array}{c} \bullet \\ \swarrow \quad \searrow \\ T_1 \quad T_2 \end{array} \right\}$$
$$\{\text{trees}\} = \{\bullet\} \dot{\cup} \left\{ \begin{array}{c} \text{root} \\ \wedge \\ \text{trees} \\ \times \text{trees} \end{array} \right\}$$
$$T(z) = 1 + z \cdot T(z) \cdot T(z)$$

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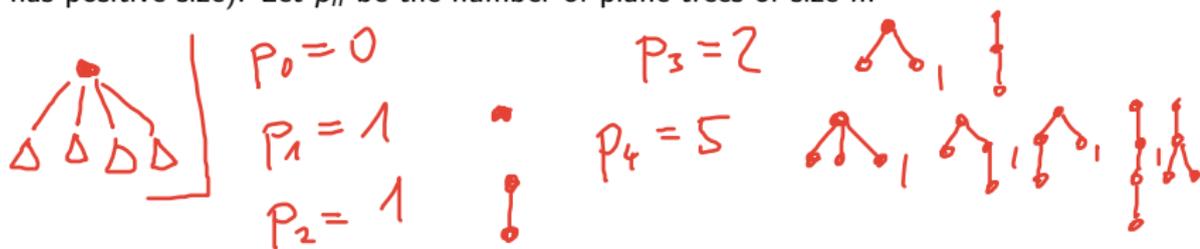
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In particular,  $T^+(z) = zF(T^+(z))$  for  $F(z) = (z + 1)^2$ . Hence, by LIF,

$$\begin{aligned}
 n \geq 1 \quad t_n &= [z^n] T^+(z) = \frac{1}{n} [z^{n-1}] (z + 1)^{2n} \\
 &= \frac{1}{n} \binom{2n}{n-1} = \frac{1}{n+1} \binom{2n}{n} = C_n
 \end{aligned}$$

# Plane trees and plane forests

A **plane tree** consists of a root node together with an ordered  $d$ -tuple of subtrees, for some  $d \in \mathbb{N}_0$ . The **size** of a plane tree is its number of nodes (in particular, each plane tree has positive size). Let  $p_n$  be the number of plane trees of size  $n$ .



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**Goal:** Find an explicit formula for  $p_n$  and  $f_n$ .

$$P(z) = \sum_{n \geq 1} p_n z^n = z + z P(z) + z P^2(z) + \dots$$

$$p_n = [x^n] P(x) = z \left( \sum_{k=0}^{\infty} P^k(z) \right) = z \cdot \frac{1}{1 - P(z)}$$

(root, subtree)

$$= \frac{1}{n} [x^{n-1}] \frac{1}{(1-x)^n} = z F(P(z)), \quad F(z) = \frac{1}{1-z}$$

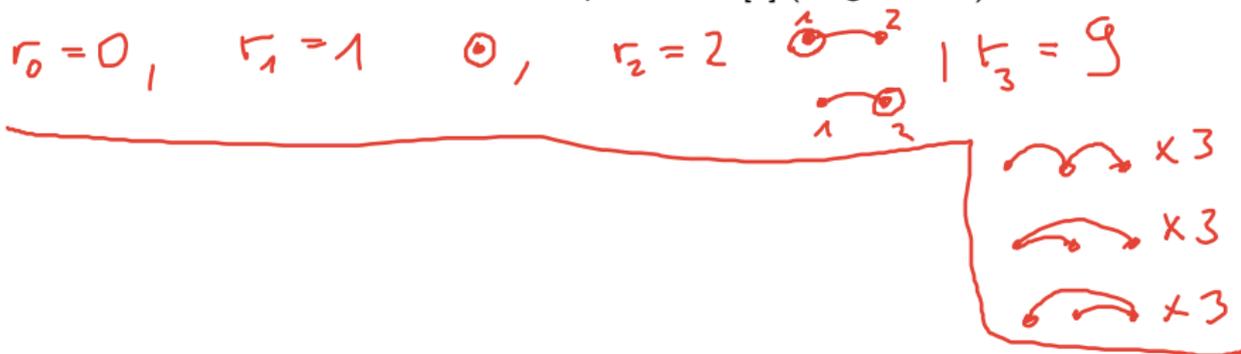
$$(1-x)^{-n} = \sum_{k=0}^{\infty} \binom{-n}{k} (-1)^k x^k = \frac{1}{n} \binom{-n}{n-1} (-1)^{n-1} = \frac{1}{n} \cdot \frac{(-n) \dots (-2)(-1)}{(n-1)!}$$

$$C_{n-1} = \frac{1}{n} \binom{2n-1}{n-1} = \begin{cases} (-1)^{n-1} \\ (-2n+1) \end{cases}$$



# Labelled trees

A **rooted tree** of size  $n$  is a tree on the vertex set  $[n]$  with one vertex designated as root. A **rooted forest** of size  $n$  is a graph on the vertex set  $[n]$  whose every component is a rooted tree. Let  $r_n$  be the number of rooted trees on the vertices  $[n]$ , and let  $g_n$  be the number of rooted forests with  $k$  components on  $[n]$  ( $k$  again fixed).



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$$R(x) = \sum_{n=0}^{\infty} \frac{r_n}{n!} x^n$$

root has  $\text{deg} = 1 \Rightarrow x \cdot R(x)$

$\text{deg} = 2 \Rightarrow \frac{1}{2} x R^2(x)$

$\text{deg} = k \Rightarrow \frac{1}{k!} x R^k(x)$



{rooted trees w. root of  $\text{deg} 1$ } = {root} \otimes \text{{rooted tree}}

{ ——— " ———  $\text{deg} 2$  } = {root} \otimes \text{{rooted tree}}

$$R(x) = \sum_{k=0}^{\infty} \frac{x \cdot R(x)^k}{k!} = x \cdot e^{R(x)}$$

$\otimes$  {rooted tree}

$$R(x) = x e^{R(x)} = x F(R(x)) \quad \text{with } F = e^x$$

$$r_n = \frac{1}{n!} [x^n] R(x) =$$

$$= \frac{1}{n!} \left( \frac{1}{n} [x^{n-1}] (e^x)^n \right) =$$

$$= \frac{1}{n!} \left( \frac{1}{n} [x^{n-1}] e^{nx} \right)$$

$$= \frac{1}{n!} \left( \frac{1}{n} \frac{n^{n-1}}{(n-1)!} \right)$$

$$= \frac{1}{n} n^{n-1} = n \cdot \text{number of unrooted trees}$$

$$= n \cdot n^{n-2}$$

with  $F = e^x$

rooted forests with  $k$  components have EGF

$$\frac{R(x)^k}{k!}$$