



# **‘Happy to have been of service’: The Yale archive as a window into the engaged followership of participants in Milgram’s ‘obedience’ experiments**

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This study examines the reactions of participants in Milgram’s ‘Obedience to Authority’ studies to reorient both theoretical and ethical debate. Previous discussion of these reactions has focused on whether or not participants were distressed. We provide evidence that the most salient feature of participants’ responses – and the feature most needing explanation – is not their lack of distress but their happiness at having participated. Drawing on material in Box 44 of Yale’s Milgram archive we argue that this was a product of the experimenter’s ability to convince participants that they were contributing to a progressive enterprise. Such evidence accords with an engaged followership model in which (1) willingness to perform unpleasant tasks is contingent upon identification with collective goals and (2) leaders cultivate identification with those goals by making them seem virtuous rather than vicious and thereby ameliorating the stress that achieving them entails. This analysis is inconsistent with Milgram’s own agentic state model. Moreover, it suggests that the major ethical problem with his studies lies less in the stress that they generated for participants than in the ideologies that were promoted to ameliorate stress and justify harming others.

As we write, it is exactly 50 years since Stanley Milgram’s studies on obedience to authority were first published. The details of these are so well known that they only need the briefest sketch here (for details, see Milgram, 1963, 1965, 1974). Motivated by a desire to understand the psychological processes that might allow ordinary citizens to perpetrate atrocities of the form witnessed in the Holocaust, his research sought to investigate when and why people obey the destructive instructions of those in authority. The paradigm that he devised for this purpose was carefully honed (Russell, 2011) and centred on an elaborate setup in which participants (mostly men) were led to believe that they were acting as ‘Teachers’ in a scientific experiment devised to study the impact of punishment on ‘Learners’ memory performance. The logic of this meant that Teachers came to the Yale Psychology Department to take part in a study in which they needed to

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administer shocks of increasing magnitude to a (male) Learner every time he made an error in a word recall task. They did this by depressing 1 of 30 switches arranged in ascending order on an imposing shock generator – starting with a mild 15 V and progressing to a deadly 450 V. Unbeknown to participants, the shocks were not real and the Learner was a confederate of Milgram's, as was the lab-coated Experimenter who oversaw the entire procedure. Furthermore, Milgram had no interest in memory; instead he wanted to know how far the participants would go in carrying out the Experimenters' lethal instructions.

The answer to this question not only shocked Milgram, but went on to shock the successive generations of students and readers who have been exposed to his studies' findings in both psychology textbooks and popular commentaries (Blass, 2004). For rather than abandon their task once it posed a threat to the Learner's health and well-being, a great many participants proved willing to continue administering shocks right up to the 450 V mark. Indeed, in an early pilot study, where the Learner never voiced any objections, all participants went this far; and in the variant that has become known as the 'baseline' condition (Russell, 2011), all participants went to 300 V and 65% continued to the very end. It is true that in a range of other variants far fewer participants proved willing to go so far (Milgram, 1974; Reicher, Haslam, & Smith, 2012) and that Milgram himself made much of the difficulty participants experienced in progressing – noting the high levels of conflict and nervous tension that the paradigm produced. Nevertheless, the studies are routinely understood as demonstrating people's alarming propensity to 'blindly' comply with the orders of those in authority (e.g., see Zimbardo & Gerrig, 1999) – even when those orders violate deeply ingrained notions of morality and civility.

### **Conventional ethical analysis of the Milgram studies**

When Milgram's findings were first published they sparked one of the most famous exchanges in the history of our discipline. This exchange was about ethics. In the first volley, Baumrind accused Milgram of manipulating, embarrassing, and discomforting his participants and of showing a singular detachment from their plight (Baumrind, 1964, p. 422). These claims were based on Milgram's own descriptions of his participants' experiences. For instance, in his initial report on the studies Milgram had claimed: 'I observed a mature and initially poised businessman enter the laboratory smiling and confident. Within 20 min he was reduced to a twitching, stuttering wreck, who was rapidly approaching a point of nervous collapse' (Milgram, 1963, p. 377, cited in Baumrind, 1964, p. 422). On the basis of such evidence, Baumrind dismissed Milgram's suggestion that these tensions dissipated before participants left the laboratory as 'casual' and 'unconvincing' (Baumrind, 1964, p. 422; for a recent and trenchant critique along similar lines, see also Nicholson, 2011).

Milgram retorted by accusing Baumrind of being 'deficient in information that could have been obtained easily' (Milgram, 1964, p. 848). To back this up, he reported follow-up data showing that 84% of respondents to a post-experimental survey were 'glad' or 'very glad' to have taken part in the experiment, whereas only 1% were 'sorry' or 'very sorry' (p. 849). He further cited evidence from a psychiatrist who had examined 40 participants and concluded that 'none was found by this interviewer to show signs of having been harmed by his experience' (p. 850). Milgram observed: 'such evidence ought to be weighed before judging the experiment' (p. 850).

This exchange – or at least the way it has been remembered – set the terms for a controversy that has hardly diminished over the ensuing half century. On the one hand,

Milgram's and Baumrind's positions have continued to be taken as polar opposites. But it is perfectly plausible to take them both seriously, and to accept that people were both extremely distressed during the study but subsequently genuinely glad to have participated. That raises the intriguing question of how exactly this dramatic turnaround was brought about.

This, however, is a question that most commentaries overlook. Indeed, for most readers of Milgram's work, the question of *why* participants were (or were not) distressed has been overshadowed by the question on *whether* they were distressed. As a consequence, the ethical controversy surrounding the Milgram studies has become separated from the theoretical debate about why his participants behaved as they did (Blass, 2004). For, treated as a phenomenon, distress can be viewed as something very different to obedience. However, when it comes to how they are produced, it is reasonable to conjecture that distress and obedience may have much in common. That is, the processes which govern whether people felt it was acceptable to deliver shocks in the first place may well give us some insight into the processes that reconciled them to their actions subsequently (and vice versa). Indeed, this was what Milgram himself proposed when he remarked to Baumrind: 'The same mechanisms that allow the subject to perform the act, to obey rather than to defy the experimenter, transcend the moment of performance and continue to justify his behavior for him' (Milgram, 1964, pp. 849–850).

Milgram was never explicit as to what precisely these mechanisms might be and he never provided any evidence that allows us to understand how participants justified their behaviour or why they supported his research. However, he does root his claim in a more general point about the behaviour of his participants, namely, that they are active adults and that they exercise choice. Indeed, one of his arguments in defence of the studies is that the experience may help people exercise that choice more effectively when confronted by authority in the future. 'If there is a moral to be learned from the obedience study', Milgram argues, 'it is that every man must be responsible for his own actions' (p. 852).

In his experimental notes, located in Box 46 of the Yale archive, Milgram takes the point still further, to the extent that he questions the very terminology of his studies. Specifically, he speculates as to whether the act of shocking constitutes cooperation with authority rather than obedience to authority. He asks, rhetorically, what is the difference between the two, and he answers:

Cooperation implies a certain willingness to perform the action or help out, a certain internal desire to assist, while obedience implies an action that is totally in response to a command, with no motivational support from inner sources. (Milgram, Box 46, Yale archive)

This observation should lead us to use and interpret the word 'obedience', now so indelibly associated with this work, with a large degree of caution.

Over time, though, Milgram himself abandoned such caution, as he came to describe the behaviour of his participants as unqualified obedience, and as a matter of submission rather than of choice (Blass, 2004). Moreover, he never returned to the relationship between what people did in his studies and how they felt about that research. In this study we wish to revisit this unfinished business. Our interest lies precisely in why people who had experienced such acute tensions came to feel so happy about their participation and so good about the research itself. Here, we take seriously Milgram's comments about the relationship between mechanisms that lead people to perform an act and which lead them to justify their acts. That is, our ambition is to use material that ostensibly relates to the ethics of the study to shed light on the psychology of 'obedience'.

To do this, it is necessary to go beyond the raw data published by Milgram in his 1964 study and elsewhere (notably the appendix to his 1974 book *Obedience to Authority*). We need to access the understandings which lie behind the numbers. Fortunately, such information is available. For, as already indicated, after his studies were completed, Milgram sent a post-experimental debriefing report to his participants along with a questionnaire that included both closed questions and an invitation to provide elaborated responses. This was an invitation that a majority of his participants accepted, and their comments were subsequently transcribed onto cards. Today, these cards can be found in Box 44 within the Milgram archive at Yale. Before we get to these, however, it is necessary to consider Milgram's own theoretical explanation of his results, and to consider alternative accounts that have been proposed more recently. For these will provide a conceptual framework within which to examine participants' responses.

### **Conventional theoretical analysis of the Milgram studies: The inattentive bureaucrat**

We have already noted that Milgram's own explanation of his findings changed markedly over time. In particular, his initial emphasis on the active choices of his participants gave way to his better-known claim that obedience results from the relinquishing of agency. For instance, in his first published account of the research, Milgram (1963) lists 13 considerations which bear on participants' behaviour. These have to do with the nature of the participants' obligation to both the 'Experimenter' and the 'Learner', and the dilemma of choosing between them. The second consideration is particularly interesting in this regard. For Milgram notes that the experiment is ostensibly designed with a worthy purpose, and that 'obedience occurs not as an end in itself, but as an instrumental element in a situation that the subject construes as significant and meaningful' (1963, p. 377). This point is spelled out in further detail in Milgram's experimental notes, located in Box 46 of the Yale archive. There he observes:

Even in this experiment we must disguise the character of obedience so that it appears to serve a productive end. Therefore we are not dealing with 'blind obedience'. . . . For every command is justified as serving some productive end. The important thing is, in exacting obedience, that end must appear rationally correlated with the role of the authority. (Milgram, Box 46, Yale archive)

Traces of these explanations endure and many are reproduced in Milgram's, (1974) book. But by and large he dropped the idea that people are concerned with their obligations to the Experimenter and the Learner, as well as the idea that behaviour depends upon active construals of context. Instead, he came to treat obedience as an end in itself. More specifically, he argued that participants obey because they disengage from the task and focus simply on doing the bidding of the Experimenter as well as they possibly can (Milgram, 1974; for a discussion see Blass, 1999).

In this, Milgram's thinking was heavily influenced by exposure to the writings of Hannah Arendt in which she theorized about the processes that had allowed Adolf Eichmann to play his part in the Nazi Holocaust<sup>1</sup>. Eichmann was the bureaucrat chiefly

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<sup>1</sup> Indeed, it is interesting to observe that Milgram's extensive experimental notes (contained in Box 46 of the Yale archive) make no reference to the Holocaust, suggesting that these were connections that became more salient only once he had been exposed to Arendt's writing.

responsible for devising and enacting ‘The final solution to the Jewish problem’ which saw millions of Jews (and other targets of Nazi hatred) deported to death camps across German-occupied territories as part of an elaborate but highly efficient policy of extermination (Cesarani, 2004). Arendt’s views about Eichmann were shaped largely through her attendance at his trial in Jerusalem in August 1961 (the same month that Milgram’s own research programme commenced). There she had expected to encounter a monster, but was instead taken aback by the sheer ordinariness of the man she encountered in the dock – an ordinariness famously captured in the subtitle of her 1961 book on the subject: *The Banality of Evil* (Arendt, 1963).

Although it is much more rich and nuanced than commonly supposed (Jetten & Mols, in press; Newman, 2001), Arendt’s portrayal of Eichmann as a man who was focused narrowly on the bureaucratic challenges that he faced without concern for the broader implications of his actions proved to be enormously influential (Miller, 1995, 2004). Most particularly, it provided a sharp focus for Milgram’s evolving understanding of his participants’ behaviour, such that in the Introduction to his 1974 book he observed:

After witnessing hundreds of ordinary people submit to the authority in our own experiments, I must conclude that Arendt’s conception of the banality of evil comes closer to the truth than one might dare imagine. The ordinary person who shocked the victim did so out of a sense of obligation – a conception of his duties as a subject – and not from any peculiarly aggressive tendencies. (Milgram, 1974, p. 6)

More formally, Milgram conceptualized the process through which participants became immersed in their task as one that involved entry into an ‘agentic state’ (1974, pp. 132–134). The key idea here is that, in the presence of a powerful authority, individuals come to focus their attention on the challenge of enacting the authority’s wishes rather than on the question of whether those actions are right or wrong. Thus, just as Eichmann was seen by Arendt ‘to never realize what he was doing’ (1974, p. 287), so Milgram’s participants were seen to have failed to grasp the lethal significance of the punishments they were required to mete out in furtherance of the Experimenter’s goals. They abstained from active and reflective thought. They succumbed to the power of the situation. They became passive and uncritical agents of authority.

Over the five decades since his publication on the topic, Milgram’s agentic state account has remained the dominant framework for understanding his findings (Blass, 1999, 2004). Moreover, partly through its close links to the banality of evil thesis (see Haslam & Reicher, 2007, 2012b), it has proved to be a key analytic resource not only for social psychologists (e.g., Zimbardo, 2007) but also for researchers in a range of other academic disciplines (e.g., Akerlof, 1991; Helm & Morelli, 1979; Overy, in press), as well as for the public more broadly (Novick, 2000). The extent of this influence is surprising for a number of reasons. Principal among these is the fact that there is a general consensus among those who have looked closely at Milgram’s research that the agentic state account fails to provide a convincing explanation of his findings. In particular, this is because it does not explain why levels of obedience differed across the many variants of the paradigm, why participants were clearly tormented by the tasks they had to perform, or why (to varying degrees) they were influenced by the protestations of the Learner (Blass, 2004; Mantell & Panzarella, 1976; Reicher *et al.*, 2012; Rochat & Modigliani, 1997).

A second key problem is that Milgram himself presents relatively little data to support the analysis. The data he does present are taken from participants’ own accounts of their experiences, but it is unclear how representative these are of those accounts or what

precise status they have. For example, he notes that, after the experiment, several of his participants expressed a belief that Yale was ultimately responsible for participant welfare (Milgram, 1974), but it remains unclear whether such views were widespread or how they accord with other features of participants' accounts. It is, for example, perfectly plausible to invoke the accountability of the institution without counter-posing it to one's own. To get a clearer picture of participants' reflections on their experiences, these therefore need to be examined in the round rather than by looking at selected statements in isolation.

### **An alternative theoretical analysis of the Milgram studies: The engaged follower**

For all its shortcomings, one key factor that has contributed to the durability of the agentic state model is the lack of an alternative theory that might provide a more plausible explanation of Milgram's findings. Nevertheless, in recent years researchers have begun to challenge the claim that destructive acts are the product of any inherent inclination to conform passively to the wishes of those in authority. Thus, among other things, it has been suggested that the behaviour Milgram observed was a product of the structure of his paradigm (Darley, 1992) – specifically, the way that it created a sense of obligation to, and identification with, the Experimenter (Gilbert, 1981; Rochat & Modigliani, 1997), and also relied upon, and communicated, particular behavioural norms (Navarick, 2009; Nissani, 1990). In other contexts, researchers have also observed that it is those individuals who identify with and glorify the in-group who are most likely to countenance cruel treatment of others (Castano, 2008; Reicher, Haslam, & Rath, 2008; Roccas, Klar, & Liviatan, 2006).

Such research has also contributed to the development of a radically different model of the processes at work in Milgram's studies. This asserts that rather than representing passive 'obedience' (Lutsky, 1995), behaviour in the paradigm is better understood as a form of *engaged followership* (Haslam & Reicher, 2012a,b; Reicher & Haslam, 2011; Reicher *et al.*, 2012). This alternative analysis is derived from a social identity perspective on social influence and leadership which asserts that people's willingness to accede to the requests of others is predicated upon social identification with them, and an associated sense that they are legitimate representatives of shared group goals, values, and aspirations (Haslam, Reicher, & Platow, 2011; Turner, 1991; Turner, Hogg, Oakes, Reicher, & Wetherell, 1987; Tyler, 1990, 1998). In these terms, Milgram's participants did not administer shocks because they were oblivious as to their purpose, but rather did so because they were identified with the scientific goals that underpinned the experiment and that the Experimenter appeared to embody. In other words, and in line with Milgram's own assertions in his 1963 study, those who administered shocks were aware of the consequences of their actions. Indeed, they continued shocking precisely because these consequences were ones they supported and identified with, and because their actions were construed to be contributing to a moral, worthy, and progressive cause.

In this regard, it is interesting to refer again to Milgram's experimental notes and observe that his early deliberations focused extensively on the importance of identification with the experimenter as a factor responsible for his findings. In particular, he observed:

The subjects have come to the laboratory to form a relationship with the experimenter, a specifically submissive relationship in the interest of advancing science. They have not come to form a relationship with the subject, and it is this lack of relationship in the one direction and the real relationship in the other that produces the results. . . . Only a genuine relationship

between the Victim and the Subject, based on identification, or marriage, etc. could reverse the results. (Milgram, Box 46, Yale archive)

Moreover, in the context of this observation, he also goes on to outline plans to explore this hypothesis experimentally:

How could this be fostered experimentally?

Perhaps, the Negro–White variations.

It would be possible to vary the characteristics of experimenter, subjects and victim in such a way as to study the importance of identification in the obedience act. (Milgram, Box 46, Yale archive)

Milgram's notes then specify a series of theoretically interesting variants of an experimental design in which the identity of the 'Subject', 'Experimenter', and 'Victim' (as 'Negro' or 'White') is varied systematically.

Evidence that supports a reconceptualization in which such identification assumes a central role comes from two sources. First, recent work by historians has served to question suggestions that the atrocities orchestrated by Eichmann and his ilk resulted from an inability to recognize the significance of their actions or from blind obedience (Cesarani, 2004; Lozowick, 2002; Vetlesen, 2005). This was certainly an argument used after the war, but critics have argued that it is better viewed as an excuse than as a plausible account of the relevant facts (Mandel, 1998). Indeed, evidence that has recently emerged from secret tape-recordings of senior German officers in British Prisoner of War camps suggests that they actively conspired to ensure that everyone would confirm this stance so as to lend it credibility (Neitzel, 2007).

By contrast, the wartime behaviour of Nazis such as Eichmann stood out by virtue of the energy and enthusiasm with which it was performed (Cesarani, 2004; Sofsky, 1993). Moreover, rather than slavishly following orders or resembling dull automatons, it is clear that Nazi bureaucrats brought considerable creativity to bear on their work, and were proud of their ability to surmount the many challenges they confronted (Haslam & Reicher, 2007). A key point here too is that in most instances there were no explicit orders for bureaucrats to follow; instead, they had to 'work towards' their leaders based on an understanding of, and sympathy for, those leaders' goals (Kershaw, 1993; Reicher *et al.*, 2012).

Alongside this historical reanalysis, a second body of evidence that supports the engaged followership model has resulted from a recent renewal of interest in Milgram's work among social psychologists. Here, there are at least four aspects of this work that support this model. First, several researchers have remarked upon the lengths that Milgram went to to ensure that participants construed his project as a worthy scientific enterprise and hence became bound to its ostensible goals. This was something that Milgram worked at meticulously and which shaped the careful operationalization of his paradigm. Examples include the scrupulous attention paid to the design of the shock machine and to the process of recruiting and greeting participants (Russell, 2010, 2011; Russell & Gregory, 2011) as well as major departures from the experimental script that positioned Teachers as in-group collaborators rather than detached actors (Gibson, 2011).

Second, a meta-analysis by Packer (2008) has shown that the two key points where participants break off from administering shocks are 150 and 315 V. The significance of these is that they are the points at which the Learner first asks to be released and then voices his most categorical objections, and hence they are the junctures at which

identification with the Experimenter is challenged by, and needs to be reconciled with, an alternative source of identification.

Third, a limited replication of Milgram's baseline study by Burger (2009; Burger, Girgis, & Manning, 2011) has shown that when they are given a prod which orders them to continue (specifically, being told 'you have no other choice you must continue'), participants are strongly inclined to *disobey* the instruction. This observation has recently been confirmed in an experimental analogue of Milgram's paradigm which disentangles issues of causation (Haslam, Reicher, & Birney, in press). This suggests that orders provoke reactance not obedience (Brehm, 1966) and one potential reason for this is that they undermine participants' sense that the authority is acting in terms of an identity that they share (Haslam *et al.*, 2011).

Fourth and finally, recent studies by Reicher *et al.* (2012) have shown that across the many variants of Milgram's paradigm reported in *Obedience to Authority*, the willingness of participants to administer the maximum level of shock can be predicted with a high degree of accuracy by observers' estimates of the degree to which a given variant encourages participants to identify with the Experimenter and the science that he represents rather than with the Learner and the general community that he represents. For example, identification and obedience both drop dramatically when two Experimenters contradict each other, when the Experimenter is absent from the laboratory, or when the Experimenter's role is enacted by someone who appears to be another naïve participant. The importance of this alternative analysis, then, is that it is the first account which systematically explains the variation in obedience across the various Milgram studies. That is, it explains why people do not obey as well as why they do (Jetten & Hornsey, 2011; Jetten & Mols, in press).

## **The present research: Two key questions about the experience of participants**

Despite the accumulation of evidence that has challenged the agentic state account, it remains the case that this was the model that Milgram himself derived on the basis of participants' accounts of their behaviour, and this proximity to the data has carried considerable weight (Blass, 2004). Yet, as noted above, Milgram's analysis of this data was far from systematic, and, at the time, he had no elaborated alternative hypothesis against which to assay the validity of his interpretation.

For this reason there would appear to be considerable value in a more structured analysis of the experiences reported by Milgram's participants' which seeks to establish the relative merits of agentic state and engaged followership explanations. It is here that the Milgram archive at Yale proves invaluable. Indeed, increased scrutiny of the archive in recent years has been one of the key factors in a resurgence of interest in Milgram's work (see Blass, 2004; Gibson, 2011, in press; Millard, 2011, in press; Perry, 2011; Russell, 2010, 2011).

Our particular interest in this resource relates to material that addresses how people felt about both the science of the study and about their own role in contributing to this. To be more specific, through a systematic analysis of this evidence we seek to address two research questions:

RQ1. Was participants' general orientation to the science of the study one of disengagement or engagement?

RQ2. Did the lack of stress reported by Milgram's participants (as discussed by Milgram, 1964) reflect a state of disengagement or a state of engagement?

In regard to these questions, the key point to note is that Milgram's (1974) agentic state explanation and our engaged followership explanation predict different answers. On the one hand, an agentic state explanation implies (1) that participants were generally disengaged from the task of administering shocks and (2) that this disengagement protected them from the stressful implications of their actions. On the other hand, an engaged followership explanation implies (1) that participants were generally engaged with the task of administering shocks and (2) that it was engagement with this project that protected participants from stress because it allowed them to construe their actions as virtuous rather than vicious (Haslam & Reicher, 2012b).

To determine which approach better accounts for the evidence, we will first consider responses to Milgram's post-experimental questionnaire, some of which we have already referred to in describing his response to Baumrind (1964). We will then explore these questions more closely, drawing upon both qualitative and a quantitative analysis of the elaborated comments that are recorded on the cards in Box 44.

### **Quantitative examination of responses to Milgram's post-experimental questionnaire**

In his 1964 study, Milgram describes the steps he took to reassure his participants. In addition to an immediate debrief (or 'dehoax' as he terms it), this included a detailed five-page report that was sent out when the experimental series was completed. In this Milgram explained the nature of the deception in his studies and why it was necessary. He then described some of the key findings. Significantly, where participants had previously signed up to take part in a study examining the effect of punishment on learning that was important because 'almost no truly scientific studies have been made of it in human beings' (Milgram, 1963, p. 373), the report used very similar language to inform them that 'The problem of obedience to authority may well be the crucial issue of our time. The experiments you took part in represent the first efforts to understand the phenomenon in an objective, scientific manner' (Milgram, no date b, p. 5).

Participants ('by this time numbering 800'; Milgram, no date a, p. 1) were able to react to the report through a follow-up questionnaire. A first point to note – which itself is suggestive of high levels of engagement with Milgram's project – is that completed questionnaires were received back from at least 659 participants (this being the maximum number of participants who responded to any single question), a response rate of approximately 82%. This is far higher than the response rate that one would expect under the circumstances. Indeed, Fox, Crask, and Kim's (1988) meta-analysis would lead one to expect that with pre-notification, university sponsorship, return postage paid, and a postcard reminder, only 32.7% would reply.

The questionnaire consisted of 10 items that invited participants to reflect on their experiences before, during, and after the study and to respond to statements on 3- or 5-point scales. Milgram (1964) himself presents only percentage data pertaining to Question 8: concerning whether people were glad or sorry to have participated. As noted above, most said that they were either very glad (44%) or glad (40%) to have done so. Two other questions also relate to the issue of how people felt about their participation. Here, 64% of participants indicated that, after it was over, the experiment had not bothered them at all (q6) and most agreed (43%) or definitely agreed (31%) that they had learned

something important through their participation (q10). These figures are lower, but they still indicate that a clear majority of participants had reasons to feel positive about having taken part in the study and lacked reasons to feel negative. Accordingly, *t*-tests confirm that on all three measures, responses were significantly above the scale midpoint – q6<sub>not bothered</sub>(1–3):  $M = 2.57$ ,  $t(653) = 23.27$ ,  $p < .001$ ; q8<sub>glad to participate</sub>(1–5):  $M = 4.26$ ,  $t(655) = 41.72$ ,  $p < .001$ ; q10<sub>learned</sub>(1–5):  $M = 3.94$ ,  $t(642) = 25.11$ ,  $p < .001$ .

In addition, the questionnaire contained two items relating to the institution to which the Experimenter was affiliated and to the science of the experiment itself. Here, most respondents indicated that participating had improved (10%) or not changed (89%) their views about Yale (q7) and agreed (39%) or definitely agreed (41%) that further studies of this form should be carried out (q9). Once again we see a paucity of negative feelings and a preponderance of positive feelings, such that responses were significantly above the scale midpoint – q7<sub>unchanged views of Yale</sub>(1–3):  $M = 2.12$ ,  $t(656) = 2.65$ ,  $p = .008$ ; q9<sub>support more studies</sub>(1–5):  $M = 4.18$ ,  $t(651) = 35.52$ ,  $p < .001$ .

On the whole, then, participants were as positive about the studies as they were about their own participation within them. Unfortunately, however, the way that Milgram recorded his data makes it impossible to look at the relationships between these various responses. That is, he analysed answers to different questions separately and the Yale archive provides no information that would make it possible to relate a person's response on any one question to their response on any other. This again reflects the fact that Milgram was more concerned to clarify *that* participants were not harmed than to explore *why* this was the case. We cannot, therefore, establish whether higher levels of support for the science are associated with more positive feelings about having participated. However, we can at least note that people are generally very engaged with the science. This speaks to RQ1 and is clearly consonant with the engaged followership approach. To address RQ2 we need to look at the more detailed comments of participants that are archived in Box 44.

### Qualitative examination of engagement and positivity in post-experimental responses

After participants had responded to the 10 questionnaire items that we have just been considering, they were told: 'Any additional comments you would care to make would be most helpful; space is provided on the accompanying sheet of paper. Thank you very much for your cooperation' (Milgram, no date a, p. 3). Of the 659 participants who returned the questionnaire, 431 provided some form of comment (i.e., 65% of respondents, approximately 54% of all participants).

The comments were typed out onto 1,057 6 inch × 4 inch cards which, together, constitute Box 44 of the Milgram archive in the Sterling Library at Yale. A sample card is presented in Figure 1. On each card the author of the comments was identified by a four-digit code number in the top right-hand corner. The first two digits indicate the experimental variant in which the participant took part (29 different variants are identified; 11 more than in Milgram, 1974); the second two digits indicate the participant number. Rather than being organized by participant or by study, cards were sorted into 1 of 17 numbered sections, with the section number marked in the top left-hand corner of the card (noting that there is no Section 15 and that the first section is labelled 'T.R.').

There is no index that provides an explicit rationale for the sections. However, it appears that cards are organized around different themes. To retrospectively identify

13 (also 9)

0125

After reading the result of this experiment, which  
 I took part of, I will always be glad to help Yale  
 in experiments.....  
 .....I firmly believe in experiments that will  
 help to understand people.....  
 .....I did not realize scientists enth to that  
 extent to learn more of human behavior

**Figure 1.** A sample card from Box 44.

these themes, the first and fourth author read the contents of all the cards and made independent notes about the similarities within each category as well as the differences between them (thereby clarifying the content of themes following the principle of meta-contrast; Haslam & McGarty, 2014; after Turner, 1985). Discussion between coders then sought to resolve differences in interpretation (which were minimal). The resultant themes are presented in Table 1.

From this table it can be seen that there are a number of sections of Box 44 that contain material pertinent to RQ1 and RQ2. Nevertheless, the section that is most directly relevant to the present analysis is Section 13 – whose content was parsed as ‘Thoughts about the value (or otherwise) of (having participated in) the research’ – as this contains comments that relate directly to participants’ sense of whether or not the research process as a whole was worthwhile and valuable. We therefore focus our analysis on the cards in this section.<sup>2</sup> Narrowing our focus in this way also reduces the risk of oversampling that would otherwise arise from the fact that comments in other sections often relate very closely to the comments found in Section 13.

As noted in Table 1, Section 13 contains 140 cards (131 containing comments and 9 cross-referencing comments in other sections). As the illustrative examples in Table 2 suggest, the majority of these comments were extremely positive. To examine the structure of this positivity more closely, the first, second, and fourth authors read the cards in Section 13 closely with a view to identifying key themes in participants’ responses. As before, this activity was guided by the principle of meta-contrast (Haslam & McGarty, 2014; see also Braun & Clarke, 2006) in such a way as to maximize (1) similarity among the data that are considered illustrative of the same theme and (2) difference between data that are considered illustrative of different themes. As Haslam and McGarty (2014) argue, where either of these principles cannot be satisfied this suggests either that one needs to create additional themes (because intra-theme similarity is too low) or that some themes need to be merged (because inter-theme difference is too low).

On the basis of this process, and following discussion, our coders agreed that three distinct themes could be identified (while noting that the inter-thematic component of

<sup>2</sup> Box 44 contains more than one card per respondent and so the cards in Section 13 contain only those comments that Milgram (or his research assistants) saw as fitting this section’s theme. Having looked across the material in all sections, it would appear that these cards capture most (if not all) of the comments that pertain to this theme. Nevertheless, it is worth emphasizing the point that the cards that are the focus of our analysis contain feedback from only some of Milgram’s participants and only some of the feedback from those who responded to his questionnaire.

**Table 1.** Contents of Box 44: Sections, content, and representative examples

Section	N cards (blank <sup>a</sup> )	Theme	Summary of content	Illustrative examples <sup>b</sup>
T.R. <sup>c</sup>	14 (6)	Troubled responses	Ps who had particularly strong and lengthy reactions to the study. All are particularly engaged with its meaning and implications	After leaving, while driving home, I came to the conclusion that, for purposeful reasons, I had been hoaxed. Interesting concern enveloped me as to why. Later at the Yale Med School I found out why. Partial satisfaction. With your report, almost complete satisfaction. . . . Briefly, I was happy to have been of service. . . . Continue your experiments by all means as long as good can come of them. In this crazy mixed up world of ours, every bit of goodness is needed. [2205] It was a very interesting experiment and I should like to thank you for sending me the report. [0223] I think this report should have been sent out shortly after the experiment as I would have had more peace of mind. After the test I was very surprised that Yale would have such a cruel experiment. My feelings have now changed [0614] The thing that amazes me is the fact that 60% obeyed to the end. I had sincerely thought that about 2% would complete the shock waves. This is really a shock. [0616] During the administration of the shocks I kept thinking that if I later had to change places with the learner, I was going to give back the \$4.50 and leave. [0517]
1	41 (2)	Reactions to receiving report	Most Ps express gratitude for receipt of report. Many express relief	
2	19 (0)	Reactions to study's main findings	Varied reactions. Some Ps express surprise, some do not	
3	31 (0)	Thoughts about administering shocks	Varied reactions. Several Ps want to know what the study says about them as individuals. Several state their belief that Yale was responsible	
4	23 (1)	Thoughts about experimental design	Most Ps comment on the ingenuity of the study. Many wish Milgram well with his research	I am very delighted to be a part of this project. I have often thought I was the subject but I could not be any happier. I've been waiting very anxiously for this report to really put my mind at rest and curiosity satisfied. Believe it or not Dr. this was a well planned project. . . . We wish you continue success in the future. Last but not least I sure hope my efforts, and cooperation have been somewhat useful for your project. [1817]

*Continued*

Table 1. (Continued)

Section	N cards (blank <sup>a</sup> )	Theme	Summary of content	Illustrative examples <sup>b</sup>
5	37 (1)	Thoughts and possible suspicions about the actors	Most Ps report being completely fooled by the actors. A few say they had doubts	I was taken in completely by your 'actors.' I hate to admit this; I figured I was a pretty world-wise guy. I keep a big safety-pin on my wallet pocket now. [2324B]
6	131 (3)	Feelings and suspicions during study	Most Ps indicate they had doubts that shocks were real. However, many indicate that this only occurred to them afterwards. Some disturbed by lack of debriefing at end of session	I felt somewhat guilty and nervous but as soon as I left the building I began to think and realized something was wrong and he could have been acting. [0201] After leaving the experiment, I gave it a great deal of thought for several hours. I came to the conclusion that I was the one being studied. Several months later, quite by accident, I found out the truth, and my suspicions were confirmed. [1013] I am one who went all the way on the experiment. The reason: I don't know. Perhaps it was because of military (service) training or perhaps it was that I have absolute faith in my leaders or superiors. [0233] I agree with your comments that it was an inner struggle to obey your instructions and at the same time hurt another person, defying my parents' teaching. [0436]
7	42 (4)	Reasons for (not) continuing to administer shocks	Varied responses, but many Ps mention prior experiences – particularly in the military, at work, and in their upbringing	I kept thinking, why didn't I refuse to give pain to my fellow man, instead of going through as directed to the end. [0116] I did not like the idea of giving the shocks, but had complete confidence in the instructor and the nature of the experiment. [0922] Because as a lawyer, I felt there would be personal liability on my part and that of my colleagues (actors). Our learner had claimed a previous heart condition. As he continued to object and yell, I saw the possibility of my being held liable increasing. Knowing that as a lawyer I would be held to a higher degree of care, knowledge etc. I decided to break off... [1320]
8	103 (7)	Reasons for (not) ceasing to administer shocks	Those who continued refer to confidence in E and Yale. Those who stopped typically refer to (1) sympathy for the L and his heart condition (2) conflict with other roles (e.g. as health professional, lawyer), and (3) lack of good motive	

Continued

Table 1. (Continued)

Section	N cards (blank <sup>a</sup> )	Theme	Summary of content	Illustrative examples <sup>b</sup>
9	202 (13)	Signs of (lack of) stress during or since the experiment	Most Ps indicate that they were (extremely) stressed during the study at the process of having to inflict pain, and afterwards when reflecting on what they had done (especially prior to debriefing). Many express relief after receiving report	<p>Yes, this experiment had bothered me because I could not see how Yale would allow such experiments to go on. I even went to a priest at the university where I work to ask about the morality of such an experiment. The experi. left such an effect on me that I spent the night in a cold sweat and nightmares because of the fear that I had that I might have killed the man in the chair. This fear was aroused from the fact that I had to sign papers that I would bring no charges against Yale. [0711]</p> <p>I was upset to think that I was hurting someone even in the name of science. [1127]</p> <p>It was a decision, after having made the decision I was relatively calm.]. . . The fact that I followed the experimenter's instructions to the end was based upon a decision, not upon the following of orders. [2323B]</p> <p>I find it a very depressing thought to consider that if I were recruiting Sonder Kommandos for Auschwitz I would find 6 out of every 10 men in the army – maybe even more – would be suitably submissive to authority to commit atrocities under the rationale of obedience. [0837]</p> <p>I really hesitate to draw any quantitative conclusions from the experiment were I the experimenter, because the experiment is not really conducted 'in situ'. . . As a teacher, I would not have gone on in a real life situation, but went on because I felt (1) Some good would come of it – (You needed the data, etc.), (2) You must have taken precautions to protect the learner from any harm – etc. etc. . . . [1409]</p>
10	74 (7)	Thoughts about experiment's broader relevance	Many Ps comment on relevance of the study to WWII and the Holocaust. Most take conclusions at face value, but many also question them (on a range of grounds)	

Continued

**Table 1.** (Continued)

Section	N cards (blank <sup>a</sup> )	Theme	Summary of content	Illustrative examples <sup>b</sup>
11	24 (3)	Thoughts about obedience and compliance	A range of relatively idiosyncratic reflections on the nature of obedience in society	The problem of obedience is indeed one of the most crucial issue of our time (as you so aptly put it). The problem for each individual is to decide whether he should obey every order given to him, even if this violates his conscience... [1706]
12	22 (1)	Thoughts about deception	Most Ps still aggrieved at deception and delay in receiving feedback. Many Ps also urge caution in selection of participants	... Upon reflection, I seriously question the wisdom and ethics of not completely 'decompressing' each subject immediately after the session. The standard 'decompression' treatment I received was not successful in reducing my anger and concern below the boiling point... [0623] Since I became so upset during the experiment, I'm not sure that you were entirely responsible in picking your subjects. Suppose I'd had a heart condition? [2032] After reading the results of this experiment, which I took part of, I will always be glad to help Yale in experiments. I firmly believe in experiments that will help to understand people. I did not realize scientists enth [went] to that extent to learn more of human behavior. [0125] Some of the self-distaste Some of the self-distaste is somewhat expunged now; at least I was making a contribution to an important search for truth. [0222] ... The above answers are my personal belief and I hope the may be of some small help, if in the future Yale does have any experiments I would gladly cooperate but I think that offering a person a sum of money for his help is a sence a insult, I believe a person should go through the whole thing willingly or not at all. [2314B]
13	140 (9)	Thoughts about the value (or otherwise) of (having participated in) the research	Most Ps report feeling happy and privileged to have played a part in contributing to scientific progress. Many make reference to the report. Many offer to participate in future research	

Continued

**Table 1.** (Continued)

Section	N cards (blank <sup>a</sup> )	Theme	Summary of content	Illustrative examples <sup>b</sup>
14	130 (6)	Insights gained through participation	Many Ps report finding out that they are easily manipulated, others that they can resist pressure and think for themselves. Many report they have learned not to trust psychologists	In psychological experiments they don't always tell the truth as to what they are going to do. [#] I learned that I could resist group pressure and go according to my own feelings as to what I thought was right. [1121]
16	8 (0)	Thoughts about E	Most Ps express anger with E and/or Milgram	I remember blaming the leader of the experiment more than myself, the executioner. Approx. 2:1 ratio. [0240]
17	18 (5)	Thoughts about L	Ps express mixture of sympathy and frustration with L	I was angry at the learner for being so slow and forcing me to shock him harder. I was upset at having to punish someone. [0226]
Total	1,057 (69)			

Note. <sup>a</sup>Some cards were blank because they referred to the primary section in which the relevant comment was filed (e.g., 'see card Category 9'). <sup>b</sup>All quotes verbatim (including typos, spelling errors, etc.). <sup>c</sup>It is unclear what T.R. stands for (we interpret it as something akin to 'Troubled Responses').

meta-contrast was relatively low for the first two). These were labelled (1) support for Milgram's project, (2) support for behavioural investigation, and (3) support for Yale. This thematic structure was then validated by the third author on the basis of an independent reading of the data. To be clear, the purpose of this exercise was not to interrogate the ways in which people make, justify, or warrant particular claims about their participation (e.g., by means of discourse analysis; see Gibson, 2011, in press). It was to identify broad themes in the ways that people framed their feelings about having participated. However, having identified themes in this way, it is instructive, next, to reflect more closely on their specific content.

### ***Theme 1: Support for Milgram's project***

The dominant theme that characterizes the comments in Section 13 relates to the sense of fulfilment that participants experienced from participating in a scientific study that they considered to be extremely important. These comments mainly elaborate on responses to Question 8 in the post-experimental survey (being glad or sorry to have participated) and reflect participants' support for the specific research programme in which they had taken part.

This occurs at various levels, depending upon what is pre-supposed in the response. In some cases participants pre-supposed that they have contributed to the research and that the research has contributed to society. Then pleasure and pride is expressed simply at having been part of the programme. Indeed, participants articulate a sense of being 'special' simply as a result of having been part of such an important project, as indicated by the following response:

[1618] Felt pride at being chosen to participate.

In other cases, it is pre-supposed that the project is of benefit and satisfaction is expressed at having been of use in the research:

[no number] The experiment was a unique experience for me, and I believe everyone gets satisfaction from helping in a group endeavor.

[1817] I am very delighted to be a part of this project. I have often thought perhaps I was the subject, but I could not be any happier. I've been waiting very anxiously for this report to really put my mind at ease and curiosity satisfied. Again I will say I am happy to be a part of this project. . . . Last but not least I sure hope my efforts, and cooperation, have been of somewhat useful for your project.

In yet other cases, the broader benefit of the project is explicitly referenced, and the satisfaction relates directly to its societal impact:

[0202] It seems to me that every experiment of this type cannot but help, even if only in a small measure, to teach us something.

[0603] To be a part of such an important experiment can only make one feel good, especially because for the reasons stated in your report.

[1716] It was my privilege to be of some help in what I think is a worthwhile experiment. When you gentlemen have fully completed your research, and it is possible to do so, I would like to receive your final report on this experiment, and the good that it might achieve for mankind.

**Table 2.** Levels of participant engagement with Milgram's scientific project based on analysis of responses in Section 13 of Box 44

Engagement with Milgram's scientific project – and illustrative comment			N	%
7	Very highly engaged	I feel I have contributed in some small way toward the development of man and his attitudes towards others. I would be glad to participate in other studies.   I thoroughly enjoyed participating in the program and hope I will be called on again. [0203]	33	23.6
6	Highly engaged	The experiment was very interesting and worthwhile.   I think that studies of this kind are very helpful and should continue [0320]	27	19.3
5	Moderately engaged	Any study with an aim, if properly conducted, can do no harm and might be of some value [0436]	34	24.3
4	Neither engaged nor disengaged	It is good to know that you would not permit me to give the learner the actual shocks under the condition of this experiment [0537]	33	23.6
3	Moderately disengaged	It was only after speaking to you on the phone that I concluded the experiment had been prearranged and in all truthfulness somewhat silly. I would suggest that more experiments are conducted but that they be conducted on the more serious side. ... [1922]	8	5.7
2	Highly disengaged	You might be interested to know that my opinion of Yale is quite low because of this experiment.   Kindly furnish me with the name & address so that I can satisfy my own thought about this experiment. [0820]	5	3.6
1	Very highly disengaged		0	0
Total			140	

Finally, elaborating on their responses to Question 9, there were cases where the notion of scientific benefit led participants to argue for the need for more obedience studies, to advocate that others should be involved in them, and also to offer to participate in them themselves:

[0319] If it [is] your belief and conviction that these studies will benefit mankind then I say we should have more of them. . . I found the experiment very interesting and wouldn't hesitate to participate in future ones.

[0436] As you mentioned in your report, something has definitely been accomplished to aid science. Why stop now? I am extremely grateful for being allowed to be a small part of this experiment.

[1205] I feel I have given something for the experiment. I think these experiments should go on, because it will make better citizen of the younger people, through better understanding.

### **Theme 2: Support for behavioural investigation**

Closely related to Theme 1, many participants took the opportunity to express their enthusiasm for the systematic investigation of human behaviour. As the following

examples illustrate, these references have two distinct foci. First, participants stressed the importance of understanding behaviour:

[0406] Where would we be without constant studies of man's behavior – there is still an abundance of information to be learned.

[0841] Any deeper understanding of human behavior can only strengthen our countries 'needs' when applied by them who are 'in support' of our welfare.

Second, they stressed the importance of studies that are scientific and rigorous:

[0208] I am in favor of all types of scientific research. I am particularly in favor of research that is of a pure scientific nature as compared to practical nature.

[0133] I could only say I am a firm believer in research and experiments which I believe will make life more comfortable as they are being done. I will always take part so long as I feel I am not doing harm to myself physically.

[0608] I am a firm believer in experimentations, any and all types. This may be construed as either quest for knowledge of plain inquisitiveness. If at any time I can be of assistance to you or others, please feel free to contact me.

[0415] Any study with an aim, if properly conducted, can do no harm and might be of some value.

As with Theme 1, participants did not only endorse the work that had already been done, they also called for more research into human behaviour (and not simply into human obedience). Moreover, as is already clear from the words of Participant 0841 above, such work was often argued not only to be desirable but also to be necessary for human well-being and progress:

[0518] Any experiment which might, by deep probing and intensive observation of human behavior, give us a clue to that which makes man 'click' is both worthwhile and necessary.

[0904] In this ever changing World, more should be learned about man in what he does and why. When this is learned we may have a better world to live in.

[2211] I consider research essential to progress and think everyone should encourage and aid when possible.

### **Theme 3: Support for Yale**

A third theme had to do with support for, and confidence in, the institution where Milgram's studies were conducted – Yale University:

[0621] Being a citizen of New Haven I have always felt that Yale was good for New Haven. My feelings are now, that Yale is very good for New Haven.

[0236] I have always thought of Yale University as one of this country's best institutions of learning. I think you are really learning what makes people ticks, so as to speak.

[1503] I have always had great confidence in any method developed by Yale. My admiration is unchanged. It was an experience I was happy to have added to my 'memories'.

As the second of these extracts intimates, it is not just that participants have confidence in Yale, it is that the association with the University is seen by them to confer scientific

legitimacy on the studies and also to guarantee their value to humanity. This becomes clearer in the following extracts:

[1706] I have the greatest respect for Yale, and what this great institution has done for mankind. I consider it a privilege that I could have participated in your experiment.

[1121] I have always had a high regard for Yale as a university and a research center. Although at times during the experiment I wished I wasn't there, I believe I would do it over again. I think all studies on human behavior are important. I wish to thank you for sending me the report. If I can ever again aid you in your studies or research projects please feel free to call on me.

There are two further elements of interest here (specifically in the latter extract), both of which we have encountered before. The first is that the Yale connection, and the guarantee of scientific credibility does not simply lead to positive evaluations, it resolves any ambivalence participants may have had by actively dispelling negative feelings. The second is that the resultant commitment is prospective as well as retrospective: Not only were participants happy to have participated but, having done so, they now actively seek out further involvement. As the next (and final) extract indicates, participation in such prestigious research within such a prestigious institution is therefore understood to be a special privilege:

[1204] My only desire is that some day I will be able to afford to go and learn more about the human race. And I hope I will be able to attend the greatest school in this country Yale of course. I wish to thank Prof. Milgram for allowing me to take part in such a dramatic experiment. Please feel free to call on me whenever you could possibly use me.

### **Overall incidence and valence of themes**

It is important to stress that we are not suggesting that all the comments about Milgram's work, about behavioural science in general, or about Yale were positive. Indeed, some of these more negative reactions have been emphasized in other researcher's examination of material in the Yale archive (in particular, see Nicholson, 2011; Perry, 2011). Thus, to give a number of counter-examples to the above, some people felt that Milgram's studies were of questionable value:

[0909] I have no way of knowing if the experi. was of value or whether further experi. are necessary to prove anything.

[2040] I feel it was a waste of time and money on behalf of the department.

Some felt that psychology in general is of questionable value:

[1905] To me, psychology remains a pseudo-science. These types of studies are little value in the study of human behavior since the variables are too many.

And, finally, some felt negatively about the role of Yale in the research:

[0820] You might be interested to know that my opinion of Yale is quite low because of this experiment.

Instead, our argument is, first, that participants' comments were predominantly positive, and, second, that being positive about the science was associated with coming to feel more positive about having participated in the studies even to the extent of being willing to undergo (and for others to undergo) similarly stressful experiences in the future. We will deal with the former point here and the latter point in the next section.

To provide a systematic summary of the incidence of the different themes that we have identified, and the proportion of positive, neutral, and negative comments relating to each, the first and fourth author read and coded each card for both theme and valence. Given the overlap in references to the value of Milgram's science and the value of behavioural science more generally, we collapsed the first two themes for this purpose. The raters agreed that 137 of the 140 cards in Section 13 (98%) referred to the scientific value of the research. Of these, 84 (61%) were positive, 40 (29%) were neutral, and 13 (9%) were negative. The raters agreed that 26 of the 142 cards (18%) referred to Yale University. Of these 16 (61%) were positive, 7 (27%) were neutral, and 3 (12%) were negative.

Two broad points can therefore be drawn from this analysis of the material in Section 13 of Box 44. The first is to confirm that the vast majority of the comments relating to participants' experience of the studies are indeed positive and have to do either with the benefits that scientific study will bring to humankind or else with the worthiness of the institution that guarantees the scientific value of the research. Following on from this, the second point is that qualitative analysis suggests a relation between individuals feeling good about their participation in the studies and their feeling positive about the scientific value of the studies. That is, participants feel good *because* they feel that they have been part of something good, something progressive, something that will benefit humanity. Several of them feel privileged to have been allowed to take part. Many of them want to do more and canvass the possibility of future participation. This suggests that being part of important science was something they actively wanted – and something they continued to want.

## Quantitative examination of engagement and stress in post-experimental responses

To summarize thus far, qualitative examination of Box 44 addresses RQ1 by suggesting that Milgram's participants were closely engaged in his scientific project and also provides some insight into RQ2 in so far as this engagement seems generally to have been associated with low levels of post-experimental stress. This is consonant with the 'engaged followership' explanation but not with the agentic state model (which would suggest that reduced stress is associated with *disengagement*).

To provide more formal assessment of these patterns, we undertook a quantitative analysis of the material in Box 44. The first and fourth authors made independent ratings of the degree to which the comments on each card in Section 13 were indicative of high or low engagement with the science of the study. This was done on a 7-point scale, where 1 = *very low engagement*, 7 = *very high engagement*. There was a high degree of consensus in the two raters' assessment of participants' engagement ( $r = .91$ ), and in no case did their ratings differ by more than one scale point. Accordingly, the two ratings were averaged to create a single engagement score. The distribution of engagement scores is presented in Table 2 (for this purpose, engagement ratings were rounded up when averaging had produced non-whole values).

The first thing to note from this distribution is that, across the board, participants were generally highly engaged in the science. Consistent with this, a one-sample  $t$ -test indicated that participants displayed levels of engagement that were significantly above the scale midpoint –  $M = 5.06$ ,  $t(139) = 9.14$ ,  $p < .001$ . In relation to RQ2, then, these data suggest that the lack of stress experienced by Milgram's participants after the study was associated with engagement in his scientific enterprise rather than with disengagement. The same is not true of their stress during the study. In other words, a belief in the science does not remove the dilemma that participants face. It does not make them unaware that they are inflicting pain. It does not make performing the task any easier (as seen by the comments in Section 9 of Box 44). But it does help them resolve the key dilemma they confront, it does help them live with themselves, and (as we saw from the qualitative material) it does make them prepared to support more of the same in the future. Again, all this is consistent with the engaged follower explanation but not with the agentic state account.

### **Integrative summary of evidence from Box 44**

The first point to emerge from the above analysis – the first systematic, detailed examination of the views of participants in one of the most famous and controversial psychological research programmes ever conducted – is that it confirms Milgram's claim that, by and large, those who took part in his studies were not distressed by their participation. On the contrary, they felt pleased, privileged, and were eager for more. This also confirms our contention that the controversy between Baumrind and Milgram may have been focused (and led subsequent debate to be focused) on the wrong issues. This is because the key question that needs addressing is not whether or not participants were distressed but rather *why they were so happy*.

Related to this, a second point that our analysis draws attention to is the degree to which Milgram's participants were engaged with the science of his study. By and large they saw science – especially science associated with a prestigious institution like Yale – as a social good and as an important driver of human progress. Being associated with this was something they took pride in and that they felt good about. Accordingly, in Section 13 we see very little of the 'inattentive bureaucrat' who is so focused on the minutiae of his performance that he loses sight of the bigger picture. Here, the bigger picture is very much in focus. Indeed, it dominates the attentional field.

It needs to be borne in mind, of course, that participants wrote these comments some time after their participation in the studies, when the stress they had endured was in the past and they had just been reminded, through Milgram's report, of the scientific goals of his research. This is an obvious and important proviso to bear in mind in reflecting on our analysis, especially as we move from looking at constructs in isolation to the more critical issues concerning the relationships between them.

We can start to do this by considering the relationship between participants' feelings about their participation in the study – in particular, the level of post-experimental stress that they experienced – and their engagement with the science of the study. Here, three features of the data seem significant. First, our qualitative analysis suggests that it is the science that gives meaning to participants' experience in the study and that makes the tensions they confronted seem worthwhile. It ennobles an otherwise unpleasant experience and transforms it into something to embrace – and, if possible, to repeat. In short, participants feel good about themselves because they have been part of, and assisted in, scientific progress.

Yet second, significantly, we see that it is only after the study that an awareness of scientific value creates a positive feeling about participation and stress is ameliorated. As Participant 1817 put it 'I've been waiting very anxiously for this report to really put my mind at ease and curiosity satisfied'. More generally, then, there is evidence that participants were decidedly uncomfortable about what they had done up to the point that Milgram's report provided them with the scientific rationale for their participation (see Nicholson, 2011, for detailed evidence on this point). Once that was delivered, they, like Participant 1817, could affirm that they were 'delighted to be part of the project' and 'could not be any happier'.

The role of Milgram's words in shaping the feelings and action of participants also alerts us to a critical problem with the agentic state account (and with explanations of destructive behaviour more generally; Reicher *et al.*, 2012). These generally draw our attention only to the behaviour and psychology of participants. They thereby neglect the active role that Milgram (and his associates) played in actively cultivating forms of engagement that encouraged particular acts of followership (Gibson, 2011; Haslam *et al.*, 2011).

We therefore suggest that Milgram's own leadership constitutes a critical third factor that contributed to how participants felt and acted both during and after the studies. For not only did Milgram work hard at both junctures to encourage forms of engagement and identification that would encourage compliance (Rochat & Modigliani, 1997), but so too, after the experiment, his debriefing promoted forms of engagement and identification that would mitigate against stress and facilitate post-traumatic growth (Jones *et al.*, 2011). Indeed, from this perspective, the skill of his report (which is replicated, we suggest, in many experimental debriefings) was precisely to provide participants with an explanatory narrative that made them feel that they were part of a collective enterprise and had contributed to a collective good – specifically the advancement of progressive science. Once more, the content of participants' comments suggests that in this he was generally very successful.

## **Conclusion: Reintegrating the empirical and ethical dimensions of Milgram's studies**

One of the consequences of separating out what happened during the obedience studies from what happened afterwards – in part occasioned by Milgram's abandonment of the idea that both were rooted in the active choices of the participants – is that, over time, the scientific and ethical dimensions of Milgram's work have become largely divorced. On the whole, the question of why people shocked has been pursued in isolation from the question of whether it was acceptable to subject them to a shocking situation.

The analysis we have pursued in this study brings the two back together, suggesting that cognate processes govern what people did in the studies and how they felt about them subsequently. In both cases, we suggest that this hinged on participants' engagement with science as a source of human progress and welfare. Accordingly, it was as engaged followers of Milgram's leadership and of his scientific mission that participants responded to the Experimenter's instructions during the study and then, after it was over, reflected positively on their involvement.

Part of the power of this *engaged follower* analysis, then, has to do with its ability to account parsimoniously for the complex patterns of data observed both within the studies and – as we have just seen – after they were completed. Indeed, despite clear limits to our

ability to make inferences about the patterns we have discussed, this analysis is certainly far more consistent with those patterns than Milgram's own agentic state account.

At the same time, a further part of the power of this fresh account lies in its resonance with recent historical analyses that have called into question the banality of evil thesis that underpinned Milgram's own emergent theorizing. Indeed, if the emblematic event for Milgram's 'agentic state' approach is Eichmann in Jerusalem, or, more accurately, Arendt's (1963) portrait of Eichmann as a seemingly non-descript bureaucrat, so the emblematic event for the engaged followership analysis would be Himmler's visit to Poznan in October 1943. There he addressed SS Officers responsible for conducting operations to exterminate Jews in occupied Poland, and in one notorious passage urged them on by reminding them of the nobility of their cause:

I am now referring to the evacuation of the Jews, the extermination of the Jewish people. It is one of those things that is easily said: 'The Jewish people is being exterminated', every party member will tell you. . . [But] none of them has seen it happen, not one has had to go through with it. Most of you men know what it is like to see 100 corpses lie side by side, or 500 or 1,000. To have stood fast through all this and . . . at the same time to have remained a decent person. . . has made us hard. This is an unwritten and never-to-be-written page of glory in our history. . . All in all, however, we can say that we have carried out this most difficult of tasks in a spirit of love for our people. (Grobmes & Landen, 1983, pp. 454–455)

What we see here is Himmler facing up to the realities of mass murder. He does not hide the fact that it is deeply unpleasant. On the contrary, he claims that it is precisely because it is unpleasant that it confers merit on those who kill in a 'noble' cause. For it is only the best who will subject themselves to such a foul activity for the collective good.

The importance of this speech is that it encapsulates a toxic logic that, according to recent scholarship, pervaded the Holocaust (e.g., see Cesarani, 2004; Herf, 2008; Lozowick, 2002; Vetlesen, 2005). The perpetrators of this were no inattentive bureaucrats. They knew full well what they were doing. They acted strenuously and creatively to find ways of deporting and killing Jewish people and others besides. And they did so because they identified with the Nazi cause and because they believed the Nazi claim that Jews threatened the glorious German Reich. In the simplest terms, they did it because they believed that what they were doing was right.

It is worth adding that the toxic logic of killing in the name of racial progress was not limited to the Nazis. Duggan (2013) shows how Mussolini's exterminationist war against Ethiopia (then, Abyssinia), in which up to 275,000 people were killed mainly by chemical warfare, was justified mainly in terms of the superiority of Italian civilization and the benefits that would accrue to the indigenous people from its introduction. He quotes from the diaries of many of those involved in the war including one soldier, Manlio la Sorsa:

There were no discernible chinks in Manlio's moral armour. He was able to witness thousands of Ethiopian corpses strewn across a battlefield almost with dispassion: they were a regrettable but necessary price for making 'our dear fatherland greater, stronger and more respected' and bringing civilization to 'this dark and shadowy land'. (Duggan, 2013, p. 270)

One must always be careful in drawing parallels between Milgram's studies and Fascism, Nazism, and – particularly – the Holocaust, not least because of the dangers of losing its uniqueness and trivializing the slaughter of so many people. Certainly, whatever we think of what happened in Milgram's studies, it bears no direct comparison to the death camps.

Nonetheless, Milgram himself sought to draw parallels at the level of the underlying processes which allow people to harm others – even if the form and level of harm is qualitatively different (Mastroianni, 2002; Miller, 2004). We do likewise, but we differ from Milgram as to the nature of the process (just as contemporary historians have differed from Arendt). For us, it is this selfsame identification with a ‘noble’ cause that led his participants to prove willing to administer what they thought were lethal shocks to a helpless stranger and then, ultimately, to feel happy about what they had done. (Indeed, although we have not discussed it here, very similar forms of identification can be seen to have underpinned the willingness of Milgram’s research assistants to play their important role in this process; see Nicholson, 2011; Russell, in press). Moreover, as we noted towards the start of this study, examination of Milgram’s experimental notes suggests that, at the time that he was conducting his research, this was a point of which he was very much aware.

There is one more point we wish to make. As may be obvious by now, our analysis does not simply reconnect the science and the ethics of Milgram’s research, it also reframes the nature of the ethical issues that the studies raise. Tellingly, Baumrind (1964) concludes her critique of Milgram by writing: ‘I would not like to see experiments such as Milgram’s proceed unless the subjects were fully informed of the dangers of serious aftereffects and his correctives were clearly shown to be effective in restoring their state of well-being’ (1964, p. 423; see also Nicholson, 2011). The implication, of course, is that if there were informed consent and if participants could be made happy about their involvement, then the ethical doubts about Milgram’s research would be dispelled. In other words, the ethical issues associated with the obedience studies are seen to revolve entirely around participants’ (lack of) distress.

Our analysis supports Milgram’s claims that most of his participants were not distressed. Indeed, as we have seen, the majority professed that they were very happy about their participation. But, in contrast to Milgram himself, we do not see this as dispelling the ethical problems. Indeed, we see this as raising more problems as it resolves. For Milgram restored his participants’ well-being by skilfully engaging them with the notion that science is such an overwhelming good for humanity that any incidental ‘collateral damage’ along the way is entirely unproblematic. That is, participants were made comfortable at the cost of accepting an ideology with considerable potential for social harm – a potential vividly realized through Milgram’s own demonstration that this was a cause for which participants were prepared to kill an innocent person. This, we suggest, is a very significant ethical dimension of the obedience studies, but it is one that, hitherto, has been largely overlooked.

### **Final comment**

Our analysis supports the view that people are able to inflict harm on others not because they are unaware that they are doing wrong, but rather because – as engaged followers – they know full well what they are doing and *believe it to be right* (Haslam & Reicher, 2012a,b; Reicher & Haslam, 2012).

Thankfully, notions of racial superiority and racial hygiene – and hence the ability to annihilate people in the name of racial progress – no longer have the purchase that they once did. But that does not mean that there are not other notions of progress, in the name of which various forms of viciousness can still be justified. Our close investigation of what Milgram’s participants had to say about their experiences in his studies points to the way in which ‘science’ itself has the potential to be invoked as a ‘warrant for abuse’. This in

turn suggests that the horizons of scientific ethics (and the practices of ethics committees) need to extend beyond a concern to ensure that participants are content, to also reflect on what they are encouraged to be content about. Certainly, all the evidence suggests that Milgram's participants were 'happy to have been of service'. As scientists, we need to ask whether this is the kind of service with which we want people to be quite so happy.

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