

Civic and Ethnic Nationalism: A Dichotomy?

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Abstract: This chapter traces the history and the ideological (abuses of the idea of the dichotomy between civic and ethnic nationalism. Arising from the ensuing analysis, it is proposed that civic nationalism is also ethnic in character, yielding the conclusion that civic nationalism should be viewed as a subcategory of ethnic nationalism. This does not fatally undermine the utility of the dichotomy as a heuristic tool of analysis, but implies that the inherent limitations of the dichotomy must be borne in mind, especially in regard of the often unqualified commendation for civic nationalism as the basis of statehood organization and legitimation.

Keywords: civic nationalism, ethnic nationalism, discourse analysis, ethnic nationalism, statehood legitimation, typology of nationalisms

Analyzing Dogmas¹

The initially obscure subject of civic and ethnic nationalism, previously confined to professional scholars of nationalism, has become commonplace in everyday conversations on matters political² (cf Civic, 2012; Smith, 2007). It is common enough to open a newspaper or to listen to the news and encounter the terms cropping up in interviews and commentaries (cf Luckhurst, 2008; Hensman, 2009; Mencwel, 2010).

The general dogma is that turning from ethnic to civic nationalism as a state's organizing and legitimizing principle is good: good for stability, good for the economy, and, above all, good for democracy (cf Scottish Independence, 2014). In this view of this binary opposition, ethnic nationalism is seen as a (if not *the*) root cause of political instability, of wars, of faltering economies and of a general failure to achieve democracy in any particular polity (cf Isaacs, 1975; Wimmer, 2013).

As is generally the case with received knowledge, once a dogma has been propagated and internalized, people cease to question it. It is repeated time and again as a mantra, the articulation of which alone is supposed to change the reality at hand. But this is falling for the charm of puffs of hot air, which

¹ I wish to thank Michael O. Gorman for his helpful advice. Furthermore, Krzysztof Jaskułowski and Alexander Maxwell kindly shared their expertise on the concepts of civic and ethnic nationalism.

² Using the search engine google.co.uk, on February 14, 2012, the term 'civic nationalism' scored 172,000 results, 'ethnic nationalism' – 796,000, and 'civic vs ethnic nationalism' – 4,870.

is all that spoken words amount to, ultimately. Yet formulas, no matter how often they may be evoked, are inert artifacts, incapable of changing anything by themselves. People, who alone can actualize such dogmas, formulas or tenets, are required to carry their purpose into effect.

The problem is that in order to realize a principle in practice, people must agree on what they understand by a given tenet. However, dogmas, by their very nature, are frequently opaque and difficult to analyze, due to their propensity for becoming 'received knowledge,' which with time is seen as 'real truth,' not requiring critical scrutiny. The widespread articulation of dogmas in public discourse makes them appear obvious, uncontested and explicit. As I attempt to show in this essay, there is much left unsaid on the opposition between civic and ethnic nationalism regarding its origin, the underlying assumptions (or axioms) and the dynamics of the employment of this categorical opposition in 20th-century politics and intellectual discourse. This dichotomy has been usefully analyzed and criticized by many (cf Kuzio, 2002; Nikolas, 1999; Pianko, 2010; Shevel, 2010; Shulman, 2002). However, in contrast to these studies I focus on the ontological relation between civic and ethnic nationalism as concepts, and propose that the former may (or even should?) be seen as a subcategory of the latter.

In order to probe into the obscure depths (or tacit knowledge [cf Polanyi, 2009: 3-52]) of this dichotomy, it is necessary first to delve into the history of the idea and its (ab)uses.

Inventing the Dichotomy

The first classificatory hint regarding the presumed dual nature of nationalism dates back to 1908, when the historian Friedrich Meinecke's (1862-1854) oft-reprinted study *Weltbürgertum und Nationalstaat: Studien zur Genesis des deutschen Nationalstaates* came off the press in the German Empire (It was published in an English translation in 1970). In this monograph he introduced the terms *Staatsnation* (state-nation) and *Kulturnation* (culture-nation), which he opposed to each other, thus creating a binary opposition between them, a dichotomy. These two notions and the dichotomy constructed from them are the intellectual instruments through which the intertwined concepts of civic and ethnic nationalism are still expressed in the German language, as attested by the Bohemian-West German sociologist Eugen Lemberg's (1903-1976) popular study *Nationalismus*³ (cf 1964, Vol 1: 16, 51, 88, 143, 299).

³ Interestingly, the less obvious opposition between the national and the 'non-national national' (or the 'international / socialist national') became current in East Germany (cf Kosing, 1976: 138-152), too. This occurred under the influence of marxism-leninism (or the Soviet interpretation of marxism). It was bolstered in the postwar years by the work of Soviet an-

Drawing on Meinecke's dichotomy, the American-Jewish-Bohemian historian, now considered the 'father of the study of nationalism,' Hans Kohn (1891-1971), spoke of political and cultural nationalism (Kohn, 1944: 111). In his earlier books on nationalism in the Middle East and in the Soviet Union, he had suggested that nationalism in the West was somehow different from nationalism in the non-Western areas of the world (Kohn, 1929; Kohn, 1933⁴). Thus Kohn wrote about 'Western nationalism' and 'nationalism outside the Western world' (Kohn, 1944: 329-331, 352). It was his student, the German-American historian Louis Snyder (1907-1993), who built on his teacher's insights and connected these two strands into a hard dichotomy of 'Western nationalism' as opposed to 'non-Western nationalism.' He attributed features that today we label as 'civic' to the former, and 'ethnic' features to the latter. However, Snyder did not yet use the adjectives 'civic' or 'ethnic,' when qualifying these two types of nationalism (Snyder, 1968: 118-120).

In 1973 the British-Montenegrin political philosopher John Plamenatz (1912-1975) proposed that 'Western nationalism' is liberal, inclusive and, thus, benign (Plamenatz, 1973). He opposed it to nasty, illiberal, dangerous (ethnic) 'Eastern nationalism,' which he identified with the nationalisms of the Balkan nation-states. In this, Plamenatz added a clear ethical / normative dimension to the coalescing dichotomy between civic and ethnic nationalism, which was largely implicit in Kohn's and Snyder's works. In the 1980s the concept was already 'in the air' of Western intellectual discourse, before Snyder ironed it out in the detailed enumeration of the diametrically opposed (according to him) features of 'good Western nationalism' and 'bad Eastern nationalism' (Snyder, 1990: 173).

Although Kohn did not employ the adjectives 'civic' and 'ethnic' to qualify the two kinds of nationalism, the latter had already been used in 1950 to brand 'Eastern nationalism' by the Austro-American historian of the Habsburg monarchy Robert A. Kann (1906-1981) (Kann, 1950: 10). The coin-

thropologists, who developed the theory of ethnos (ethnic group) and ethnogenesis under the guidance of Iulian Bromlei (Yulian Bromley, 1921-1990). The leading exponent of the theory of nationalism in East Germany was the marxist philosopher Alfred Kosing (1928-). In agreement with the then-obtaining Soviet line, he maintained that the nation stems from the 'dialectic unity of economic, social, political, ideological and ethnic factors.' (1976: 137) Kosing reserved the stark binary opposition for that between the capitalist nation-state (of West Germany) and the socialist nation-state (of East Germany). Obviously, capitalist nationalism was cast in the role of the 'bad nationalism,' and was opposed to 'good socialist nationalism.' (Kosing, 1976: 209-224) This normative-cum-ethical classification of nationalisms unsettlingly reminds one of Plamenatz's (1973) distinction between 'bad Eastern' and 'good Western' nationalisms, discussed below. However, what is good for Plamenatz is bad according to Kosing and vice versa; in Kosing's schema, Eastern nationalisms are readily identifiable with socialist ones, and capitalist nationalisms with Western ones.

⁴ These works were published in English translations relatively soon after their original publication in German (cf Kohn, 1928; Kohn, 1932).

age 'civic nationalism' gained currency much later, in the 1970s and 1980s. One of its first users was Snyder (2009 [1968]: xiii), who nevertheless failed to pair it with 'ethnic nationalism,' although the latter collocation did occur elsewhere in the same volume (2009 [1968]: 107). This match was first made by the British-German-Austrian historian Eric J. Hobsbawm (1917-2012) in his renowned work on nationalism *Nations and Nationalism since 1780* (1990). In that book he spoke about 'political nationalism' (1990:45) and 'ethnic nationalism,' (1990: 63) clearly linking them to Meinecke's German-language opposition between the political and the cultural. Furthermore, in the case of political nationalism, Hobsbawm characterized it as having a 'civic-national dimension' (1990: 45) paving the way for the replacement of the adjective 'political' with 'civic' in the established collocation 'political nationalism.'

In the 1990s the reassertion of the 'national' over the non-national 'socialist' or 'internationalist' was dramatically illustrated by the disappearance of the Soviet bloc and the dramatic breakups of the Soviet Union, Czechoslovakia and Yugoslavia into successor states, invariably of an ethnonational character. These events gave much currency to the dichotomy between civic and ethnic nationalism, making it appear to be the most apt description and categorization of nationalisms in general, anywhere in the world (cf Brown, 1994; Ignatieff, 1993; Schöpflin, 1995; Sugar, 1999).

The (West) German political philosopher Jürgen Habermas (1929-), reacting to the same events, reinforced this line of thinking, by introducing the concept of civic nationalism to German discourse under the concept of *Verfassungspatriotismus* ('constitutional patriotism'), as opposed to the political forces then at work in Europe's newly post-communist states (Habermas, 1991). These forces were identified as *Ethnonationalismus* ('ethnonationalism') by the Swiss historian Urs Altermatt (1942-) in his heartfelt treatise written in reaction to the shock of the post-Yugoslav wars (Altermatt, 1996). Thus, the dogma was born.

Colonizing the Mind

The divide between civic and ethnic nationalism is so deep because influential scholars and intellectuals employing these categories for research have made it so. In turn, the public interested in these issues adopted this distinction, as shaped by the literati, together with its binary opposition between the two idealized kinds of nationalism, posited as two starkly opposed poles which were sometimes ethically or morally coloured. In accordance with this line of thinking, a nation-state can be categorized as 'civic' or 'ethnic,' without much – if any – middle ground between the two types being conceded. Whenever such a categorization of social reality becomes popular and is adopted by scholars and public opinion, people begin, willy-nilly, begin to per-

ceive reality through its lens. But each lens, while improving the visibility of a place or object from a specific angle, also limits and distorts the field of vision, in its own unique manner.⁵

The act of observing, interpreting and analyzing the social and the political spheres through the instrument of some pet categorization, necessarily changes and transforms social reality in agreement with categories employed by the perceiver. It becomes, to a degree, a self-fulfilling prophecy. This is especially so when the intellectual elite and the mass media employ a given categorization as a matter of course. Such a stamp of approval makes it part and parcel of everyday discourse on political, economic and social issues. Not surprisingly, the received categories of thinking cease to be awkward or novel, as they would have been only a short time prior to their elevation to the rank of the conventional wisdom. Thus they become internalized by the population at large. In the process these categories become transparent, as their users do not consciously notice them any longer, when speaking and commenting on social reality⁶ (cf Burke, 2012: 160-183; 218-245).

In the same manner, when people talk, they rarely think about the history of concepts or the etymological origins of words and phrases, let alone about syntax, phonemes or word formation. For language to work as the medium of communication, one must use it without thinking about it. Automatism kicks in. The same is true, for instance, of walking. In order to be able to walk, one needs simply to walk, rather than ponder how the legs, feet and joints must be moved in conjunction with one another and, simultaneously, the balance of the body maintained to make the very act of walking possible. Being self-conscious about walking might stop one in one's tracks and perhaps send one tumbling down to the ground.

Obviously, introspection comes more easily in the case of discourse, but still it is a minority pursuit. Thinking about thinking, meta-thinking, appeals only to a few, mostly philosophers.⁷ It deprives discourse of its socially-in-

⁵ This insight was inspired by the uncertainty principle in the field of quantum physics. (Heisenberg, 1927)

⁶ Of course, the character of social reality is such that it is constantly imagined and re-imagined by people connected to one another by language, the use of which generates this kind of reality. (cf Anderson, 1983; Searle, 1995) However, what people consciously perceive are the end-products, or social facts, of this imagining, not the process or mechanisms of the imagining. In a similar way, people use language without reflecting on what it is and why it works as it does.

⁷ Uniquely, the Polish writer Stanisław Lem (1921-2006) made this the subject of his short novel *Golem XIV*. (Lem, 1981; English translation, Lem, 1984) Its protagonist, the computer Golem XIV, achieves the level of a self-improving or self-reinforcing artificial intelligence. Not only does it meta-think (the zero-level of thinking being that of humanity), but in quick progression begins to self-teach itself how to meta-meta-meta-meta...meta-think, pausing in this vertiginous rise for a brief moment in order to be able to communicate with its human creators. Only a few meta-meta levels beyond human thinking, communication with

duced spontaneity and vibrancy, stemming the fertile and reciprocal flow of views and ideas among discussants to a sparse trickle of words commenting on the choice and employment of words commonly used for arguing about an element of social or material reality. Public opinion as created and fed by the mass media thrives thanks to this lack of self-reflexivity. Newspapers and television channels that aspire to the inclusion of the 'esoteric' dimension in their reporting invariably lose the interest of the mass reader and viewer and become elite or specialized purveyors of information (provided they can survive such financially near-suicidal tactics).

Why condemn yourself to this ascetic diet? Well, thinking in terms of the ethically colored opposition between civic and ethnic nationalism lets the wielders of this categorization group nation-states into 'better ones' and 'worse ones.' The primary coiners and wielders of this categorization were scholars from 'the West,' meaning Western Europe (or actually, Britain and France) and North America (that is, Canada and the United States), who, of course, included their own nation-states in the set of 'good' civic national polities (cf Piskus, 2007).

Next, they turned their gaze eastward, where the two World Wars and the two totalitarianisms of communism and national socialism wreaked havoc. Prior to 1989, this 'distasteful' part of Europe (or rather of Eurasia) was near-hermetically sealed by the Iron Curtain, and thus 'safely' isolated from 'good' Europe. The Western perceivers located the imagined bad other, or 'ethnic' nation-states, in this no-go zone (cf Ignatieff, 1993). Isolation came in handy for securing the success of this attribution, as hardly anyone from across the barrier would answer back, or was even in a position to do so.

The echo of weak dissenting voices from the other side could not make a dent in the West's dogmatic perception of the world. Complacency set in. Another received concept was born. The end of communism, followed by the successive breakups of the Soviet Union, Yugoslavia and Czechoslovakia, seemed to reconfirm the assigning of nation-states into civic and ethnic categories (cf Brubaker, 1996; Kaplan, 1993). Scholars from post-communist states faced with the breakups and/or economic collapses of their own polities, tended to adopt this Western diagnosis, and sought to advocate the replacement of the ethnic with the civic in the case of their home states' respective nationalisms (cf Čolović, 2007). Dissenting voices were few and far between, and they failed to make their message widely heard. For instance, the Polish historian Marek Waldenberg's (1926-) harsh criticism of the West's complicity in destroying Yugoslavia went largely unnoticed (2003).

humans ceases to be possible, as for a human to grasp what Golem XIV has thought in a second or two, would need pondering for more than a human lifetime.

Western concepts developed to think about the West's other(s) were cast in the role of seemingly objective categories of analysis to be applied worldwide in what was tantamount to intellectual imperialism. It is – as the Palestinian-American thinker Edward Said (1935-2003) proposed – a case of orientalism, or the West's 'easternization of the East', by means of which, the image of the East – or even more broadly – 'the Rest' can be made to coincide with the West's stereotypical or mythologized picture of this area of the globe (Said, 1978). Not that a reversal of this process – occidentalism – is impossible, but its influence on the global discourse was rather negligible until the beginning of the 21st century (Buruma and Margalit, 2004). The Francophone writer from Djibouti, Abdourahman Waberi (1965-) has imagined a fictional marginalization of Europe vis-à-vis a burgeoning Africa (2005; English translation, 2009), but this has not been seen as anything more than an intellectual game. But things may change. Wasn't Europe once the poor relation of the Caliphate or of China?

Going Dutch

The internalization of the opposition of civic vs. ethnic nationalism (especially in the West) often showed in the popular parlance that confined the very existence of nationalism to the 'Rest.' In contrast, in the West, the supposed realm of democracy, rationality and objectivism, there was no nationalism. Individualism was to prevail over group thinking and acting, and over collectivism. Famously, in 1987 the British Prime Minister Margaret Thatcher (1925-2013) pronounced that 'There is no such thing as society' (Epitaph, 1987). But in 1995 the British social psychologist Michael Billig 'rediscovered' nationalism in the West by analyzing its workings at the 'banal' level of everyday rituals of which little notice is taken, consigning it to intellectual and social invisibility.

A similar rhetorical disappearing act was applied to 'bad ethnic nationalism' in Central and Eastern Europe. Intellectuals and politicians in the region's ethnolinguistic nation-states propose that their respective polities' nationalisms cannot be seen as anything else but patriotism, which by default is 'good.' And they tend to brand the neighboring states' patriotisms with the pejorative label of 'nationalism'. In the Czech, Hungarian, Polish or Russian languages, 'nationalism' is not one concept among others. It is invariably negatively colored and opposed to the positively-colored term 'patriotism.' In the area's languages there is no neutral term to speak about the ideology of nationalism, no middle ground: in Vladimir Lenin's revolutionary quip, 'he who is not with us is against us' (in Fischer, 1964: 703).

This Central and Eastern European opposition of nationalism vs. patriotism, seems to be a close counterpart of the West's claim of 'no nationalism'

at home vs. 'nationalism' everywhere else, or in the Rest. Both binary oppositions seem to cover the same semantic fields as those marked by the conceptual tension between civic and ethnic nationalism.

And again, the story looks different when the viewpoint changes and a probing eye falls on a different part of the world. During the Cold War, both the gaze cast by the West and that cast by the Eastern (Soviet) bloc in the direction of the world's other areas, grouped into the now half-forgotten category of the 'Third World' (cf Naipaul, 1986), tended to deny that there was any nationalism there. Nationalism could exist only in the 'developed world,' equated with the West and the East, or the 'First and Second Worlds,' even if those two happened to be at loggerheads. Decolonization and the Cold War division of the world created a plethora of new polities, which did not belong either to the West or to the East. They were consigned to the novel geopolitical space of the 'developing world.' The developed world openly or tacitly denied to the developing states the status of genuine nation-states, though the postcolonial polities themselves emulated the model of the nation-state as practiced either in the East or the West (typically, choosing the model of the former colonial metropolis). Hans Kohn's (1962) thesis on the globalization of nationalism, the new global era of sameness in the political organization of the world, heralded by decolonization, fell on deaf ears.

The predictable rise of counter-national movements within and across the borders in the former colonies that had been hastily bundled out of the Western empires into unprepared independence was branded by outside – that is, Western or Eastern – commentators as 'tribalism' in Africa (cf Stepp, 1967) and 'communalism' in Asia (cf Kondo, 1951). These forces were presented as endangering the 'national integration' of the postcolonial polities (cf Bhardwaj, 1993), and again, the semantic fields of 'national integration,' on the one hand, and of 'tribalism' and 'communalism,' on the other, appear to correspond closely to those of civic and ethnic nationalism, respectively.

When (regional or sub-state) national movements appear within the West's nation-states, they are brushed aside with a euphemism. An interesting case in point is that of the United Kingdom's Northern Ireland where the civil war of 1969-1998 became known as 'the Troubles,' and the warring parties were said to be engaged in 'communal violence' (cf Dewar, 1985: 32). It was as if the term 'communalism,' borrowed from post-Partition India, was better suited to disguise the unbecoming 'ethnic' nature of events that by definition had *no* right to occur in the West. They were, after all, 'typical of the East.' The Scottish-British political scientist Tom Nairn's (1932-) 1977 assertion that ethnic nationalisms are at work in the United Kingdom took almost four decades to sink in.

Today's discourse on nationalism	Civic nationalism	Ethnic nationalism
German-language discourse on nationalism	State nationalism	Cultural nationalism
New German-language discourse on nationalism	Constitutional patriotism	Ethnonationalism
Discourse on nationalism in the West	Absence of nationalism	Nationalism
New discourse on nationalism in the West	? (old nationalism?)	Neonationalism (new nationalism)
Discourse on nationalism in the East	Patriotism	Nationalism
Initial Western / Eastern discourse on nationalism in the Third World	Nationalism	Absence of nationalism
Western / Eastern discourse on nationalism in the Third World	National integration	Communalism / Tribalism
Socialist / Soviet non-national discourse on nationalism	Socialist (internationalist) nationalism	Capitalist (bourgeois) nationalism

Terminological plurality for naming and discussing the dichotomy of civic and ethnic nationalism

A Heuristic Instrument of Analysis

In Nairn's book these nationalisms were additionally qualified as 'neonationalisms.' Nowadays the term 'neonationalism' or 'new nationalism' (cf Ignatieff, 1994) seems to pose the use of ethnicity in the West for nation-building ends as a novel phenomenon, perhaps an 'import from the East.' This is not far from the traditional denial of (ethnic) nationalism in the West, though some scholars have begun to speak of neonationalisms as a worldwide phenomenon (cf Gingrich and Banks, 2006).

It does not seem practical to postulate doing away with the use of the civic vs ethnic nationalism distinction, whatever its faults. Simply put, the faults should be borne in mind, like those of all human concepts. Language is not a map of reality but a kit of conceptual tools to probe into it. Furthermore, the number of these tools is limited by the retrieval capacity of the human mind, whereas the natures of these tools are decided by human needs and priorities that constantly change. Specific tools are devised to meet these changing priorities.

In Western thought, the tradition of analyzing reality in terms of binary oppositions has been long established, and will not disappear overnight. And even when it is replaced by a different manner of conceptualization in the future, the new way of thinking will be beset by other (as yet unknown) problems and inherent limitations. These deficiencies, too, will be caused by the limited nature of the human language and mind.

In 1981, two Czech(oslovak) scholars Jaroslav Krejčí and Vítězslav Velímský in their English-language work *Ethnic and Political Nations in Europe* valiantly scaled the intellectual barrier reinforced by the Iron Curtain in thinking about nationalism in the West and the East. They convincingly showed that 'political' (that is, civic) elements are present in ethnic nationalisms, and that, *vice versa*, ethnic elements are present in civic nationalisms; the actual mixture of these elements varied from case to case.

Hence, when employing the terms civic and ethnic nationalism in an analysis, it may be more advisable and practical to see them as a continuum of variously combined ethno-civic scenarios extending between the ideal (and thus never attained) poles of civic and ethnic nationalism. In the case of any given nationalism under analysis, the civic element may prevail over the ethnic or the other way round. Moreover, the prevalence of one over the other may change markedly over time. But, generally, it is unrealistic to expect a nationalism to be exclusively civic, or to be ethnic through and through.

Looking Askance: Can Civic Nationalism Be Ethnic?

The providers and guarantors of citizenship – ergo, the basis of civic nationalism – are states. All the extant states today are nation-states,⁸ due to the unprecedented globalization of nationalism as the sole ideology of statehood legitimation. The 1991 disappearance of the late twentieth-century world's only important non-national polity, the Soviet Union, sundered into successor nation-states, thus completed the globalization of nationalism. It became the single universally accepted 'infrastructural' ideology of statehood construction, legitimation and maintenance across the entire globe. It is equally aspired to and practised by states as diverse as Australia, Britain, Ecuador, Iran, and Papua New Guinea.

It appears logical then that in the case of non-state nationalisms that cannot furnish members of their nations with citizenship, such nationalisms can – or even *must* – be classified as ethnic. But non-state national movements, when successful, thus gain polities for their nations, or at least, autonomous regions within already existing states. It is their ultimate goal. The foundational tenet of nationalism being that each human group recognized as a nation enjoys the inalienable right to its own nation-state, the desire for statehood is writ-

⁸ The perhaps sole exception of the Vatican City State in this regard should not concern us unduly. Although it is a state in Italian law, the related entity that is possessed of full legal personality and the true subject of international law is the Holy See. This is the organizational and ideological center of the Roman Catholic Church, and the residence of its head and absolute sovereign, the Pope. The polity has no territory worth speaking of, its population is transient (even the pope himself may be a foreigner), and its organs represent the Church, *not* the population.

ten into the core of this ideology. This was recognized as such after World War I by the worldwide acceptance of 'national self-determination' as one of the most important principles of international law (*De facto*, between the two world wars, this principle was applied only in Europe, with no concessions for the West's colonies [Mishra, 2012]).

Nationalism, in its essence, is about state-building and statehood legitimation, *not* about constructing a people and winning recognition for it as a nation. It is an intermediary stage. Obviously, some national movements never leave this anteroom to statehood, because, as research shows, there are always more national movements and nations aspiring to statehood than polities or uncontested territory available for realizing their political dreams (Likewise, there are more human groups that potentially could become nations or declare themselves as such than actually set out on this path of nation-building). This desire for statehood written into nationalism ensures an element of civic-ness in stateless nationalisms. Tellingly, in the 19th century, ideologues of the then stateless Czech national movement qualified their nationalism with the adjective *státoprávní* ('state-cum-legal') (Škarda, 1897: 30, 108). Similarly, their Polish counterparts called their stateless nationalism *państwowo-historyczny* ('state-cum-historic') (cf Grzybowski, 1970: 210).

Furthermore, though politically and ideologically useful, the distinction between civic and ethnic nationalism appears misleading.⁹ As remarked above, 'civic-ness' has its roots in the institution of citizenship. What about ethnicity? The concept denotes the use of different elements of culture (that is, social reality) and their varying configurations for human group building and the maintenance of difference ('ethnic boundaries') between such groups (Barth, 1969). This process of group construction requires that (at least at a notional level) all the members of a given 'group under construction' adopt and practice all their lives the group's specific 'cultural fingerprint.' It becomes one's identity, and simultaneously binds one with others into this very group.

⁹ Taras Kuzio (2002: 24-28) gave a thorough critique of the simplistic character of the dichotomy between civic and ethnic nationalism from the vantage-point of political science. As others have also done, he indicated that the researchers who developed this dichotomy seem to have carefully selected case studies that would support their intended conclusions. In their analysis they glide over the examples of clearly 'bad' ethnic nationalism in the West and 'good' civic nationalism in the East, and tend to present the very similar processes of nation-building as conducive to the development of civic nationalism when they unfold in the idealized West, or as inescapably leading to ethnic nationalism in the East. Furthermore, nation-states usually contain millions of anonymous citizens and myriads of institutions, which hardly ever allows for the extension of smooth and unchanging political homogeneity across an entire polity. Some are always more 'civic,' whereas others are more 'ethnic.' (Jaskułowski, 2010: 299-300)

The larger a group, the less onerous and complicated its cultural common denominator must be, in order to prevent its fissuring into smaller groups. Time and again language has proved quite successful in this role, because apart from being the badge of difference, it is simultaneously the basic and most prevalent medium of communication (mostly in its primary function of group bonding) among humans. This tie binds surprisingly tightly, but not absolutely, as amply proved by the existence of plurilingual nations (for instance, the quadrilingual Swiss or the trilingual Luxembourgers) or of different nations speaking the same languages, for instance the Austrians and the Germans, or the Australians and the Canadians.

Religion may function similarly to language by creating a community of shared values and customs. A given set of such transcendently justified values explains, perpetuates and legitimizes the group's way of life, be it the Buddhist Thais, the Catholic Mexicans, the Muslim Malays, or the atheist (indifferent to any religion) Czechs. It does not, however, preclude the possibility of polyreligious groups, like those of the Catholic-Protestant Germans, the Muslim-Orthodox Kazakhstans, or the simultaneously Shinto and Buddhist majority in Japan.

A hearty dose of good luck combined with their specific system of writing allowed the Chinese to preserve their unity as a group for an exceptionally long time. The world's longest continuous dual tradition of statehood and literacy, spanning more than three millennia, has undeniably worked strongly in favor of the centripetal forces holding society and state together (cf *Künstler*, 2000). In 2002 the Russian Duma took their cue from this, by forbidding the use of any script other than Cyrillic for writing and publishing in the languages native to the territory of the Russian Federation (Faller, 2011: 132-133).

The narcissism of small differences is difficult to oppose and overcome. When a sizeable subset of persons, usually concentrated in a specific region within a polity or on a territory compactly inhabited by an ethnic group, begin to see themselves as different from the rest, and the view persists for a couple of generations, then a new group tends to coalesce. Scholars, especially from the Soviet Union, came to refer to the process as 'ethnogenesis' (from the Greek for 'the birth of a human / ethnic group')¹⁰ (cf *Kapantsian*, 1947; *Treimer*, 1954).

The usual response to this tendency is to settle for a lower (or different) common denominator, agreed upon and acceptable to all the interested parties, in order to preserve the continued undivided existence of a group. The Swiss nation has been a successful instance of this process, the common

¹⁰ The term 'ethnogenesis' seems to have entered English by the way of German and Soviet scholarship in the 1960s. (cf *Michael*, 1962)

denominator ensuring in their case the prevalence of the centripetal pull preserving the existing state against the centrifugal forces of the linguistic diversity (four official languages) and of Switzerland's traditional duo-confessionalism (Catholicism and Protestantism). Great Britain faced a similar prospect of breakup due to religious and ethnolinguistic differences, made palpable by the long good-bye (1922-1949) in the course of which southern Ireland became the independent Republic of Ireland; the United Kingdom has, over its history, embarked on successive rounds of 'devolution' or quasi-federalization, and reluctant asymmetric federalization (cf Nairn, 1977). Although the 2014 independence referendum in Scotland went – barely – to the union camp, it remains to be seen whether the union can survive the 2016 vote for UK independence from the EU.

The common denominator that binds together the Swiss, the British or, especially, the American nation of the United States is citizenship (widely known in Britain until recently as being a royal 'subject,' or as 'subjecthood' [cf Irving, 2004; Karatani, 2003]). This institution, invented in Greek city-states, and gradually adopted across the length and breadth of the Roman Empire, (Heater, 2004: 6-40) has been responsible for a lot of political heavy lifting across the modern world from the mid-20th century to this day. It is the most widespread common denominator of group-formation, legitimation and maintenance within the extant nation-states nowadays. Apart from Central and Eastern Europe and some areas in Asia, citizenship dominates in this role on all the other continents.

But living together for a few generations under the roof of the same polity spawns customs, rules (formal and informal), and a shared vision of national history, among other things held in common. These elements amount to a common pattern of culture. This civic-generated pattern is not much different from the ethnic ones other human groups have arrived at through, for example, shared language and religion, before gaining their own nation-states. Hence, the nationalisms of Argentina, Nigeria or the United States¹¹ may be as ethnic as those of Japan, Poland or Turkmenistan (cf Jaskułowski, 2010: 300; Kymlicka, 2001). And by the same token, the nationalisms of the latter group of polities, popularly seen as exemplars of undiluted ethnicity, may in practice be as 'civic' as the national ideologies of the former group of states, which are generally applauded for being paragons of civic-ness.

¹¹ It is enough to consider the ethnically construed deportations and incarceration in the concentration camps of Americans of Japanese origin during the Second World War. (cf Girdner and Loftis, 1969)

It is all a matter of degree, spread along an civic-ethnic continuum or is it?

Why oppose citizenship to ethnicity at all? If ethnicity is a pattern of culture, a *bricolage* of elements thought up and produced by humans, and adopted by them as the basis of their group identity, why see citizenship as somehow fundamentally different from ethnicity? Citizenship is also part of culture. The concept and its practices emerged in a specific place at a specific time. Before the 19th century, the institution of citizenship seems to have been confined to Europe. Subsequently, its spread across the world was channeled through the conduit of the European powers' colonial empires. So citizenship is as much a sign of the increasingly globalized Westernization of the world as is the parallel spread of nationalism.

From a global perspective, nationalists found citizenship to be a useful, arguably the most useful, common denominator for building their nations and nation-states. This was so during the first half of the 19th century in the Americas, and beginning in the mid-20th century everywhere else, apart from Central and Eastern Europe and some areas of Asia. As a product of human culture, citizenship is not then radically different from language, religion, the idea of history, a set of symbols, ceremonies, or a way of life. All of these phenomena are products of culture, invented and practiced by humans.

Hence, on the plane of conceptual analysis not subjected to any political needs, I propose that citizenship is as much *ethnic* as all the other products of culture when employed for group building, legitimation and maintenance. Does this conclusion obliterate the dichotomy between civic and ethnic nationalism? Strictly and logically speaking, yes, it does. But on the pragmatic plane, because it has become such an ingrained element of political discourse and the meta-discourse on the political and the social in today's world, it will probably not go away in a hurry, even if the logic of my reasoning holds.

And Now What?

Humans cannot think without words and concepts, however fallible and inexact these may be. Many of these concepts and words can be readily debunked in a manner similar to that which I employed for the analysis of the 'civic vs ethnic nationalism' dichotomy in this chapter. However, striking such concepts or words out of dictionaries and from discourse would not do much good, especially if there are no better alternatives available to fall back on. The very discourse hinges on these concepts and words; without them there cannot be anything else but utter silence on these topics. In any case, denying the use of words and concepts to a population at large by official *fiat* is very difficult, if not impossible. For a measure of success in such an onerous exercise of socio-intellectual engineering, one would need the indispensable

tool of a totalitarian system, which – fortunately for the population at large – is extremely costly and devilishly difficult to maintain for a prolonged period of time.

It is more practical to propose that scholars using the terms civic and ethnic nationalism for their research could make sure not to take them for granted, and that they should be aware that the concepts do not merely analyze a subject matter but also help to shape the analysis and its terms. This cold-headed approach can help prevent succumbing to the allure of received knowledge, as presented by the seemingly obvious meaning and character of both the concepts and of the dynamics of the semantic and discourse relationship between them.

And indeed, what is the relationship between civic and ethnic nationalism if we accept that civic nationalism is ethnic, too? On this line of thinking, civic nationalism is a subcategory of ethnic nationalism. In analytical value and usefulness, it is on a par with other similar categories, such as linguistic or religious nationalism. In essence, each nationalism appears to be ethnic. Nationalism itself is an ethnic phenomenon, a product of human culture, *not* of nature.

I believe that this intuition may help scholars and commentators alike perceive and analyze the political more realistically. Bearing it in mind would help them remove from the nose the mediating spectacles of this or that pet ideology. However, at present, the ingrained nature of the civic vs ethnic nationalism dichotomy is so strong that it often prevents us from seeing the obvious.

If, as frequently proposed, civic nationalism is a sure sign of democracy and good governance, why does Belarus happen to be a dictatorship? One can say that this nation-state is ethnic, not civic, but the rapid withering of the Belarusian language and culture – during the 1990s – in the role of the carriers of Belarusianness, left Belarusian citizenship to shoulder this responsibility. Well, Nigeria or Mexico are indubitably civic but are not paragons of democracy. On the other hand, such thoroughly ethnic nation-states as Sweden or the Czech Republic excel at democracy and governance. On the other hand, it is easy enough to identify ethnic nation-states that are not democratic (for example, Laos or Turkmenistan) and their civic counterparts that are (for instance, Switzerland or Canada).

Civic-ness and ethnicity do not seem to be ideal predictors of whether a state tends toward either democracy or dictatorship, or if one state's nationalism is 'good' and another's 'bad.' It is necessary to take into account other factors, alongside the high degree of unpredictability in the realm of human relations as studied by historians and scholars of politics and international relations. Fortunately (or not?), the Hegelian or Marxist quest for identifying 'infallible laws of history' (in Galton, 1977: 116) has failed, as amply proved by

the fall of communism in 1989 and the 1991 breakup of the Soviet Union. Karl Marx (1818-1883) proposed and the Soviet proponents of Marxism-Leninism concurred that there was no return from the 'higher' stage of development to a 'lower (earlier)' one (cf Snooks, 1998). But this is what did happen; the polities of Central and Eastern Europe abandoned socialism (communism) and returned to capitalism.

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