

ELEMENTS OF A THEORY OF HERMENEUTIC EXPERIENCE

understanding and hence had freed the congenial interpreter from all historical conditions, the self-criticism of historical consciousness leads finally to recognizing historical movement not only in events but also in understanding itself. *Understanding is to be thought of less as a subjective act than as participating in an event of tradition*, a process of transmission in which past and present are constantly mediated. This is what must be validated by hermeneutic theory, which is far too dominated by the idea of a procedure, a method.

(iii) The Hermeneutic Significance of Temporal Distance³⁵

Let us next consider how hermeneutics goes about its work. What consequences for understanding follow from the fact that belonging to a tradition is a condition of hermeneutics? We recall the hermeneutical rule that we must understand the whole in terms of the detail and the detail in terms of the whole. This principle stems from ancient rhetoric, and modern hermeneutics has transferred it to the art of understanding. It is a circular relationship in both cases. The anticipation of meaning in which the whole is envisaged becomes actual understanding when the parts that are determined by the whole themselves also determine this whole.

We know this from learning ancient languages. We learn that we must “construe” a sentence before we attempt to understand the linguistic meaning of the individual parts of the sentence. But the process of construal is itself already governed by an expectation of meaning that follows from the context of what has gone before. It is of course necessary for this expectation to be adjusted if the text calls for it. This means, then, that the expectation changes and that the text unifies its meaning around another expectation. Thus the movement of understanding is constantly from the whole to the part and back to the whole. Our task is to expand the unity of the understood meaning centrifugally. The harmony of all the details with the whole is the criterion of correct understanding. The failure to achieve this harmony means that understanding has failed.

Schleiermacher elaborated this hermeneutic circle of part and whole in both its objective and its subjective aspects. As the single word belongs in the total context of the sentence, so the single text belongs in the total context of a writer’s work, and the latter in the whole of the literary genre or of literature. At the same time, however, the same text, as a manifestation of a creative moment, belongs to the whole of its author’s inner life. Full understanding can take place only within this objective and subjective

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whole. Following this theory, Dilthey speaks of “structure” and of the “centering in a mid-point,” which permits one to understand the whole. In this (as we have already said above³⁶) he is applying to the historical world what has always been a principle of all textual interpretation: namely that a text must be understood in its own terms.

The question is, however, whether this is an adequate account of the circular movement of understanding. Here we must return to what we concluded from our analysis of Schleiermacher’s hermeneutics. We can set aside Schleiermacher’s ideas on subjective interpretation. When we try to understand a text, we do not try to transpose ourselves into the author’s mind but, if one wants to use this terminology, we try to transpose ourselves into the perspective within which he has formed his views. But this simply means that we try to understand how what he is saying could be right. If we want to understand, we will try to make his arguments even stronger. This happens even in conversation, and it is a fortiori true of understanding what is written down that we are moving in a dimension of meaning that is intelligible in itself and as such offers no reason for going back to the subjectivity of the author. The task of hermeneutics is to clarify this miracle of understanding, which is not a mysterious communion of souls, but sharing in a common meaning.

But even Schleiermacher’s description of the objective side of this circle does not get to the heart of the matter. We have seen that the goal of all attempts to reach an understanding is agreement concerning the subject matter. Hence the task of hermeneutics has always been to establish agreement where there was none or where it had been disturbed in some way. The history of hermeneutics confirms this if, for example, we think of Augustine, who sought to mediate the Gospel with the Old Testament³⁷; or early Protestantism, which faced the same problem;³⁸ or, finally, the Enlightenment, when (almost as if renouncing the possibility of agreement) it was supposed that a text could be “fully understood” only by means of historical interpretation. It is something qualitatively new when romanticism and Schleiermacher universalize historical consciousness by denying that the binding form of the tradition from which they come and in which they are situated provides a solid basis for all hermeneutic endeavor.

One of the immediate predecessors of Schleiermacher, the philologist Friedrich Ast, still had a view of hermeneutical work that was markedly concerned with content, since for him its purpose was to establish harmony between the worlds of classical antiquity and Christianity,

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between a newly discovered genuine antiquity and the Christian tradition. This is something new. In contrast to the Enlightenment, this hermeneutics no longer evaluates and rejects tradition according to the criterion of natural reason. But in its attempt to bring about a meaningful agreement between the two traditions to which it sees itself as belonging, this kind of hermeneutics is still pursuing the task of all preceding hermeneutics, namely to bring about agreement *in content*.

In going beyond the "particularity" of this reconciliation of the ancient classical world and Christianity, Schleiermacher and, following him, nineteenth-century science conceive the task of hermeneutics in a way that is *formally* universal. They were able to harmonize it with the natural sciences' ideal of objectivity, but only by ignoring the concretion of historical consciousness in hermeneutical theory.

Heidegger's description and existential grounding of the hermeneutic circle, by contrast, constitute a decisive turning point. Nineteenth-century hermeneutic theory often discussed the circular structure of understanding, but always within the framework of a formal relation between part and whole—or its subjective reflex, the intuitive anticipation of the whole and its subsequent articulation in the parts. According to this theory, the circular movement of understanding runs backward and forward along the text, and ceases when the text is perfectly understood. This view of understanding came to its logical culmination in Schleiermacher's theory of the divinatory act, by means of which one places oneself entirely within the writer's mind and from there resolves all that is strange and alien about the text. In contrast to this approach, Heidegger describes the circle in such a way that the understanding of the text remains permanently determined by the anticipatory movement of fore-understanding. The circle of whole and part is not dissolved in perfect understanding but, on the contrary, is most fully realized.

The circle, then, is not formal in nature. It is neither subjective nor objective, but describes understanding as the interplay of the movement of tradition and the movement of the interpreter. The anticipation of meaning that governs our understanding of a text is not an act of subjectivity, but proceeds from the commonality that binds us to the tradition. But this commonality is constantly being formed in our relation to tradition. Tradition is not simply a permanent precondition; rather, we produce it ourselves inasmuch as we understand, participate in the evolution of tradition, and hence further determine it ourselves. Thus the circle of

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understanding is not a “methodological” circle, but describes an element of the ontological structure of understanding.

The circle, which is fundamental to all understanding, has a further hermeneutic implication which I call the “fore-conception of completeness.” But this, too, is obviously a formal condition of all understanding. It states that only what really constitutes a unity of meaning is intelligible. So when we read a text we always assume its completeness, and only when this assumption proves mistaken—i.e., the text is not intelligible—do we begin to suspect the text and try to discover how it can be remedied. The rules of such textual criticism can be left aside, for the important thing to note is that applying them properly depends on understanding the content.

The fore-conception of completeness that guides all our understanding is, then, always determined by the specific content. Not only does the reader assume an immanent unity of meaning, but his understanding is likewise guided by the constant transcendent expectations of meaning that proceed from the relation to the truth of what is being said. Just as the recipient of a letter understands the news that it contains and first sees things with the eyes of the person who wrote the letter—i.e., considers what he writes as true, and is not trying to understand the writer’s peculiar opinions as such—so also do we understand traditionary texts on the basis of expectations of meaning drawn from our own prior relation to the subject matter. And just as we believe the news reported by a correspondent because he was present or is better informed, so too are we fundamentally open to the possibility that the writer of a transmitted text is better informed than we are, with our prior opinion. It is only when the attempt to accept what is said as true fails that we try to “understand” the text, psychologically or historically, as another’s opinion.³⁹ The prejudice of completeness, then, implies not only this formal element—that a text should completely express its meaning—but also that what it says should be the complete truth.

Here again we see that understanding means, primarily, to understand the content of what is said, and only secondarily to isolate and understand another’s meaning as such. Hence the most basic of all hermeneutic preconditions remains one’s own fore-understanding, which comes from being concerned with the same subject. This is what determines what can be realized as unified meaning and thus determines how the fore-conception of completeness is applied.⁴⁰

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Thus the meaning of “belonging”—i.e., the element of tradition in our historical-hermeneutical activity—is fulfilled in the commonality of fundamental, enabling prejudices. Hermeneutics must start from the position that a person seeking to understand something has a bond to the subject matter that comes into language through the traditionary text and has, or acquires, a connection with the tradition from which the text speaks. On the other hand, hermeneutical consciousness is aware that its bond to this subject matter does not consist in some self-evident, unquestioned unanimity, as is the case with the unbroken stream of tradition. Hermeneutic work is based on a polarity of familiarity and strangeness; but this polarity is not to be regarded psychologically, with Schleiermacher, as the range that covers the mystery of individuality, but truly hermeneutically—i.e., in regard to what has been said: the language in which the text addresses us, the story that it tells us. Here too there is a tension. It is in the play between the traditionary text’s strangeness and familiarity to us, between being a historically intended, distanced object and belonging to a tradition. *The true locus of hermeneutics is this in-between.*

Given the intermediate position in which hermeneutics operates, it follows that its work is not to develop a procedure of understanding, but to clarify the conditions in which understanding takes place. But these conditions do not amount to a “procedure” or method which the interpreter must of himself bring to bear on the text; rather, they must be given. The prejudices and fore-meanings that occupy the interpreter’s consciousness are not at his free disposal. He cannot separate in advance the productive prejudices that enable understanding from the prejudices that hinder it and lead to misunderstandings.

Rather, this separation must take place in the process of understanding itself, and hence hermeneutics must ask how that happens. But that means it must foreground what has remained entirely peripheral in previous hermeneutics: temporal distance and its significance for understanding.

This point can be clarified by comparing it with the hermeneutic theory of romanticism. We recall that the latter conceived of understanding as the reproduction of an original production. Hence it was possible to say that one should be able to understand an author better than he understood himself. We examined the origin of this statement and its connection with the aesthetics of genius, but must now come back to it, since our present inquiry lends it a new importance.

That subsequent understanding is superior to the original production and hence can be described as superior understanding does not depend so

much on the conscious realization that places the interpreter on the same level as the author (as Schleiermacher said) but instead denotes an insuperable difference between the interpreter and the author that is created by historical distance. Every age has to understand a transmitted text in its own way, for the text belongs to the whole tradition whose content interests the age and in which it seeks to understand itself. The real meaning of a text, as it speaks to the interpreter, does not depend on the contingencies of the author and his original audience. It certainly is not identical with them, for it is always co-determined also by the historical situation of the interpreter and hence by the totality of the objective course of history. A writer like Chladenius,⁴¹ who does not yet view understanding in terms of history, is saying the same thing in a naive, ingenuous way when he says that an author does not need to know the real meaning of what he has written; and hence the interpreter can, and must, often understand more than he. But this is of fundamental importance. Not just occasionally but always, the meaning of a text goes beyond its author. That is why understanding is not merely a reproductive but always a productive activity as well. Perhaps it is not correct to refer to this productive element in understanding as "better understanding." For this phrase is, as we have shown, a principle of criticism taken from the Enlightenment and revised on the basis of the aesthetics of genius. Understanding is not, in fact, understanding better, either in the sense of superior knowledge of the subject because of clearer ideas or in the sense of fundamental superiority of conscious over unconscious production. It is enough to say that we understand in a *different way, if we understand at all.*

Such a conception of understanding breaks right through the circle drawn by romantic hermeneutics. Since we are now concerned not with individuality and what it thinks but with the truth of what is said, a text is not understood as a mere expression of life but is taken seriously in its claim to truth. That this is what is meant by "understanding" was once self-evident (we need only recall Chladenius).⁴² But this dimension of the hermeneutical problem was discredited by historical consciousness and the psychological turn that Schleiermacher gave to hermeneutics, and could only be regained when the aporias of historicism came to light and led finally to the fundamentally new development to which Heidegger, in my view, gave the decisive impetus. For the hermeneutic productivity of temporal distance could be understood only when Heidegger gave understanding an ontological orientation by interpreting it as an "existential" and when he interpreted Dasein's mode of being in terms of time.

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Time is no longer primarily a gulf to be bridged because it separates; it is actually the supportive ground of the course of events in which the present is rooted. Hence temporal distance is not something that must be overcome. This was, rather, the naive assumption of historicism, namely that we must transpose ourselves into the spirit of the age, think with its ideas and its thoughts, not with our own, and thus advance toward historical objectivity. In fact the important thing is to recognize temporal distance as a positive and productive condition enabling understanding. It is not a yawning abyss but is filled with the continuity of custom and tradition, in the light of which everything handed down presents itself to us. Here it is not too much to speak of the genuine productivity of the course of events. Everyone is familiar with the curious impotence of our judgment where temporal distance has not given us sure criteria. Thus the judgment of contemporary works of art is desperately uncertain for the scholarly consciousness. Obviously we approach such creations with unverifiable prejudices, presuppositions that have too great an influence over us for us to know about them; these can give contemporary creations an extra resonance that does not correspond to their true content and significance. Only when all their relations to the present time have faded away can their real nature appear, so that the understanding of what is said in them can claim to be authoritative and universal.

In historical studies this experience has led to the idea that objective knowledge can be achieved only if there has been a certain historical distance. It is true that what a thing has to say, its intrinsic content, first appears only after it is divorced from the fleeting circumstances that gave rise to it. The positive conditions of historical understanding include the relative closure of a historical event, which allows us to view it as a whole, and its distance from contemporary opinions concerning its import. The implicit presupposition of historical method, then, is that the permanent significance of something can first be known objectively only when it belongs to a closed context—in other words, when it is dead enough to have only historical interest. Only then does it seem possible to exclude the subjective involvement of the observer. This is, in fact, a paradox, the epistemological counterpart to the old moral problem of whether anyone can be called happy before his death. Just as Aristotle showed how this kind of problem can serve to sharpen the powers of human judgment,⁴³ so hermeneutical reflection cannot fail to find here a sharpening of the methodological self-consciousness of science. It is true that certain hermeneutic requirements are automatically fulfilled when a historical context

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has come to be of only historical interest. Certain sources of error are automatically excluded. But it is questionable whether this is the end of the hermeneutical problem. Temporal distance obviously means something other than the extinction of our interest in the object. It lets the true meaning of the object emerge fully. But the discovery of the true meaning of a text or a work of art is never finished; it is in fact an infinite process. Not only are fresh sources of error constantly excluded, so that all kinds of things are filtered out that obscure the true meaning; but new sources of understanding are continually emerging that reveal unsuspected elements of meaning. The temporal distance that performs the filtering process is not fixed, but is itself undergoing constant movement and extension. And along with the negative side of the filtering process brought about by temporal distance there is also the positive side, namely the value it has for understanding. It not only lets local and limited prejudices die away, but allows those that bring about genuine understanding to emerge clearly as such.

Often temporal distance⁴⁴ can solve question of critique in hermeneutics, namely how to distinguish the true prejudices, by which we *understand*, from the *false* ones, by which we *misunderstand*. Hence the hermeneutically trained mind will also include historical consciousness. It will make conscious the prejudices governing our own understanding, so that the text, as another's meaning, can be isolated and valued on its own. Foregrounding (*abheben*) a prejudice clearly requires suspending its validity for us. For as long as our mind is influenced by a prejudice, we do not consider it a judgment. How then can we foreground it? It is impossible to make ourselves aware of a prejudice while it is constantly operating unnoticed, but only when it is, so to speak, provoked. The encounter with a traditional text can provide this provocation. For what leads to understanding must be something that has already asserted itself in its own separate validity. Understanding begins, as we have already said above,⁴⁵ when something addresses us. This is the first condition of hermeneutics. We now know what this requires, namely the fundamental suspension of our own prejudices. But all suspension of judgments and hence, a fortiori, of prejudices, has the logical structure of a *question*.

The essence of the *question* is to open up possibilities and keep them open. If a prejudice becomes questionable in view of what another person or a text says to us, this does not mean that it is simply set aside and the text or the other person accepted as valid in its place. Rather, historical objectivism shows its naivete in accepting this disregarding of ourselves as

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what actually happens. In fact our own prejudice is properly brought into play by being put at risk. Only by being given full play is it able to experience the other's claim to truth and make it possible for him to have full play himself.

The naivete of so-called historicism consists in the fact that it does not undertake this reflection, and in trusting to the fact that its procedure is methodical, it forgets its own historicity. We must here appeal from a badly understood historical thinking to one that can better perform the task of understanding. Real historical thinking must take account of its own historicity. Only then will it cease to chase the phantom of a historical object that is the object of progressive research, and learn to view the object as the counterpart of itself and hence understand both. The true historical object is not an object at all, but the unity of the one and the other, a relationship that constitutes both the reality of history and the reality of historical understanding.⁴⁶ A hermeneutics adequate to the subject matter would have to demonstrate the reality and efficacy of history within understanding itself. I shall refer to this as "history of effect." *Understanding is, essentially, a historically effected event.*

(iv) The Principle of History of Effect (Wirkungsgeschichte)

Historical interest is directed not only toward the historical phenomenon and the traditionary work but also, secondarily, toward their effect in history (which also includes the history of research); the history of effect is generally regarded as a mere supplement to historical inquiry, from Hermann Grimm's *Raffael* to Gundolf and beyond—though it has occasioned many valuable insights. To this extent, history of effect is not new. But to require an inquiry into history of effect every time a work of art or an aspect of the tradition is led out of the twilight region between tradition and history so that it can be seen clearly and openly in terms of its own meaning—this is a new demand (addressed not to research, but to its methodological consciousness) that proceeds inevitably from thinking historical consciousness through.

It is not, of course, a hermeneutical requirement in the sense of the traditional conception of hermeneutics. I am not saying that historical inquiry should develop inquiry into the history of effect as a kind of inquiry separate from understanding the work itself. The requirement is of a more theoretical kind. Historical consciousness must become conscious that in the apparent immediacy with which it approaches a work of art or