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Elements of a Theory of Hermeneutic Experience

I THE ELEVATION OF THE HISTORICITY OF UNDERSTANDING TO THE STATUS OF A HERMENEUTIC PRINCIPLE

(A) THE HERMENEUTIC CIRCLE AND THE PROBLEM OF PREJUDICES

(i) Heidegger's Disclosure of the Fore-Structure of Understanding

Heidegger entered into the problems of historical hermeneutics and critique only in order to explicate the fore-structure of understanding for the purposes of ontology.¹ Our question, by contrast, is how hermeneutics, once freed from the ontological obstructions of the scientific concept of objectivity, can do justice to the historicity of understanding. Hermeneutics has traditionally understood itself as an art or technique.² This is true even of Dilthey's expansion of hermeneutics into an organon of the human sciences. One might wonder whether there is such an art or technique of understanding—we shall come back to the point. But at any rate we can inquire into the consequences for the hermeneutics of the human sciences of the fact that Heidegger derives the circular structure of understanding from the temporality of Dasein. These consequences do not need to be such that a theory is applied to practice so that the latter is performed differently—i.e., in a way that is technically correct. They could also consist in correcting (and refining) the way in which constantly exercised understanding understands itself—a process that would benefit the art of understanding at most only indirectly.

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Hence we will once more examine Heidegger's description of the hermeneutical circle in order to make its new fundamental significance fruitful for our purposes. Heidegger writes, "It is not to be reduced to the level of a vicious circle, or even of a circle which is merely tolerated. In the circle is hidden a positive possibility of the most primordial kind of knowing, and we genuinely grasp this possibility only when we have understood that our first, last, and constant task in interpreting is never to allow our fore-having, fore-sight, and fore-conception to be presented to us by fancies and popular conceptions, but rather to make the scientific theme secure by working out these fore-structures in terms of the things themselves" (*Being and Time*, p.153).

What Heidegger is working out here is not primarily a prescription for the practice of understanding, but a description of the way interpretive understanding is achieved. The point of Heidegger's hermeneutical reflection is not so much to prove that there is a circle as to show that this circle possesses an ontologically positive significance. The description as such will be obvious to every interpreter who knows what he is about.³ All correct interpretation must be on guard against arbitrary fancies and the limitations imposed by imperceptible habits of thought, and it must direct its gaze "on the things themselves" (which, in the case of the literary critic, are meaningful texts, which themselves are again concerned with objects). For the interpreter to let himself be guided by the things themselves is obviously not a matter of a single, "conscientious" decision, but is "the first, last, and constant task." For it is necessary to keep one's gaze fixed on the thing throughout all the constant distractions that originate in the interpreter himself. A person who is trying to understand a text is always projecting. He projects a meaning for the text as a whole as soon as some initial meaning emerges in the text. Again, the initial meaning emerges only because he is reading the text with particular expectations in regard to a certain meaning. Working out this fore-projection, which is constantly revised in terms of what emerges as he penetrates into the meaning, is understanding what is there.

This description is, of course, a rough abbreviation of the whole. The process that Heidegger describes is that every revision of the fore-projection is capable of projecting before itself a new projection of meaning; rival projects can emerge side by side until it becomes clearer what the unity of meaning is; interpretation begins with fore-conceptions that are replaced by more suitable ones. This constant process of new projection constitutes the movement of understanding and interpretation.

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A person who is trying to understand is exposed to distraction from fore-meanings that are not borne out by the things themselves. Working out appropriate projections, anticipatory in nature, to be confirmed “by the things” themselves, is the constant task of understanding. The only “objectivity” here is the confirmation of a fore-meaning in its being worked out. Indeed, what characterizes the arbitrariness of inappropriate fore-meanings if not that they come to nothing in being worked out? But *understanding realizes its full potential only when the fore-meanings that it begins with are not arbitrary*. Thus it is quite right for the interpreter not to approach the text directly, relying solely on the fore-meaning already available to him, but rather explicitly to examine the legitimacy—i.e., the origin and validity—of the fore-meanings dwelling within him.

This basic requirement must be seen as the radicalization of a procedure that we in fact exercise whenever we understand anything. Every text presents the task of not simply leaving our own linguistic usage unexamined—or in the case of a foreign language the usage that we are familiar with from writers or from daily intercourse. Rather, we regard our task as deriving our understanding of the text from the linguistic usage of the time or of the author. The question is, of course, how this general requirement can be fulfilled. Especially in the field of semantics we are confronted with the problem that our own use of language is unconscious. How do we discover that there is a difference between our own customary usage and that of the text?

I think we must say that generally we do so in the experience of being pulled up short by the text. Either it does not yield any meaning at all or its meaning is not compatible with what we had expected. This is what brings us up short and alerts us to a possible difference in usage. Someone who speaks the same language as I do uses the words in the sense familiar to me—this is a general presupposition that can be questioned only in particular cases. The same thing is true in the case of a foreign language: we all think we have a standard knowledge of it and assume this standard usage when we are reading a text.

What is true of fore-meanings that stem from usage, however, is equally true of the fore-meanings concerning content with which we read texts, and which make up our fore-understanding. Here too we may ask how we can break the spell of our own fore-meanings. There can, of course, be a general expectation that what the text says will fit perfectly with my own meanings and expectations. But what another person tells me, whether in

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conversation, letter, book, or whatever, is generally supposed to be his own and not my opinion; and this is what I am to take note of without necessarily having to share it. Yet this presupposition is not something that makes understanding easier, but harder, since the fore-meanings that determine my own understanding can go entirely unnoticed. If they give rise to misunderstandings, how can our misunderstandings of a text be perceived at all if there is nothing to contradict them? How can a text be protected against misunderstanding from the start?

If we examine the situation more closely, however, we find that meanings cannot be understood in an arbitrary way. Just as we cannot continually misunderstand the use of a word without its affecting the meaning of the whole, so we cannot stick blindly to our own fore-meaning about the thing if we want to understand the meaning of another. Of course this does not mean that when we listen to someone or read a book we must forget all our fore-meanings concerning the content and all our own ideas. All that is asked is that we remain open to the meaning of the other person or text. But this openness always includes our situating the other meaning in relation to the whole of our own meanings or ourselves in relation to it. Now, the fact is that meanings represent a fluid multiplicity of possibilities (in comparison to the agreement presented by a language and a vocabulary), but within this multiplicity of what can be thought—i.e., of what a reader can find meaningful and hence expect to find—not everything is possible; and if a person fails to hear what the other person is really saying, he will not be able to fit what he has misunderstood into the range of his own various expectations of meaning. Thus there is a criterion here also. *The hermeneutical task becomes of itself a questioning of things* and is always in part so defined. This places hermeneutical work on a firm basis. A person trying to understand something will not resign himself from the start to relying on his own accidental fore-meanings, ignoring as consistently and stubbornly as possible the actual meaning of the text until the latter becomes so persistently audible that it breaks through what the interpreter imagines it to be. Rather, a person trying to understand a text is prepared for it to tell him something. That is why a hermeneutically trained consciousness must be, from the start, sensitive to the text's alterity. But this kind of sensitivity involves neither "neutrality" with respect to content nor the extinction of one's self, but the foregrounding and appropriation of one's own fore-meanings and prejudices. The important thing is to be aware of one's own bias, so that the text can

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present itself in all its otherness and thus assert its own truth against one's own fore-meanings.

When Heidegger disclosed the fore-structure of understanding in what is considered merely "reading what is there," this was a completely correct phenomenological description. He also exemplified the task that follows from this. In *Being and Time* he gave the general hermeneutical problem a concrete form in the question of being.⁴ In order to explain the hermeneutical situation of the question of being in terms of fore-having, foresight, and fore-conception, he critically tested his question, directed at metaphysics, on important turning points in the history of metaphysics. Here he was only doing what historical-hermeneutical consciousness requires in every case. Methodologically conscious understanding will be concerned not merely to form anticipatory ideas, but to make them conscious, so as to check them and thus acquire right understanding from the things themselves. This is what Heidegger means when he talks about making our scientific theme "secure" by deriving our fore-having, foresight and fore-conception from the things themselves.

It is not at all a matter of securing ourselves against the tradition that speaks out of the text then, but, on the contrary, of excluding everything that could hinder us from understanding it in terms of the subject matter. It is the tyranny of hidden prejudices that makes us deaf to what speaks to us in tradition. Heidegger's demonstration that the concept of consciousness in Descartes and of spirit in Hegel is still influenced by Greek substance ontology, which sees being in terms of what is present, undoubtedly surpasses the self-understanding of modern metaphysics, yet not in an arbitrary, willful way, but on the basis of a "fore-having" that in fact makes this tradition intelligible by revealing the ontological premises of the concept of subjectivity. On the other hand, Heidegger discovers in Kant's critique of "dogmatic" metaphysics the idea of a metaphysics of finitude which is a challenge to his own ontological scheme. Thus he "secures" the scientific theme by framing it within the understanding of tradition and so putting it, in a sense, at risk. All of this is a concretization of the historical consciousness involved in understanding.

The recognition that all understanding inevitably involves some prejudice gives the hermeneutical problem its real thrust. In light of this insight it appears that *historicism, despite its critique of rationalism and of natural law philosophy, is based on the modern Enlightenment and unwittingly shares its prejudices.* And there is one prejudice of the Enlightenment that defines its

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essence: the fundamental prejudice of the Enlightenment is the prejudice against prejudice itself, which denies tradition its power.

The history of ideas shows that not until the Enlightenment does *the concept of prejudice* acquire the negative connotation familiar today. Actually "prejudice" means a judgment that is rendered before all the elements that determine a situation have been finally examined. In German legal terminology a "prejudice" is a provisional legal verdict before the final verdict is reached. For someone involved in a legal dispute, this kind of judgment against him affects his chances adversely. Accordingly, the French *préjugé*, as well as the Latin *praejudicium*, means simply "adverse effect," "disadvantage," "harm." But this negative sense is only derivative. The negative consequence depends precisely on the positive validity, the value of the provisional decision as a prejudgment, like that of any precedent.

Thus "prejudice" certainly does not necessarily mean a false judgment, but part of the idea is that it can have either a positive or a negative value. This is clearly due to the influence of the Latin *praejudicium*. There are such things as *préjugés légitimes*. This seems a long way from our current use of the word. The German *Vorurteil*, like the English "prejudice" and even more than the French *préjugé*, seems to have been limited in its meaning by the Enlightenment critique of religion simply to the sense of an "unfounded judgment."⁵ The only thing that gives a judgment dignity is its having a basis, a methodological justification (and not the fact that it may actually be correct). For the Enlightenment the absence of such a basis does not mean that there might be other kinds of certainty, but rather that the judgment has no foundation in the things themselves—i.e., that it is "unfounded." This conclusion follows only in the spirit of rationalism. It is the reason for discrediting prejudices and the reason scientific knowledge claims to exclude them completely.

In adopting this principle, modern science is following the rule of Cartesian doubt, accepting nothing as certain that can in any way be doubted, and adopting the idea of method that follows from this rule. In our introductory observations we have already pointed out how difficult it is to harmonize the historical knowledge that helps to shape our historical consciousness with this ideal and how difficult it is, for that reason, to comprehend its true nature on the basis of the modern conception of method. This is the place to turn those negative statements into positive ones. The concept of "prejudice" is where we can start.